



Alexander von Humboldt
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TECHNISCHE
UNIVERSITÄT
MÜNCHEN

FXFX MERGING

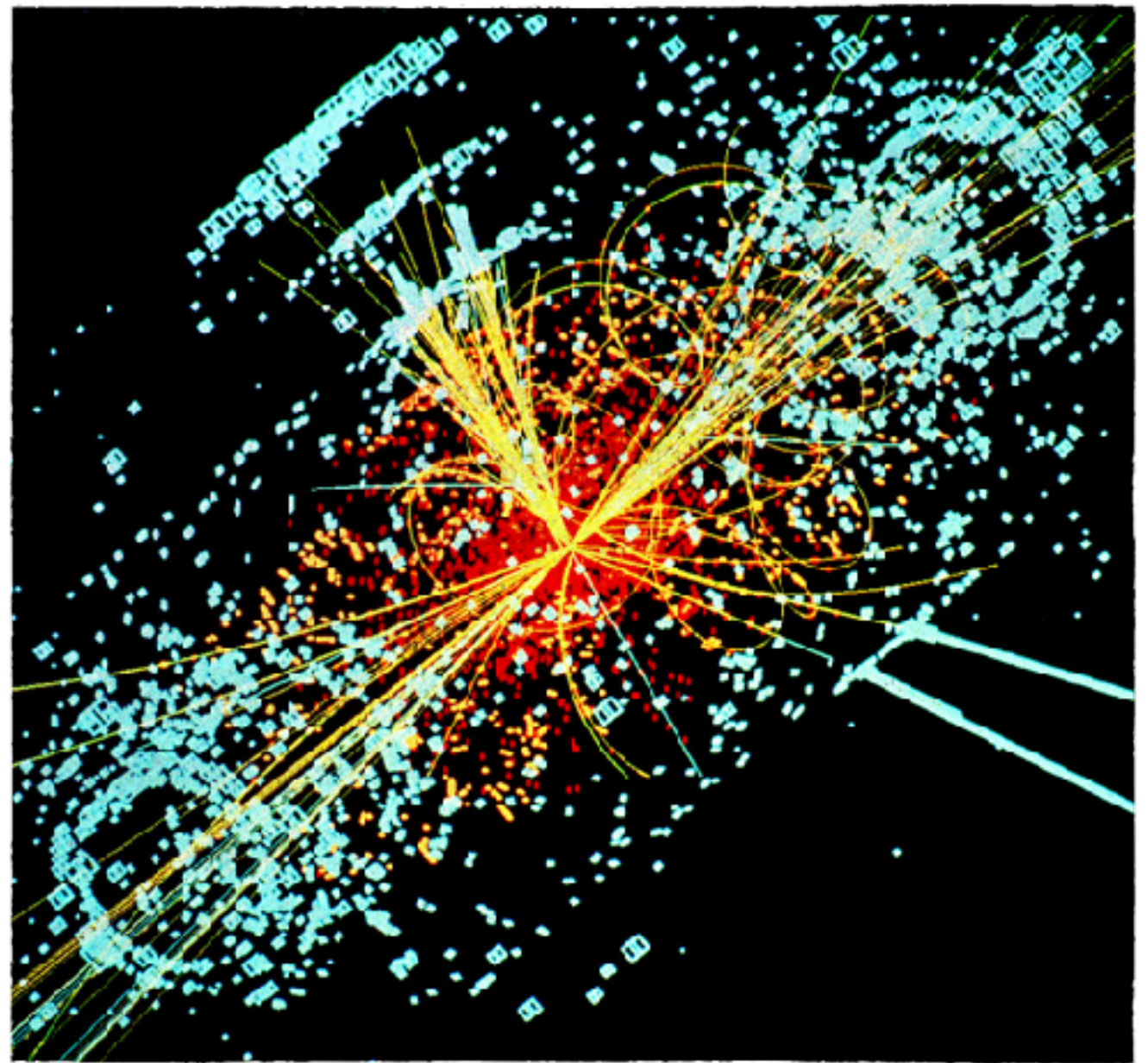
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rikkert.frederix@tum.de

LARGE HADRON COLLIDER

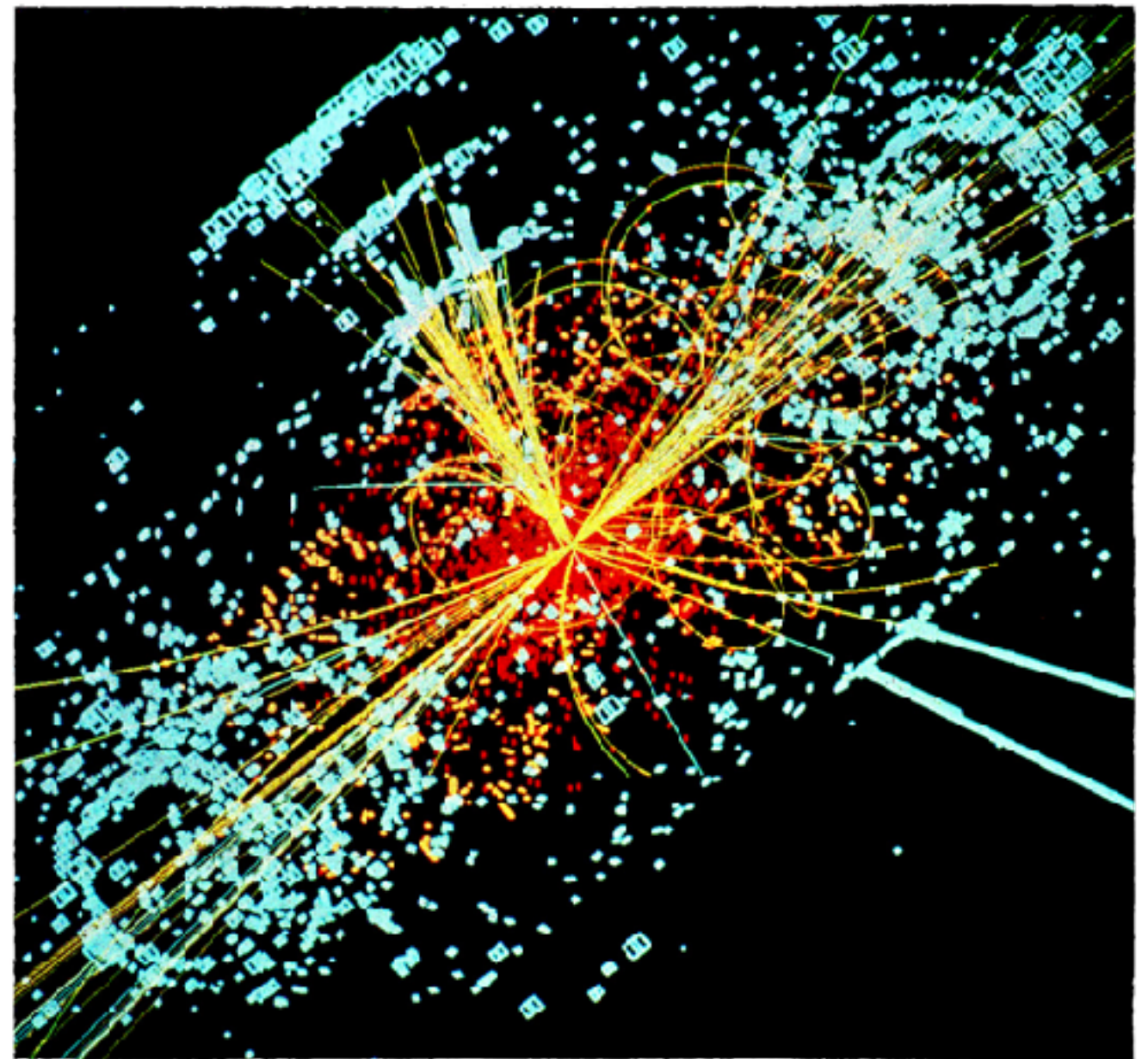
- ♦ The world's largest particle accelerator, the LHC, has been running extremely well during the last couple of years
- ♦ Higgs boson discovery!
- ♦ Run1 is complete (7/8 TeV collision energy) Run2 is ongoing (13/14 TeV collision energy)



Simulated Higgs boson event by CMS

LARGE HADRON COLLIDER

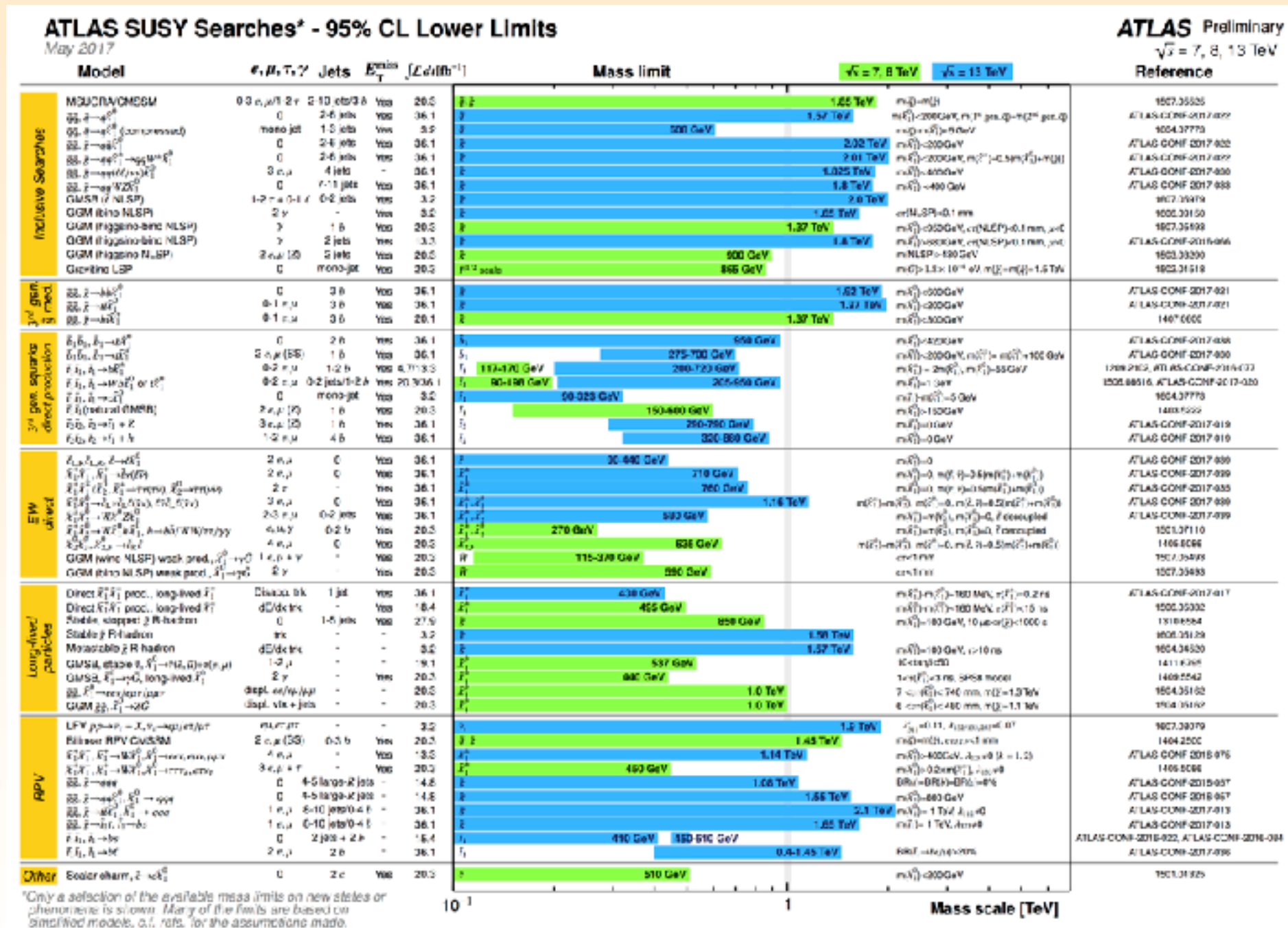
- ♦ The world's largest particle accelerator, the LHC, has been running extremely well during the last couple of years
- ♦ Higgs boson discovery!
- ♦ Run1 is complete (7/8 TeV collision energy) Run2 is ongoing (13/14 TeV collision energy)
- ♦ Is the Higgs responsible for generating the masses of all fundamental particles?
 - ➡ Need to measure its coupling strength to all massive particles
 - ➡ This includes the Higgs self-coupling, of which we have no information so far



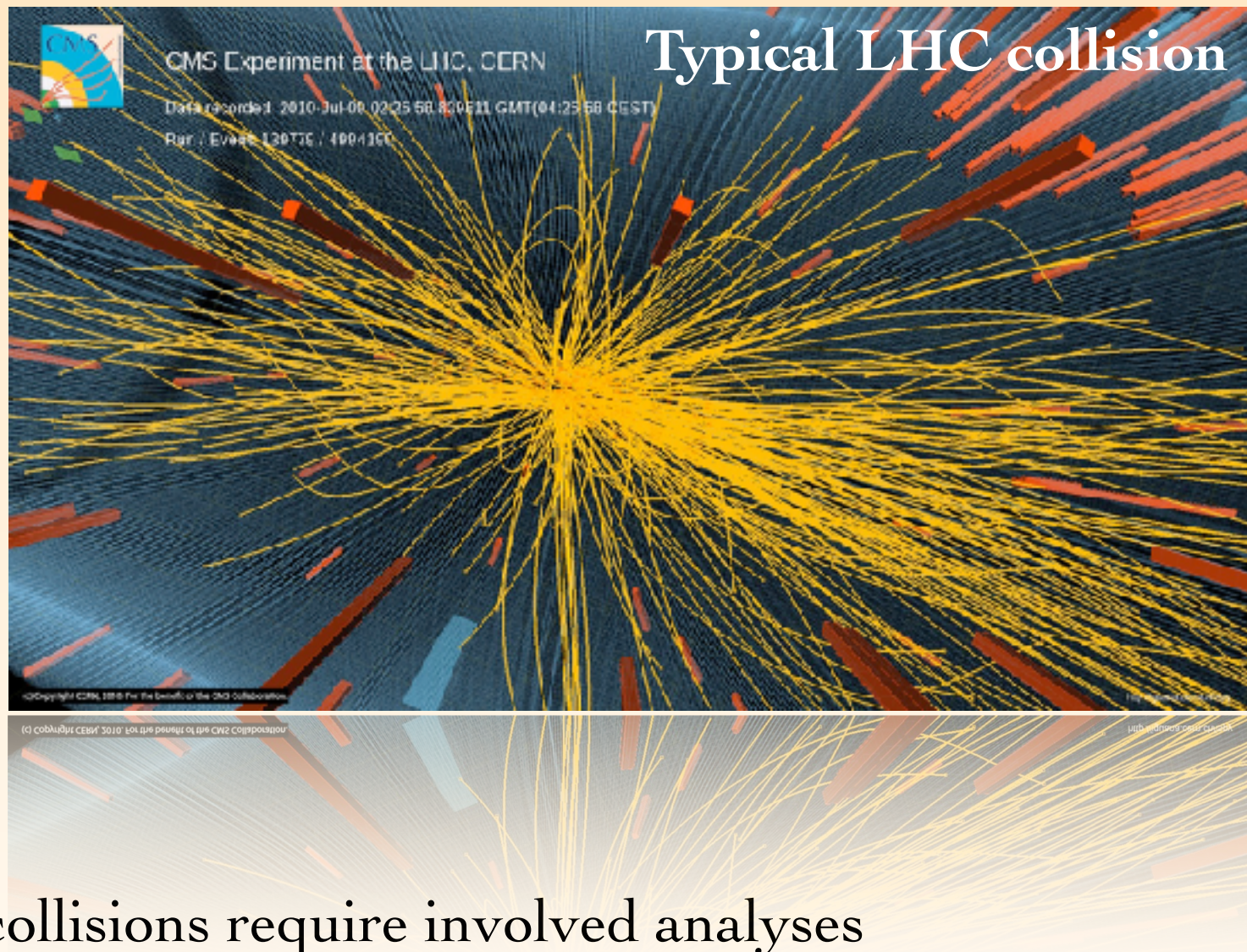
Simulated Higgs boson event by CMS

MORE!

- ♦ And there should be more!
- ♦ Dark matter, fine tuning problem, matter anti-matter asymmetry, etc., suggest the existence of new particles and phenomena that have not yet been discovered

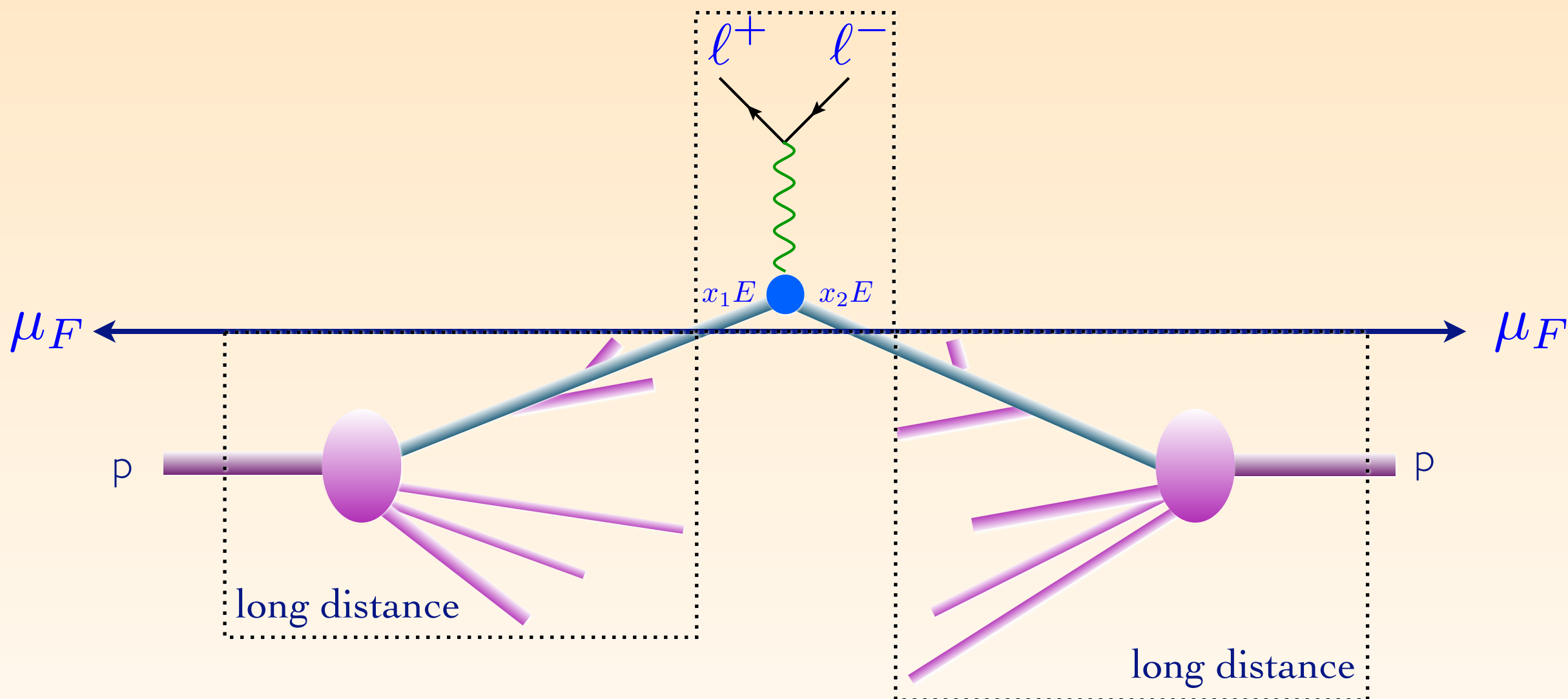


QCD RADIATION



- ✦ Messy collisions require involved analyses
 - ✦ State-of-the-art analyses require theory predictions and simulations
- ✦ Commonly used are **merging NLO matrix elements of various multiplicities** and **matching them to a parton shower**. Possibly including NNLO matrix elements for the lowest multiplicity

MASTER EQUATION FOR HADRON COLLIDERS



$$\sum_{a,b} \int dx_1 dx_2 d\Phi_{\text{FS}} f_a(x_1, \mu_F) f_b(x_2, \mu_F) \hat{\sigma}_{ab \rightarrow X}(\hat{S}, \mu_F, \mu_R)$$

Phase-space integral
Parton density functions
Parton-level cross section

MASTER EQUATION FOR HADRON COLLIDERS

$$\sum_{a,b} \int dx_1 dx_2 d\Phi_{\text{FS}} f_a(x_1, \mu_F) f_b(x_2, \mu_F) \hat{\sigma}_{ab \rightarrow X}(\hat{s}, \mu_F, \mu_R)$$

Phase-space
integralParton density
functionsParton-level cross
section

Two ingredients necessary:

1. Parton distribution functions

(from experiment, but evolution from theory)

2. Parton-level cross section: short distance coefficients
as an expansion in α_s
(from theory)

PERTURBATIVE EXPANSION

$\hat{\sigma}_{ab \rightarrow X}(\hat{s}, \mu_F, \mu_R)$ Parton-level cross section

- ♦ The parton-level cross section can be computed as a series in perturbation theory, using the coupling constant as an expansion parameter, schematically:

$$\hat{\sigma} = \sigma^{\text{Born}} \left(1 + \frac{\alpha_s}{2\pi} \sigma^{(1)} + \left(\frac{\alpha_s}{2\pi} \right)^2 \sigma^{(2)} + \left(\frac{\alpha_s}{2\pi} \right)^3 \sigma^{(3)} + \dots \right)$$

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LO
predictions

PERTURBATIVE EXPANSION

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LO
predictions

NLO
corrections

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LO
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NLO
corrections

NNLO
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LO
predictions

NLO
corrections

NNLO
corrections

NNNLO
corrections

PERTURBATIVE EXPANSION

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LO
predictions

NLO
corrections

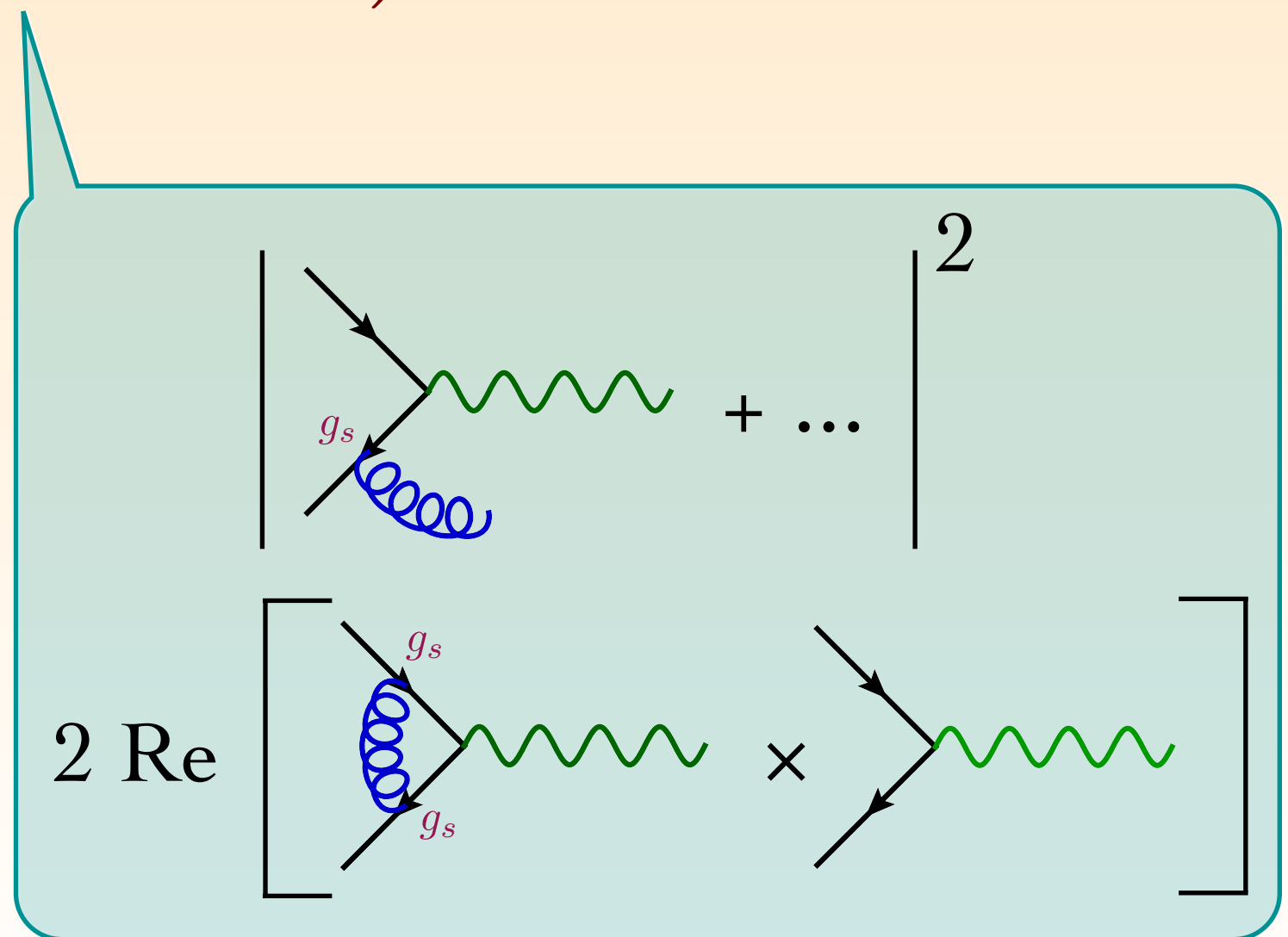
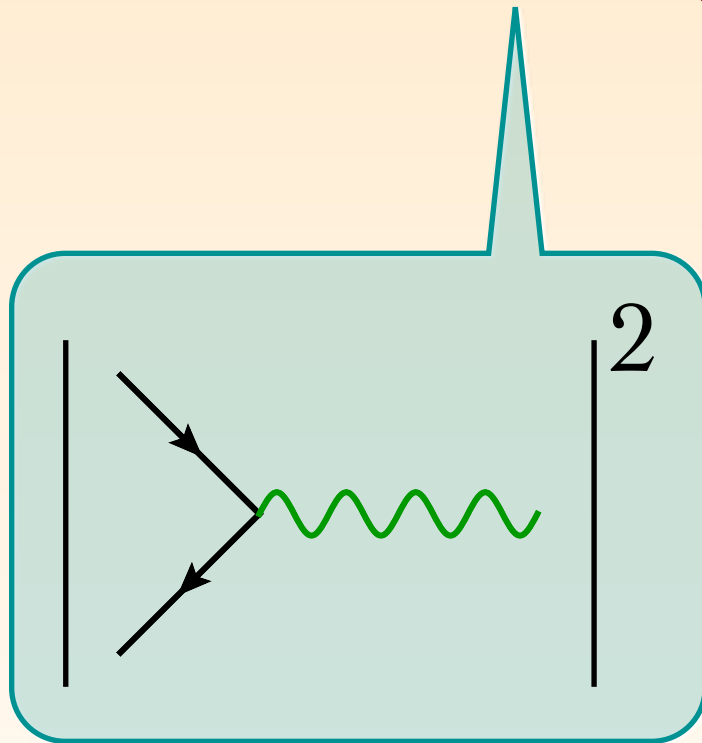
NNLO
corrections

NNNLO
corrections

- ♦ Including higher corrections improves predictions and reduces theoretical uncertainties

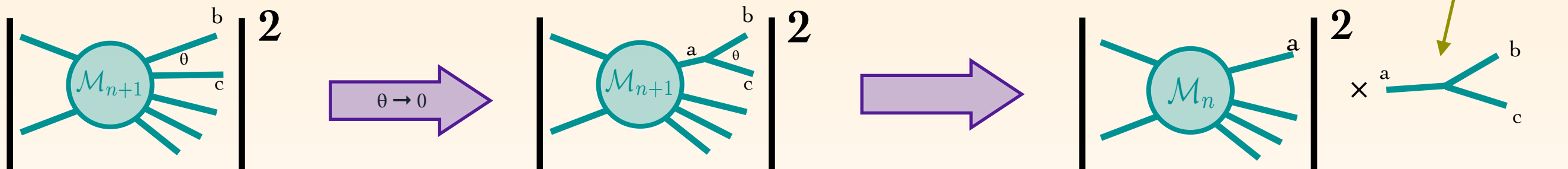
NLO PREDICTIONS

$$\hat{\sigma} = \sigma^{\text{Born}} \left(1 + \frac{\alpha_s}{2\pi} \sigma^{(1)} + \dots \right)$$



PARTON SHOWER

- ♦ In collinear (and soft) regions of phase-space, perturbation theory breaks down: every power of α_s is accompanied by a large (double) logarithm $\log[Q^2/y]$
- ♦ Hence, for collinear (and soft) emissions need to rearrange (i.e. 'resum') the perturbative series to include them at all orders in P.T.
 - Fortunately, these logarithms are universal!



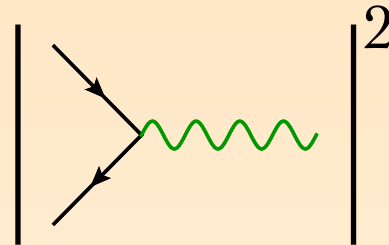
- ♦ Can include the leading logarithmic corrections through a parton shower algorithm, using the Sudakov form factor

$$\exp \left[- R(v) \right], \quad v = Q^2/y$$

WHAT DOES THIS GIVE US PICTORIALLY...?

- ♦ Let's start very simple and go from there...
- ♦ Let's consider
 - a very simple process: production of a single EW vector boson or Higgs boson
 - an observable most-sensitive to QCD radiation: k_T -jet resolution variable (with $R=1$), $\sqrt{s} \sim p_T(j)$ [$y_{01} \sim p_T^2(j_1)$; $y_{12} \sim p_T^2(j_2)$; etc]

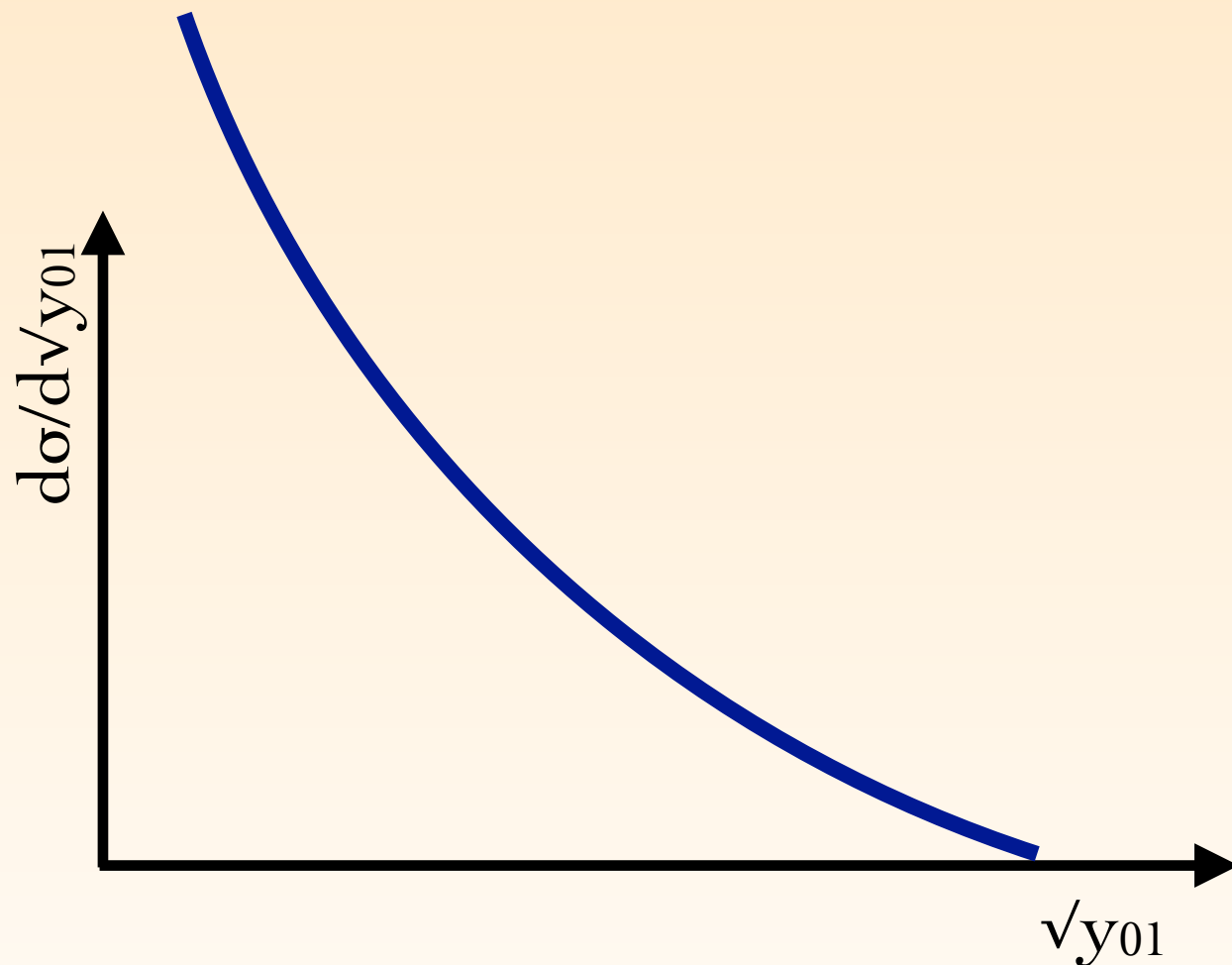
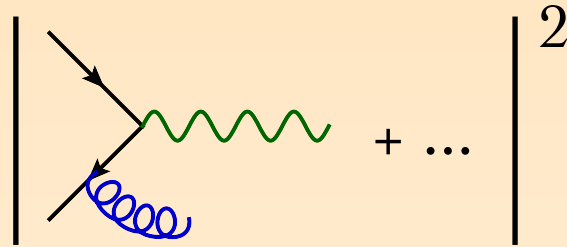
LEADING ORDER V



- ◆ Simplest prediction of all
- ◆ Just gives a delta-function at zero p_T due to energy-momentum conservation
- ◆ Cannot be used to make reliable predictions for this observable

Physical curve	No
Tail	N/A
Integral	LO
Extendible to multi-jet	Yes

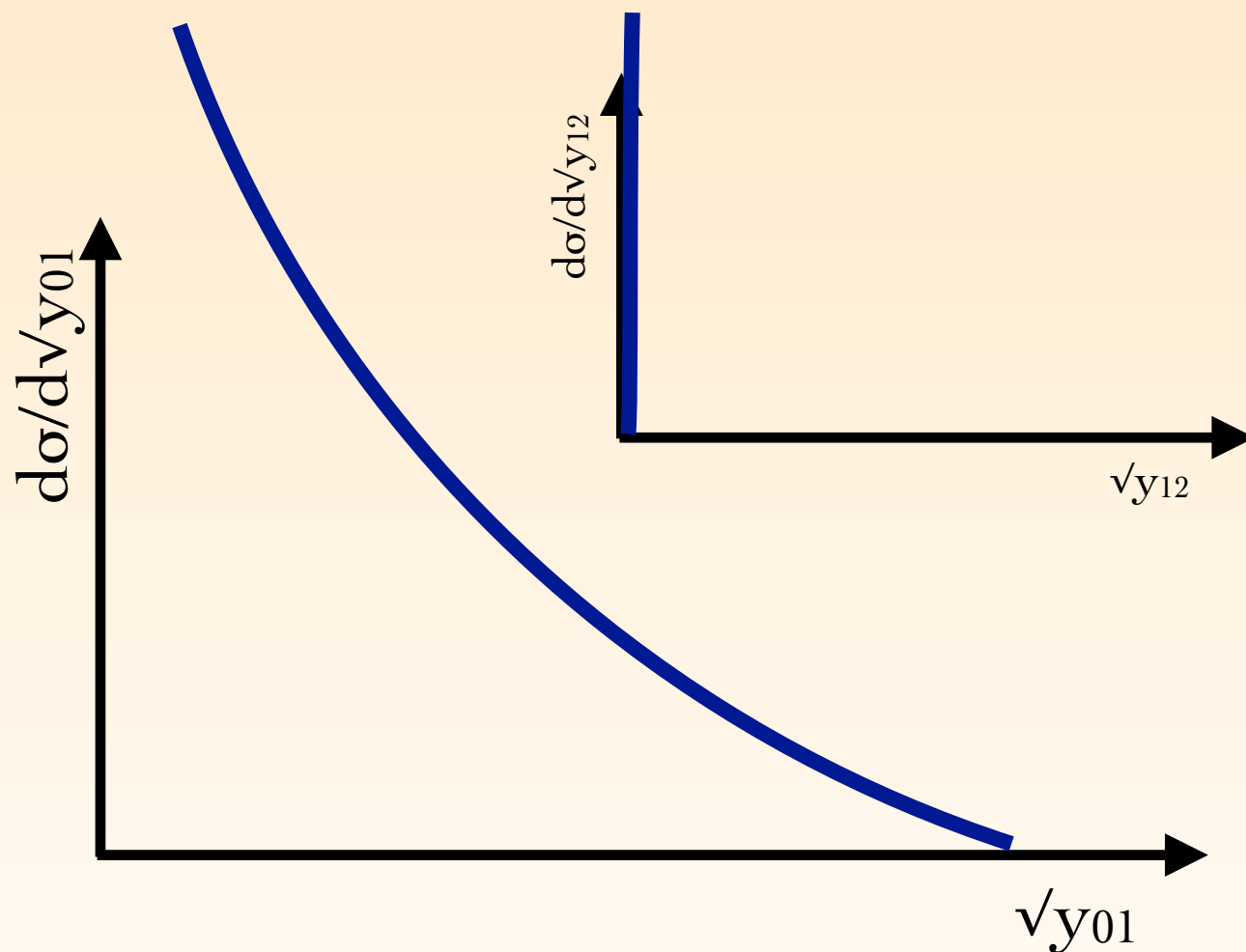
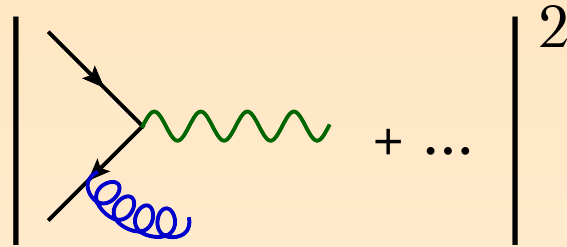
LEADING ORDER V+1 JET



- ♦ Non-trivial distribution that is LO accurate
- ♦ Need a generation cut, otherwise the integral over the p_T spectrum diverges
- ♦ Cannot be used to make reliable predictions at low p_T

Physical curve	Only at high- p_T
Tail	LO
Integral	∞
Extendible to multi-jet	Yes

LEADING ORDER V+1 JET

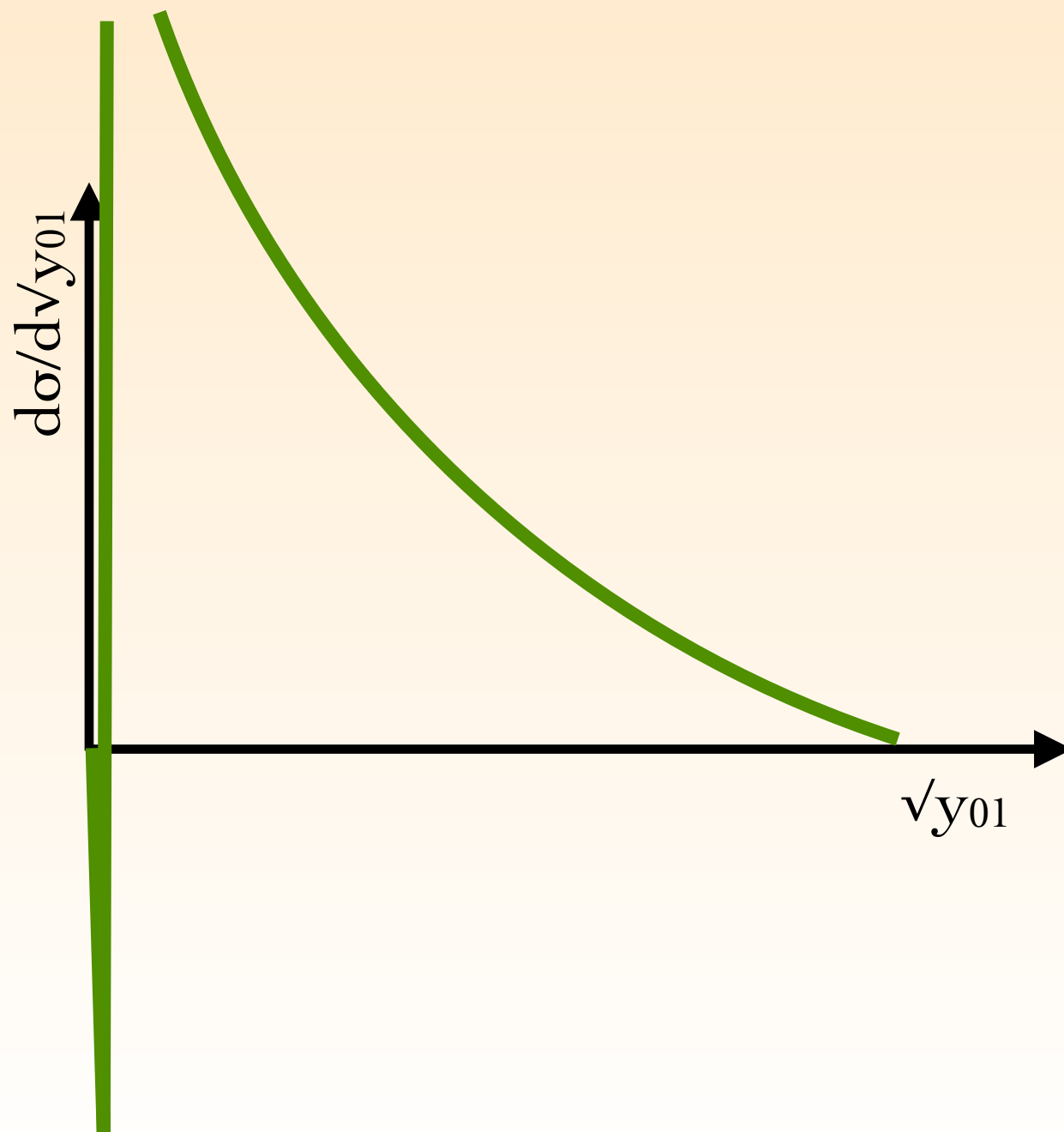


- ♦ Non-trivial distribution that is LO accurate
- ♦ Need a generation cut, otherwise the integral over the p_T spectrum diverges
- ♦ Cannot be used to make reliable predictions at low p_T

Physical curve	Only at high- p_T
Tail	LO
Integral	∞
Extendible to multi-jet	Yes

NEXT-TO-LEADING ORDER V

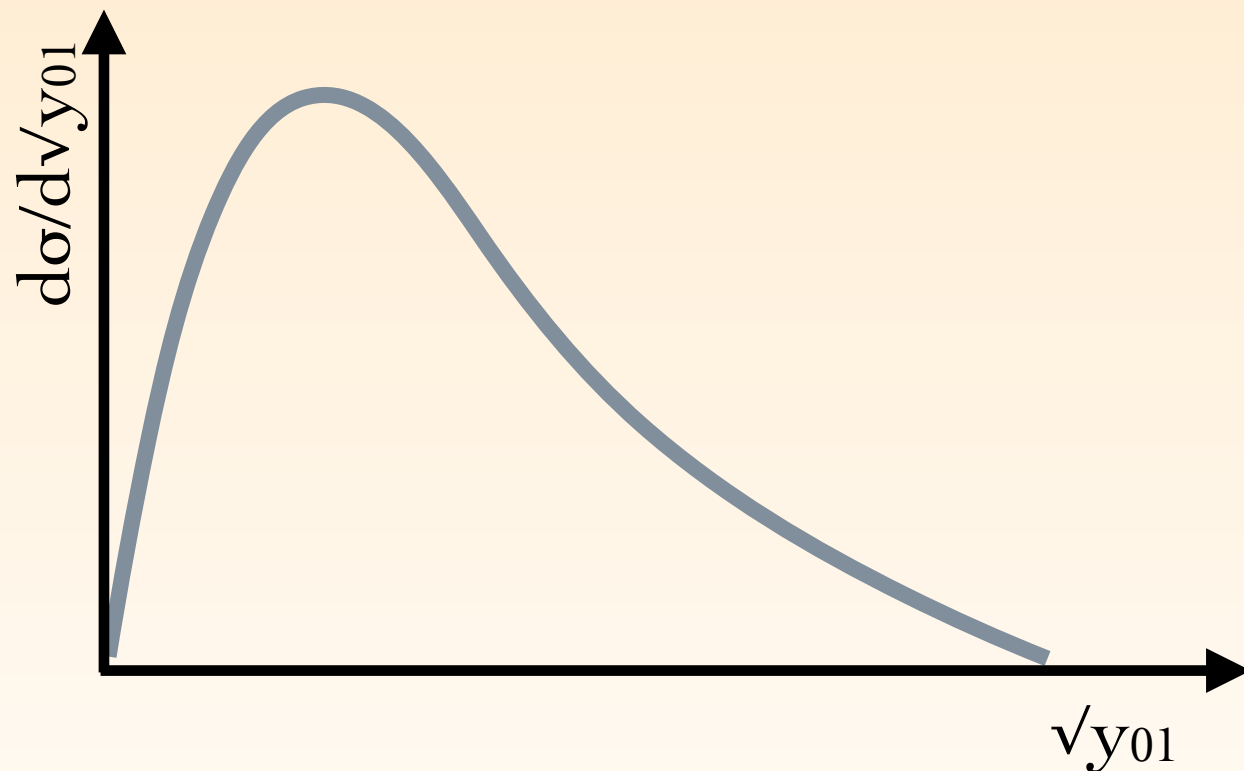
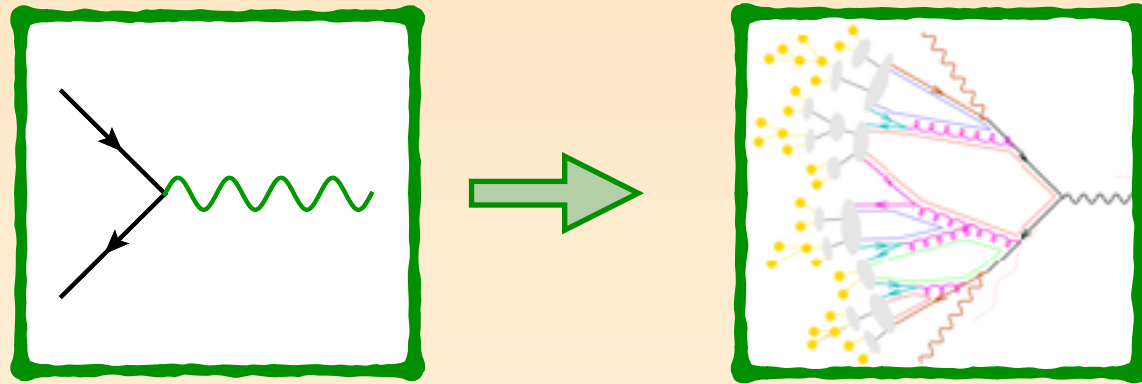
$$\left| \begin{array}{c} \text{diagram 1} \end{array} \right|^2 + \left| \begin{array}{c} \text{diagram 2} + \dots \end{array} \right|^2 + 2 \operatorname{Re} \left[\begin{array}{c} \text{diagram 3} \end{array} \times \begin{array}{c} \text{diagram 4} \end{array} \right]$$



- ♦ Integral is NLO accurate
- ♦ Curve is non-physical at low p_T : divergent real-emission corrections are compensated for by divergent virtual corrections
- ♦ Including higher order corrections (NNLO, etc), does not fix the non-physical behaviour at small p_T

Physical curve	Only at high- p_T
Tail	LO
Integral	NLO
Extendible to multi-jet	Yes

(N)LO+PS V

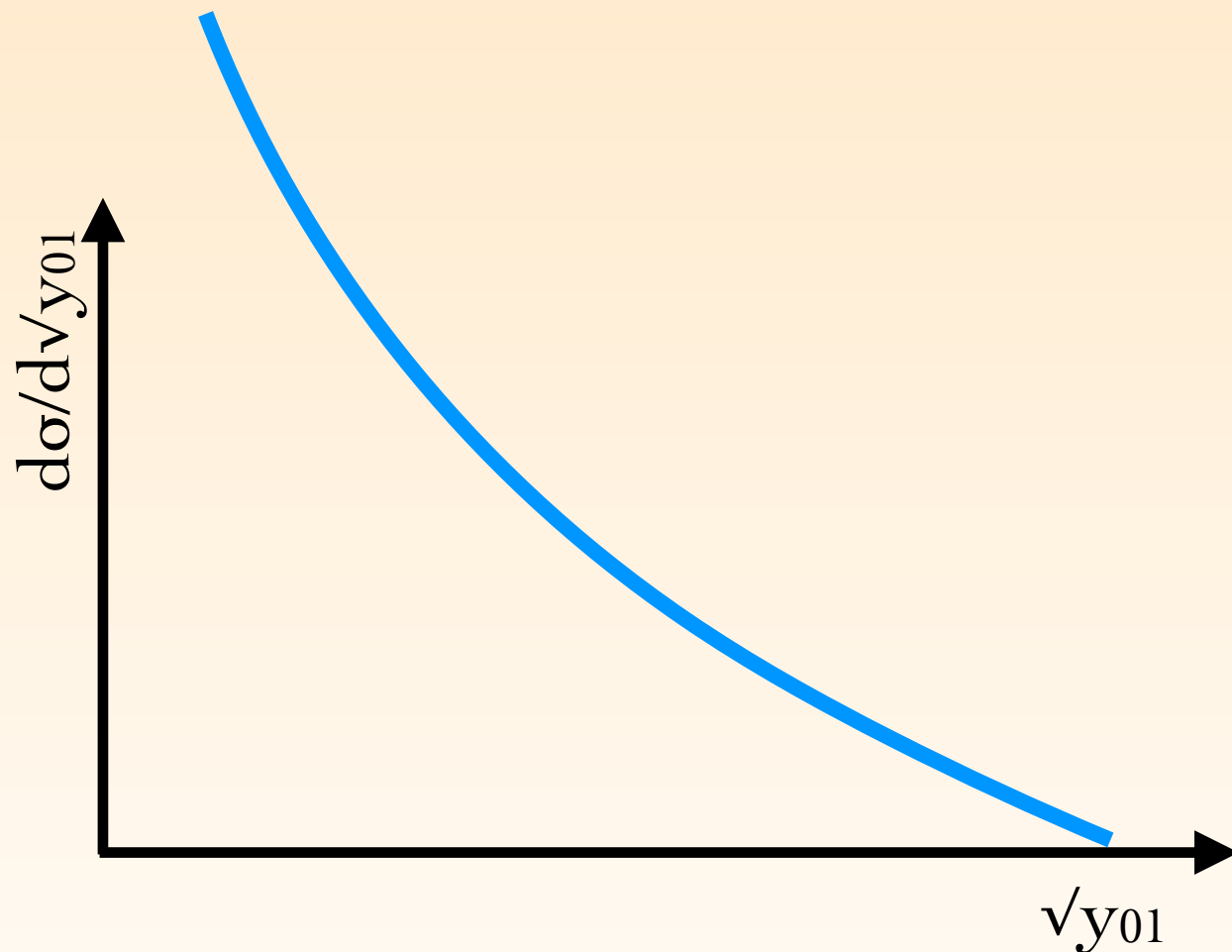


MC@NLO: [Frixione, Webber (2002)]
POWHEG: [Nason (2004)]

- ♦ To get a physical shape at low p_T need to resum radiation at all orders
- ♦ Can either be done analytically, or with a **parton shower**
- ♦ Parton shower also includes hadronisation and other non-factorisable corrections
- ♦ Most used methods at NLO are MC@NLO and POWHEG

Physical curve	Yes
Tail	LO
Integral	NLO
Extendible to multi-jet	Yes

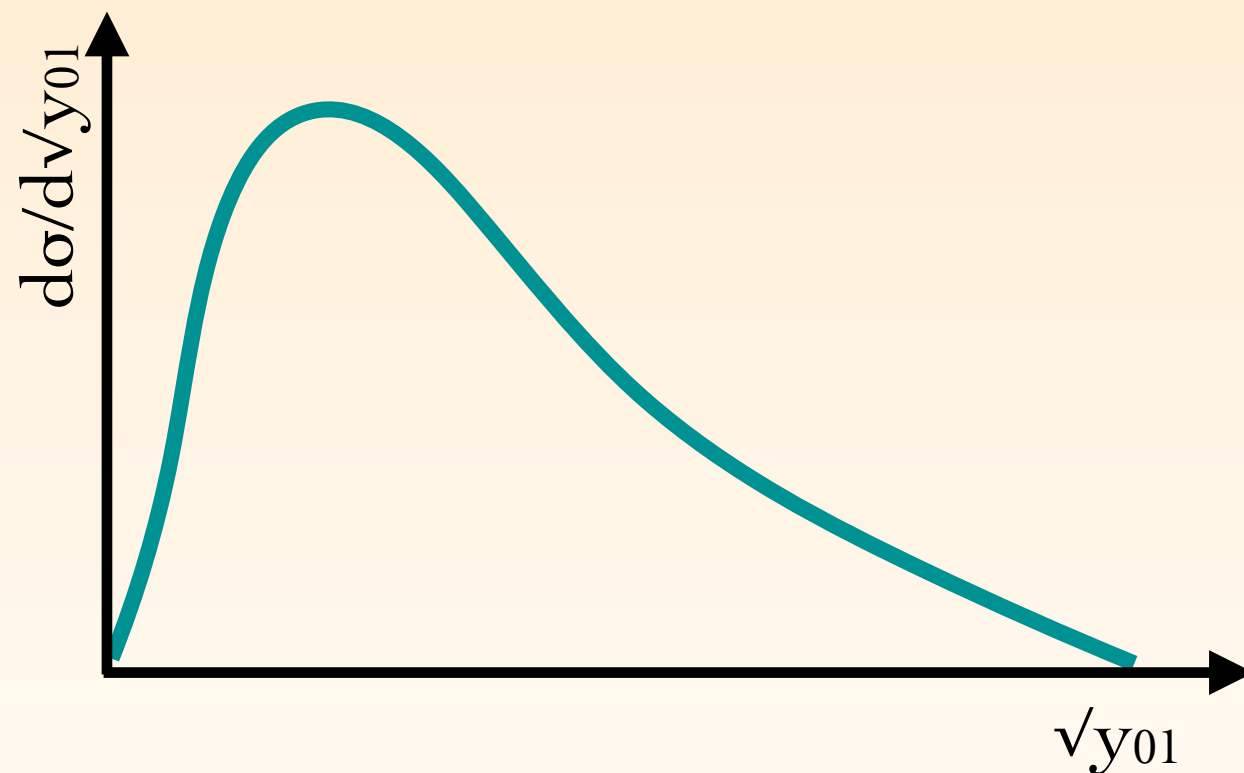
NLO(+PS) V+1 JET



- ♦ Distribution diverges at small p_T
- ♦ Have to put a generation cut
- ♦ Parton shower can easily be added, but this does not solve the low- p_T problem

Physical curve	Only at high- p_T
Tail	NLO
Integral	∞
Extendible to multi-jet	Yes

MINLO V+1JET



[Hamilton, Nason, Zanderighi (2012)]

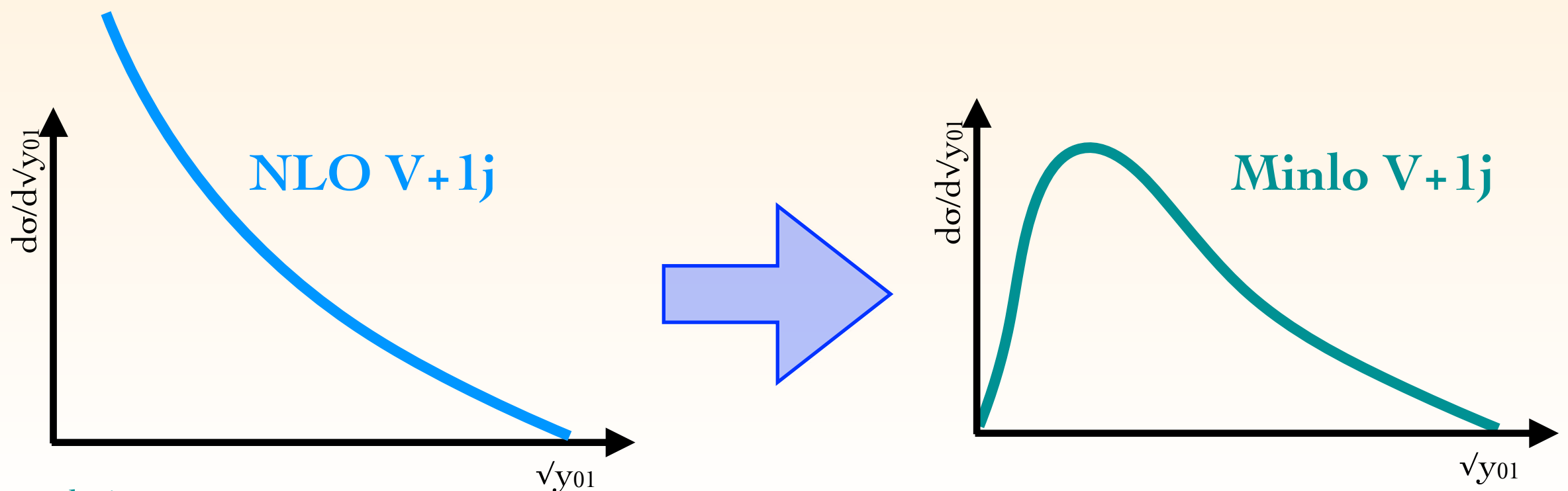
- ♦ Include suitable Sudakov Form factors in the NLO V+1j predictions
- ♦ Distributions is NLO accurate
- ♦ Integral is not NLO accurate: the difference starts at $O(\alpha_s^{3/2})$
- ♦ Parton shower can easily be attached

Physical curve	Yes
Tail	NLO
Integral	LO+
Extendible to multi-jet	Yes

MINLO

♦ The Minlo approach can be summarised as follows:

- Renormalisation and factorisation scale setting, a la CKKW
- Together with matching to the Sudakov form factor, $\exp \left[-R(v) \right]$, $v = Q^2/y_{01}$
 - ♦ Matching requires to subtract the $O(\alpha_s)$ expansion of the Sudakov form factor times the Born to prevent double counting with the NLO corrections
- NLO accuracy of $V+1j$ observables is not hampered by the scale setting and inclusion of the form factor: differences are beyond NLO



♦ Start from a NLO calculation with one extra jet

1. Set μ_R everywhere it occurs and likewise for all μ_F set $\mu_F \rightarrow \mu_F \sqrt{v}$:

$$d\sigma \rightarrow d\sigma' = d\sigma (\mu_R = K_R \max(Q_B, Q_{BJ}), \mu_F \rightarrow K_F \sqrt{y}) . \quad (2.22)$$

2. Replace the additional power of $\bar{\alpha}_s$ that accompanies the NLO corrections according to

$$d\sigma' \rightarrow d\sigma'' = d\sigma' (\bar{\alpha}_s^{\text{NLO}}(\mu_R^2) \rightarrow \bar{\alpha}_s(K_R^2 y)) . \quad (2.23)$$

3. Multiply the LO component by the $\mathcal{O}(\bar{\alpha}_s)$ expansion of the inverse of the Sudakov form factor times $\bar{\alpha}_s(K_R^2 y) / \bar{\alpha}_s(\mu_R^2)$:

$$d\sigma'' \rightarrow d\sigma''' = d\sigma'' - d\sigma''|_{\text{LO}} \bar{\alpha}_s(K_R^2 y) \left(G_{12} L^2 + (G_{11} + 2S_1 + \bar{\beta}_0) L + 2\bar{\beta}_0 \ln \frac{\mu_R}{K_R Q} \right) \quad (2.24)$$

4. Multiply by the Sudakov form factor times $\bar{\alpha}_s(K_R^2 y) / \bar{\alpha}_s(\mu_R^2)$:

$$d\sigma''' \rightarrow d\sigma_{\mathcal{M}} = \exp[-R(v)] \frac{\bar{\alpha}_s(K_R^2 y)}{\bar{\alpha}_s(\mu_R^2)} d\sigma''' . \quad (2.25)$$

MINLO DECOMPOSED

$$d\sigma_{\mathcal{M}} = d\sigma_{\mathcal{R}} + d\sigma_{\mathcal{MR}} + d\sigma_{\mathcal{F}}$$

Resummed cross section.
(Almost) identical to known
LL/NNLL_σ results

Logarithmically enhanced terms
for $y \rightarrow 0$ that are not captured
by $d\sigma_{\mathcal{R}}$

Finite terms in the
limit $y \rightarrow 0$ (coming from
real emission corrections)

RESUMMED CROSS SECTION

$$d\sigma_{\mathcal{M}} = d\sigma_{\mathcal{R}} + d\sigma_{\mathcal{M}\mathcal{R}} + d\sigma_{\mathcal{F}}$$

RESUMMED CROSS SECTION

$$d\sigma_{\mathcal{M}} = d\sigma_{\mathcal{R}} + d\sigma_{\mathcal{MR}} + d\sigma_{\mathcal{F}}$$

[Banfi, Salam, Zanderighi (2005); Dokshitzer, Diakonov, Troian (1980)]

$$\frac{d\sigma_{\mathcal{R}}}{d\Phi dL} = \frac{d\sigma_0}{d\Phi} \left[1 + \bar{\alpha}_S (\mu_R^2) \mathcal{H}_1 (\mu_R^2) \right] \frac{d}{dL} \left[\exp[-R(v)] \mathcal{L}(\{x_\ell\}, \mu_F, v) \right]$$

LO cross
section

(Hard) virtual contributions

Sudakov form factor

Luminosity factor

$$L = \log(1/v) = \log(Q^2/y)$$

- ◆ Well-known formula; used e.g. in the Caesar approach
 - Sudakov form factor $\exp[-R]$ not identical to what's (originally) used in Minlo. But Minlo approach can be improved to incorporate these terms (not relevant when colour is trivial)
- ◆ Written as **total derivative**: straight-forward to show that this is NLO correct in phase-space Φ up to $d\sigma_F$ after integration over L and expanding in α_S
- ◆ However, not NLO correct in the $d\Phi dL$ phase space (i.e., tail is not NLO correct)

ACCURACY OF MINLO

$$d\sigma_{\mathcal{M}} = d\sigma_{\mathcal{R}} + d\sigma_{\mathcal{MR}} + d\sigma_{\mathcal{F}}$$

- ♦ Explicit derivation, using the general form of the differential NLO V+1j cross sections in the small y limit,

$$\frac{d\sigma_s}{d\Phi dL} = \frac{d\sigma_0}{d\Phi} \sum_{n=1}^2 \sum_{m=0}^{2n-1} H_{nm} \bar{\alpha}_S^n (\mu_R^2) L^m$$

gives

$$\frac{d\sigma_{\mathcal{MR}}}{d\Phi dL} = \frac{d\sigma_0}{d\Phi} \exp[-R(v)] \prod_{\ell=1}^{n_i} \frac{q^{(\ell)}(x_\ell, \mu_F^2 v)}{q^{(\ell)}(x_\ell, \mu_F^2)} \left[\bar{\alpha}_S^2(K_R^2 y) \left[\tilde{R}_{21} L + \tilde{R}_{20} \right] + \bar{\alpha}_S^3(K_R^2 y) L^2 \tilde{R}_{32} \right]$$

Only non-zero when $\exp[R]$ and Minlo Sudakov exponent are different, or when $\exp[R]$ is not NNLL_σ accurate. Therefore, **assume that it is known**

Unknown coefficient!

Known coefficient

MINLO ACCURACY FOR (INCLUSIVE) 0-JET OBSERVABLES

$$d\sigma_{\mathcal{M}} = d\sigma_{\mathcal{R}} + d\sigma_{\mathcal{MR}} + d\sigma_{\mathcal{F}}$$

$$\int \frac{d}{dL'} \log^m \frac{Q^2}{y} \alpha_S^n(y) \exp \left[-R(v) \right] \approx \left[\alpha_S(Q^2) \right]^{n - \frac{m+1}{2}}$$

$$\frac{d\sigma_{\mathcal{MR}}}{d\Phi dL} = \frac{d\sigma_0}{d\Phi} \exp \left[-R(v) \right] \prod_{\ell=1}^{n_i} \frac{q^{(\ell)}(x_\ell, \mu_F^2 v)}{q^{(\ell)}(x_\ell, \mu_F^2)} \left[\bar{\alpha}_S^2(K_R^2 y) \left[\tilde{R}_{21} L + \tilde{R}_{20} \right] + \bar{\alpha}_S^3(K_R^2 y) L^2 \tilde{R}_{32} \right]$$

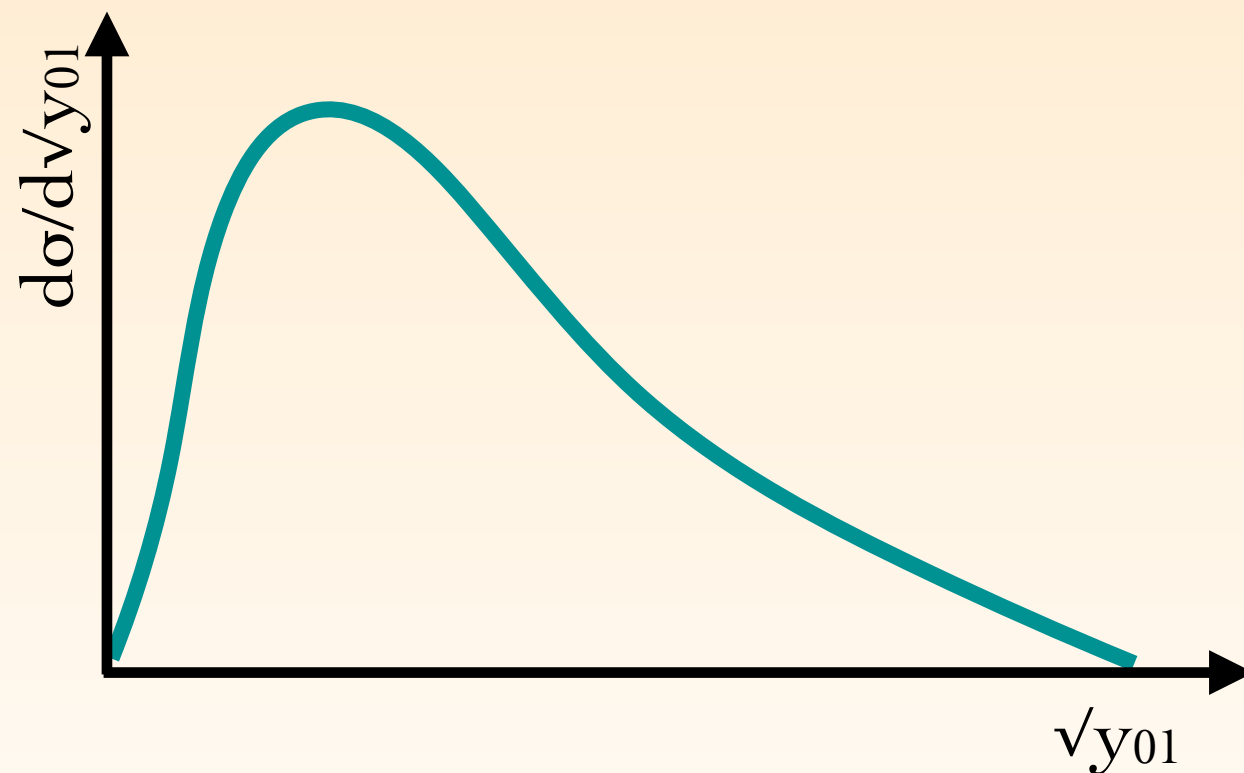
- ◆ After integration over the logarithm L (taking $R_{21}=0$, which is okay for the processes considered here) this results into terms of

$$\int dL' \frac{d\sigma_{\mathcal{MR}}}{d\Phi dL'} = -\frac{d\sigma_0}{d\Phi} \left[\tilde{R}_{20} - \bar{\beta}_0 \mathcal{H}_1(\mu_R^2) \right] \sqrt{\frac{\pi}{2}} \frac{1}{|2G_{12}|^{1/2}} \bar{\alpha}_S^{3/2} (1 + \mathcal{O}(\sqrt{\bar{\alpha}_S}))$$

- ◆ Hence, diff. 0-jet cross section is not NLO accurate with NLO-1jet Minlo

[Hamilton, Nason, Oleari, Zanderighi (2012);
RF, Hamilton (2015)]

MINLO V+1JET

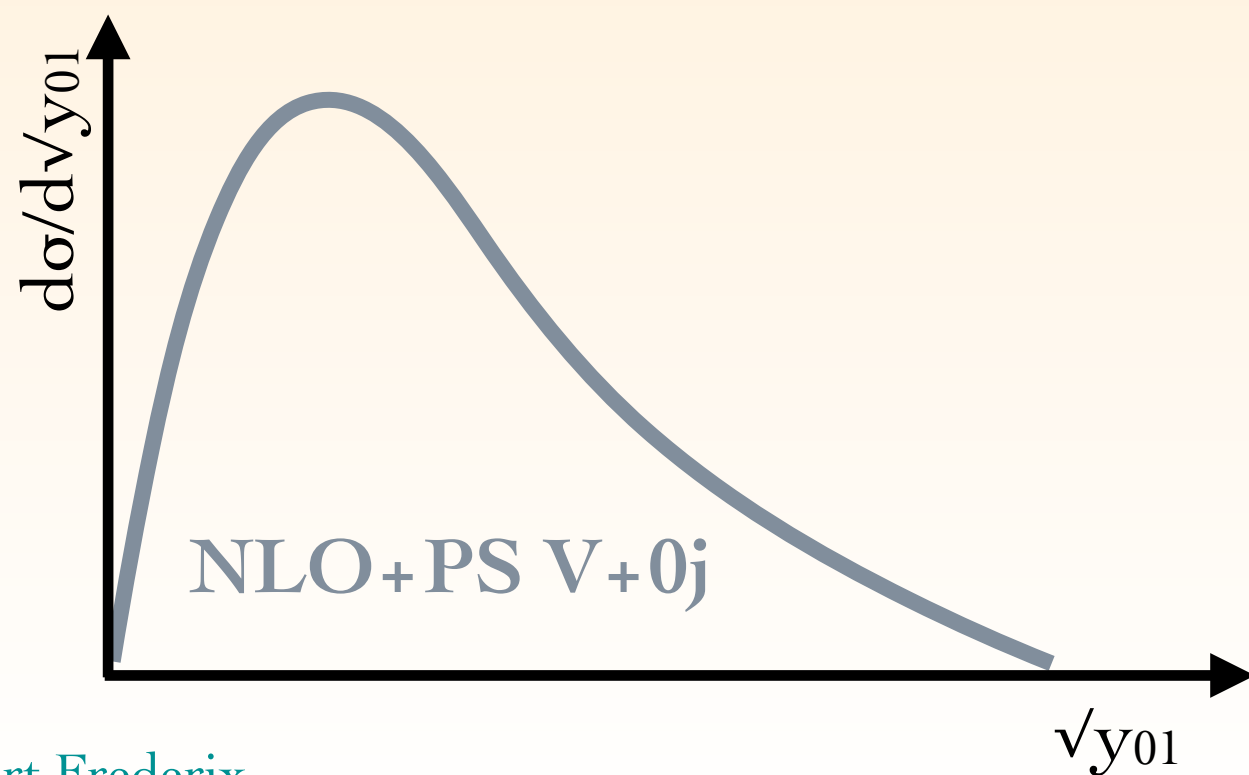
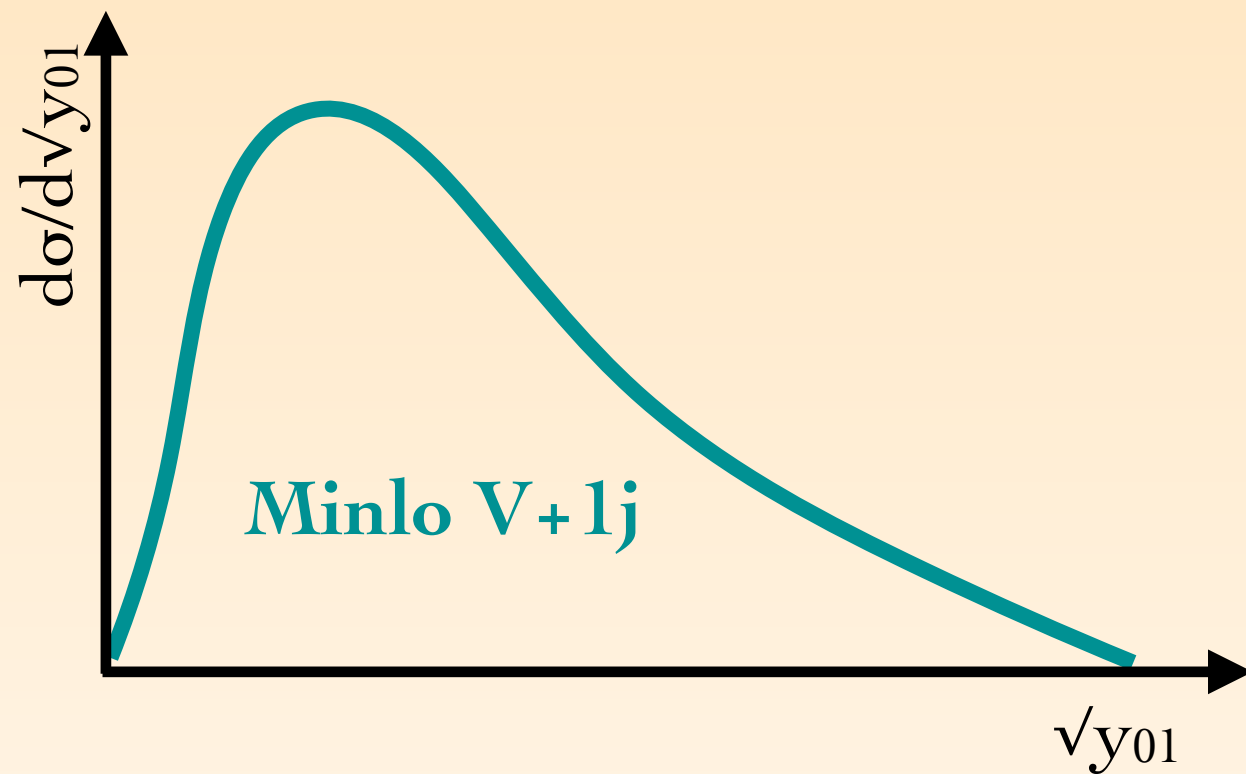


[Hamilton, Nason, Zanderighi (2012)]

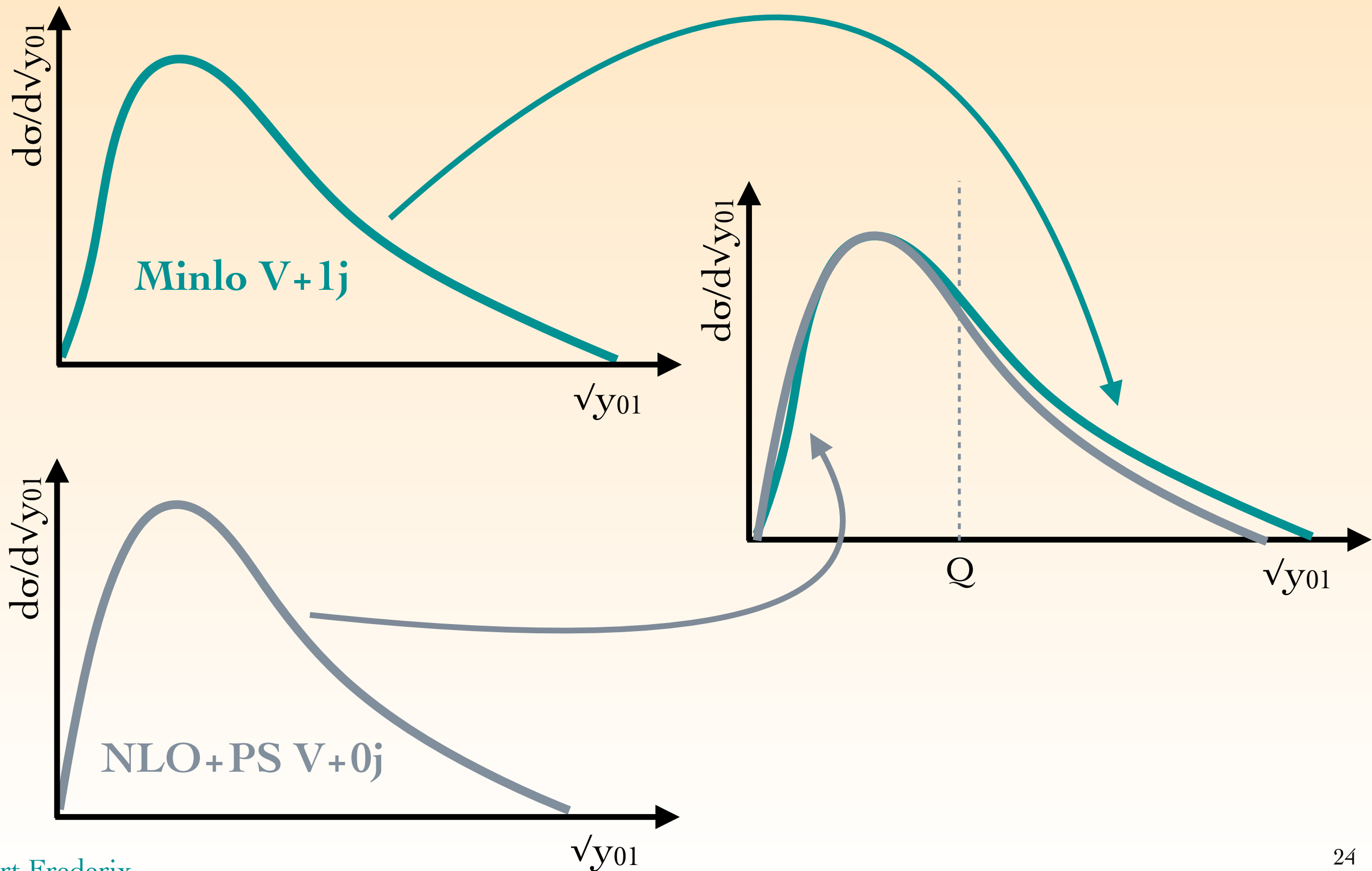
- ♦ Include suitable Sudakov Form factors in the NLO V+1j predictions
- ♦ Distributions is NLO accurate
- ♦ Integral is not NLO accurate: the difference starts at $O(\alpha_s^{3/2})$
- ♦ Parton shower can easily be attached

Physical curve	Yes
Tail	NLO
Integral	LO+
Extendible to multi-jet	Yes

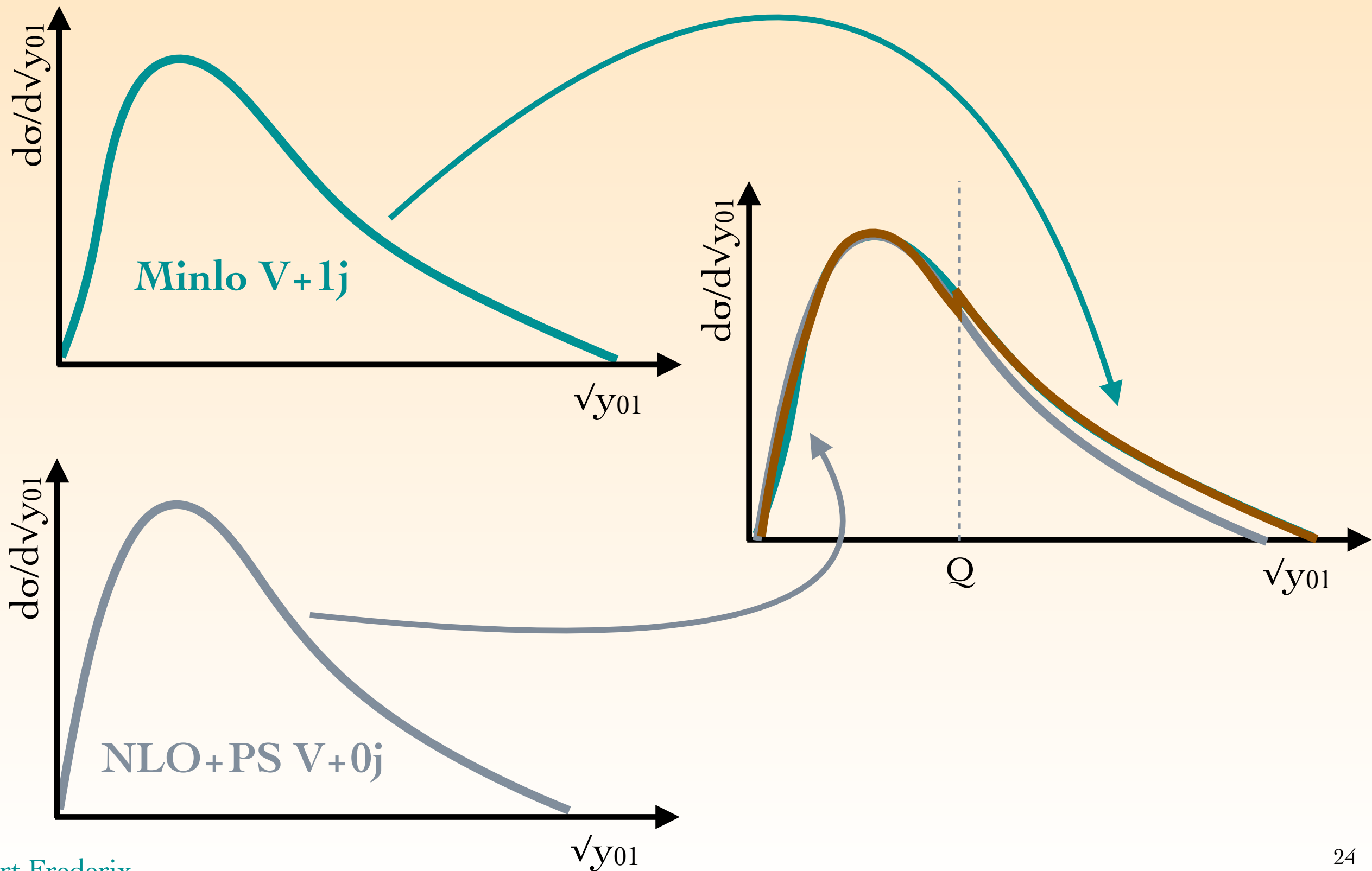
GETTING O-JET OBSERVABLES NLO CORRECT



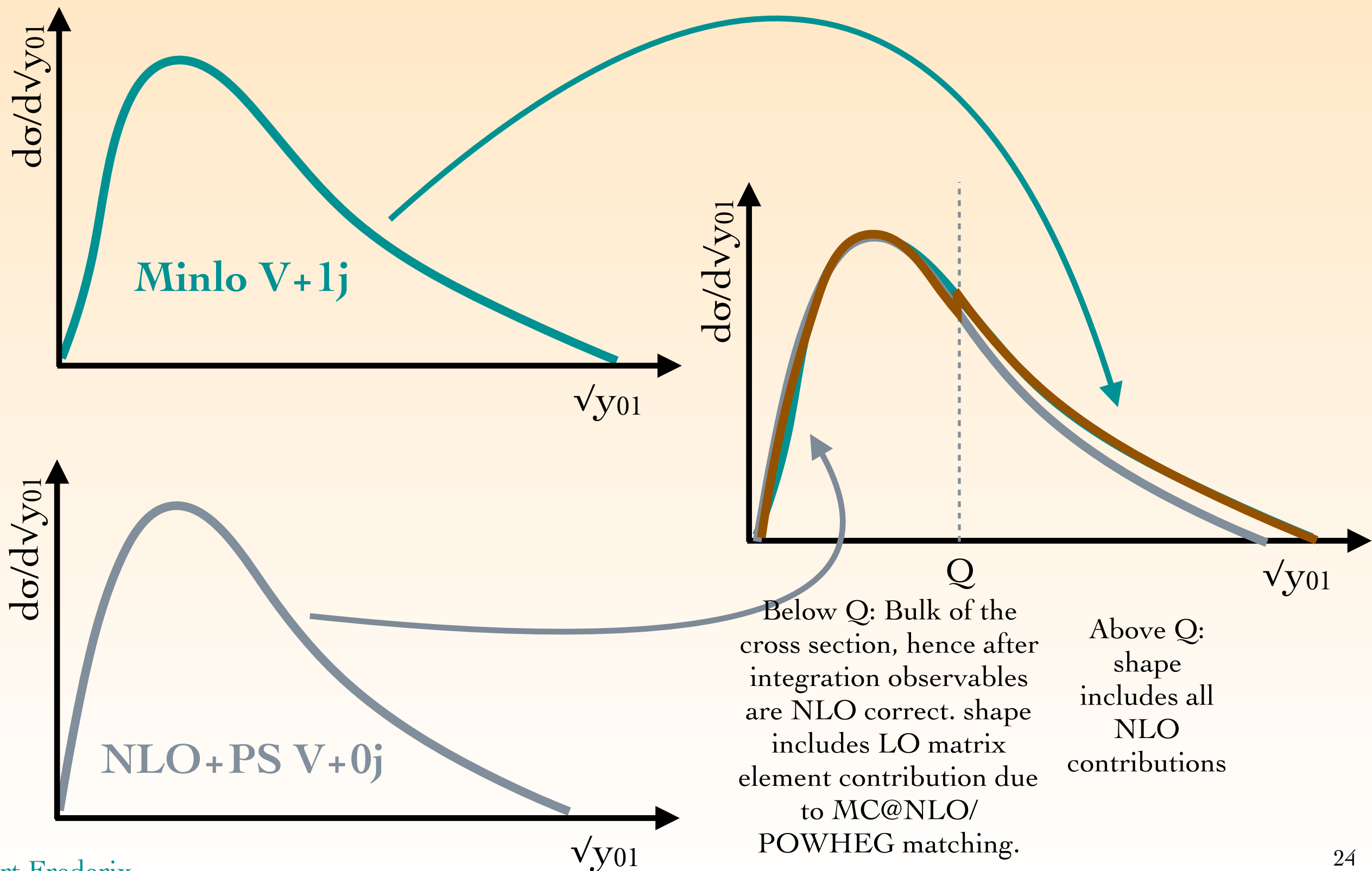
GETTING 0-JET OBSERVABLES NLO CORRECT



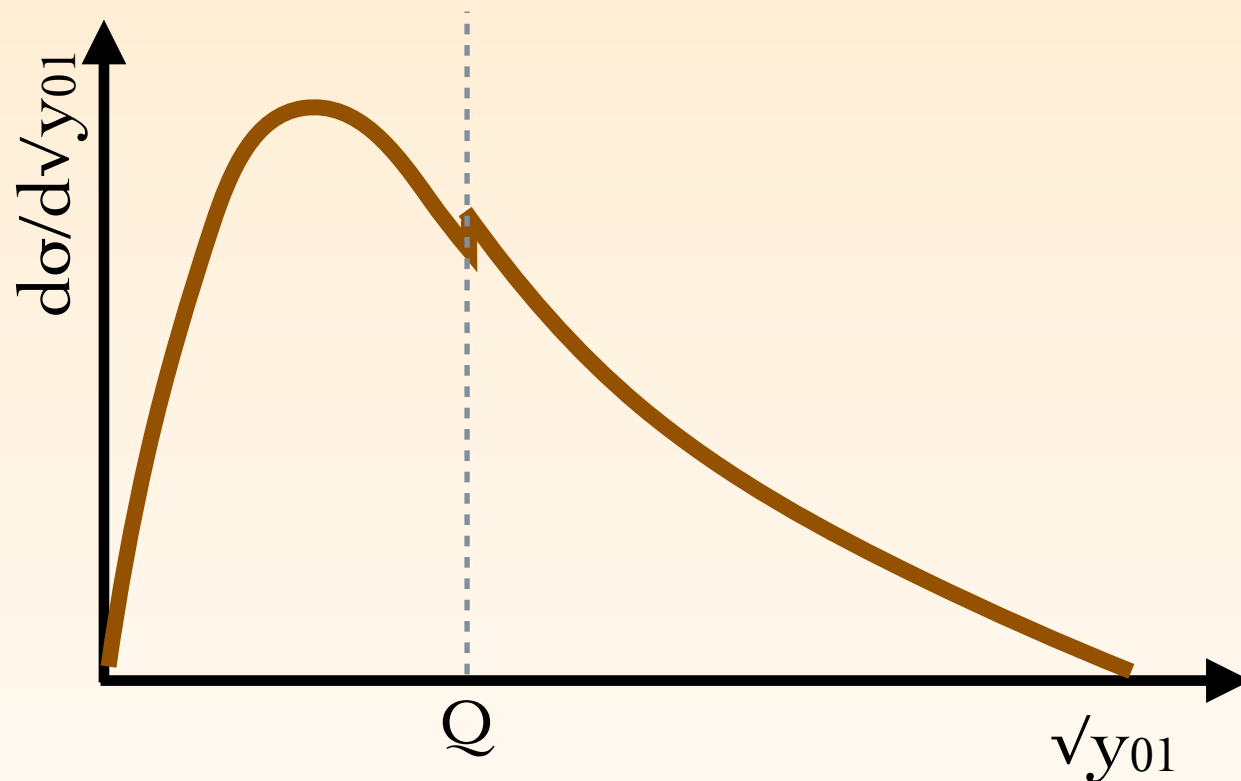
GETTING 0-JET OBSERVABLES NLO CORRECT



GETTING 0-JET OBSERVABLES NLO CORRECT



FXFX / MEPS@NLO: V & V+1J MERGING



FXFX: [RF, Frixione (2012)]

MEPS@NLO: [Hoeche, Krauss, Schonherr, Siegert; +Gehrmann (2012)]

- ♦ Merge NLO+PS for V with Minlo for V+1j, at “merging scale” Q
- ♦ Above Q the tail is NLO accurate
- ♦ For not-too-small Q , integral is NLO accurate
- ♦ Used by ATLAS & CMS for LHC run II analyses
- ♦ Easily extendible to multi-jet

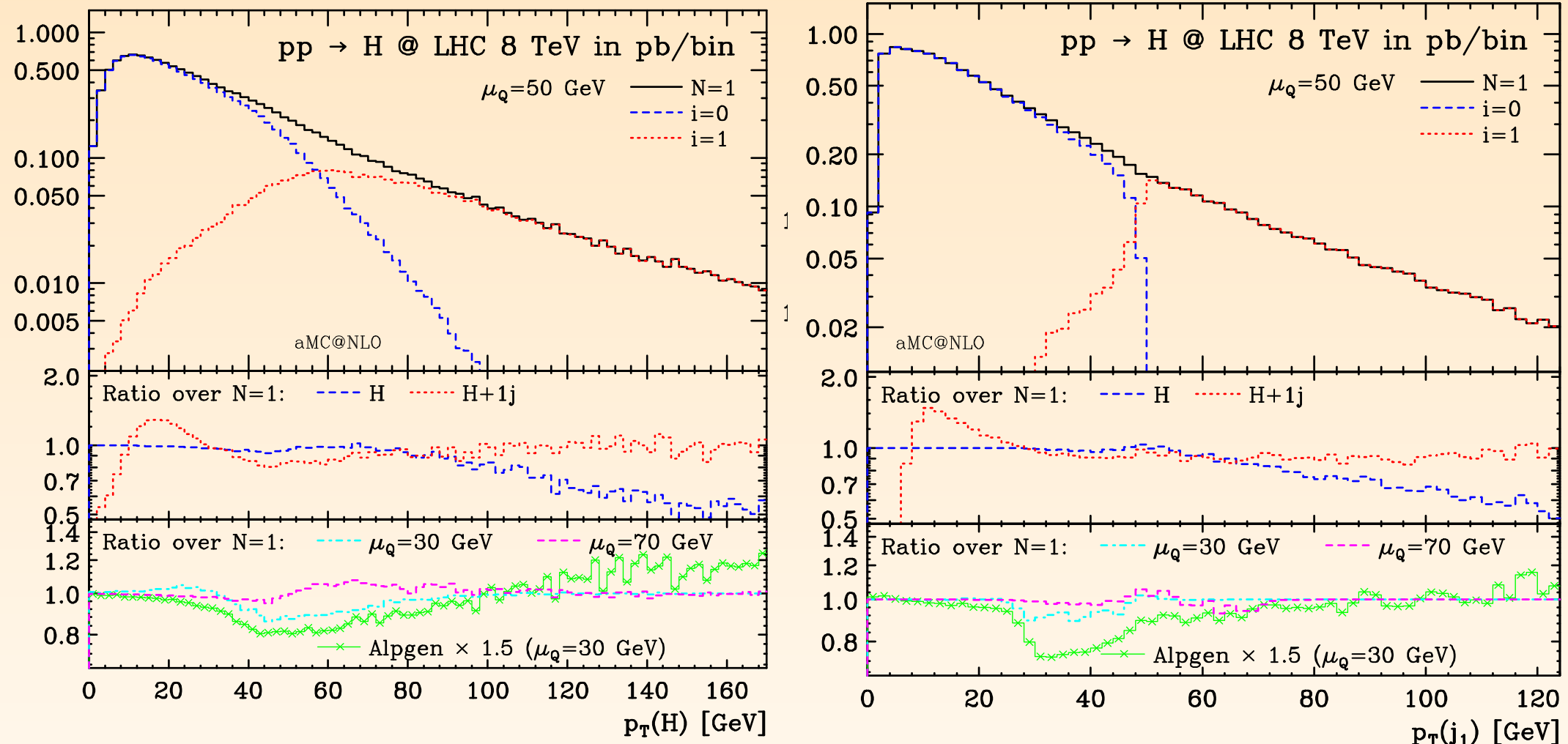
Physical curve	“Yes”
Tail	NLO
Integral	“NLO” (depending on Q)
Extendible to multi-jet	Yes

DIFFERENCES BETWEEN FxFx & MEPS@NLO

- ◆ Both FxFx and MEPS@NLO merging are based on making MC@NLO calculation for jet-multiplicities *exclusive* in more jets
 - Veto additional radiation; resum dependence on the veto scale (=merging scale)
- ◆ Major difference is in the way this exclusivity is applied
 - CKKW-L approach (i.e. Sudakov rejection based on shower kernels)
 - ◆ Used in Sherpa's "MEPS@NLO"
 - ◆ Using shower kernels prevents for a direct link with Minlo approach (and comparison to analytic resummation and accuracy), but prevents issues with mismatch in k_T and shower ordering values
 - Minlo (CKKW) from hard scale down to the scale of the softest jet not affected by veto; MLM-type rejection from there down to merging scale
 - ◆ Used in MadGraph5_aMC@NLO w/ Pythia/Herwig: "FxFx merging"
 - ◆ Direct link with Minlo, and MLM-type rejection prevents mismatches in ordering values.

FXFX MERGING: HIGGS BOSON PRODUCTION

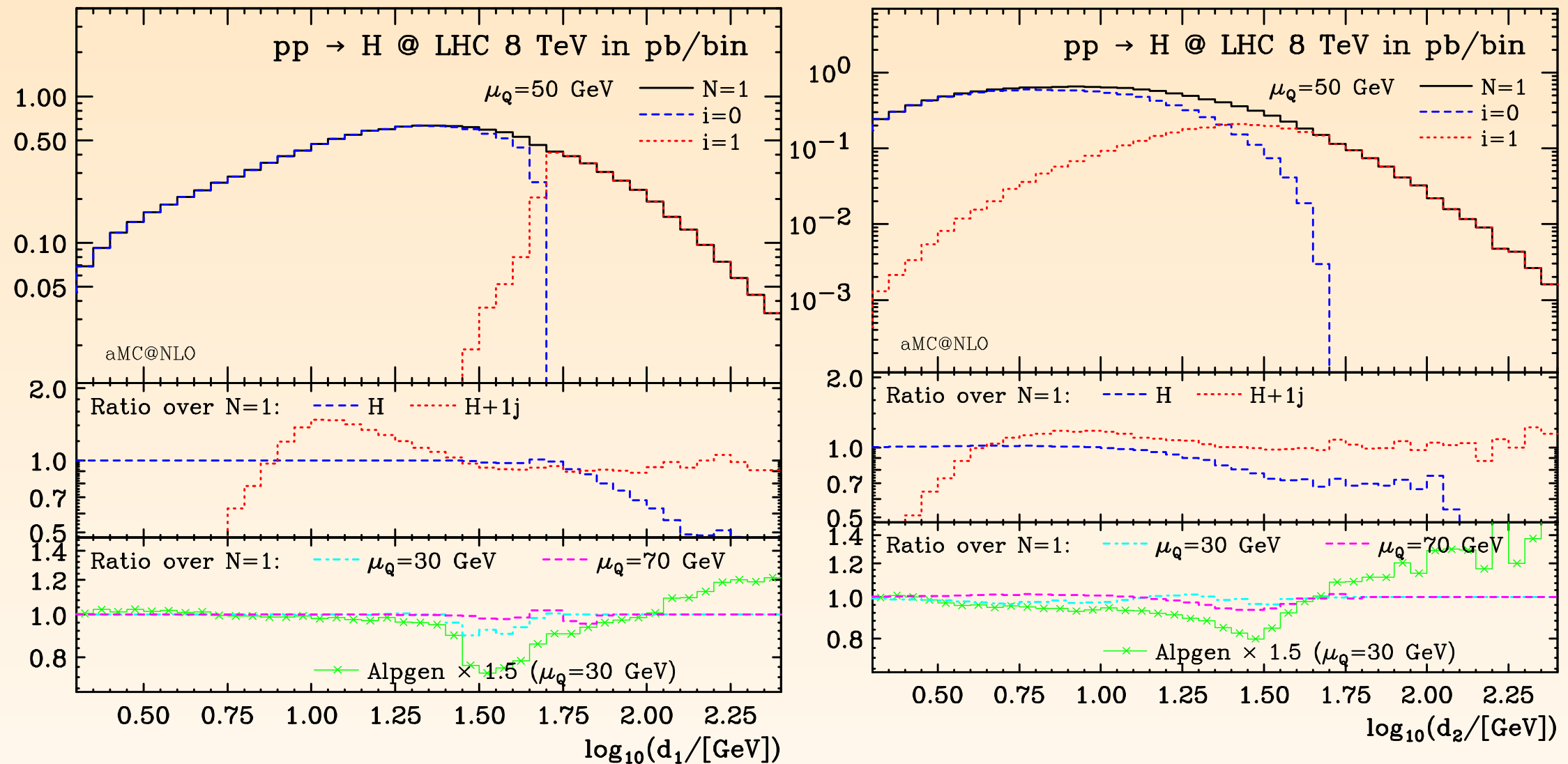
RF & Frixione, 2012



- ◆ Transverse momentum of the Higgs and of the 1st jet.
- ◆ Agreement with $H+0j$ at MC@NLO and $H+1j$ at MC@NLO in their respective regions of phase-space; Smooth matching in between; Small dependence on matching scale
- ◆ Alpgen (LO matching) shows larger kinks

FXFX MERGING: HIGGS BOSON PRODUCTION

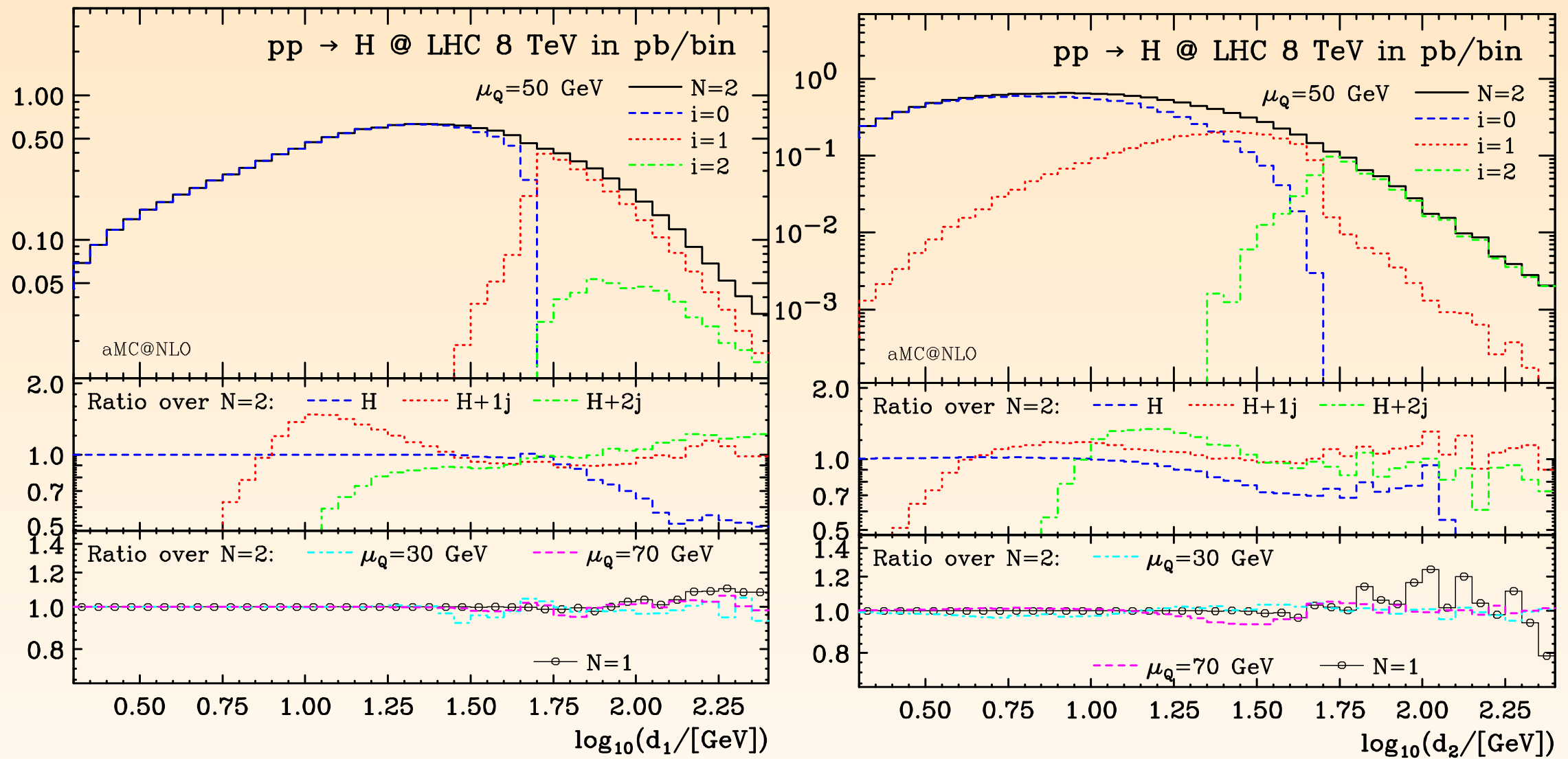
RF & Frixione, 2012



♦ Differential jet rates for 1-→0 and 2-→1

FXFX MERGING: HIGGS BOSON PRODUCTION

RF & Frixione, 2012

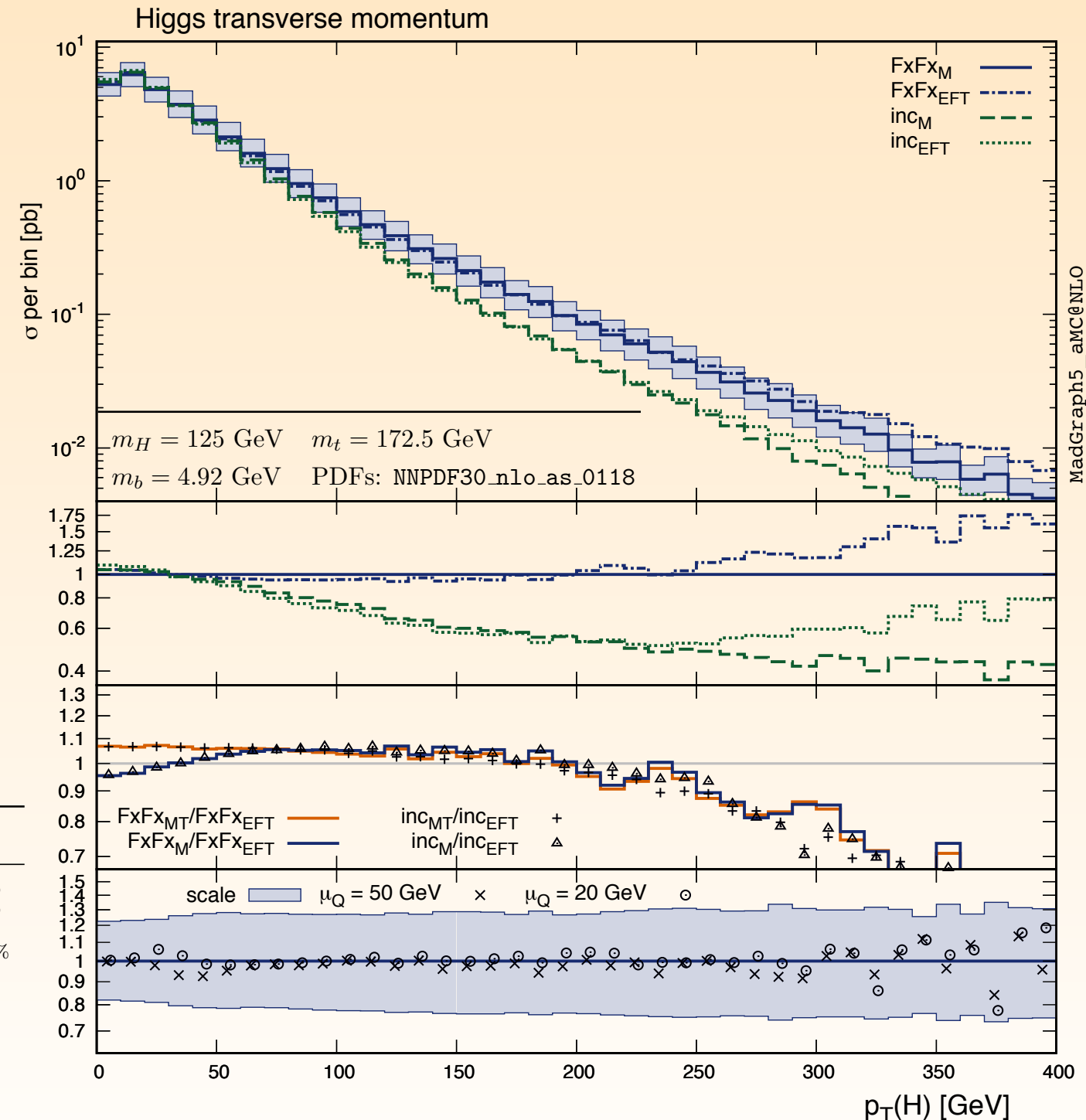


- ◆ Differential jet rates
- ◆ Matching up to 2 jets at NLO
- ◆ Results very much consistent with matching up to 1 jet at NLO

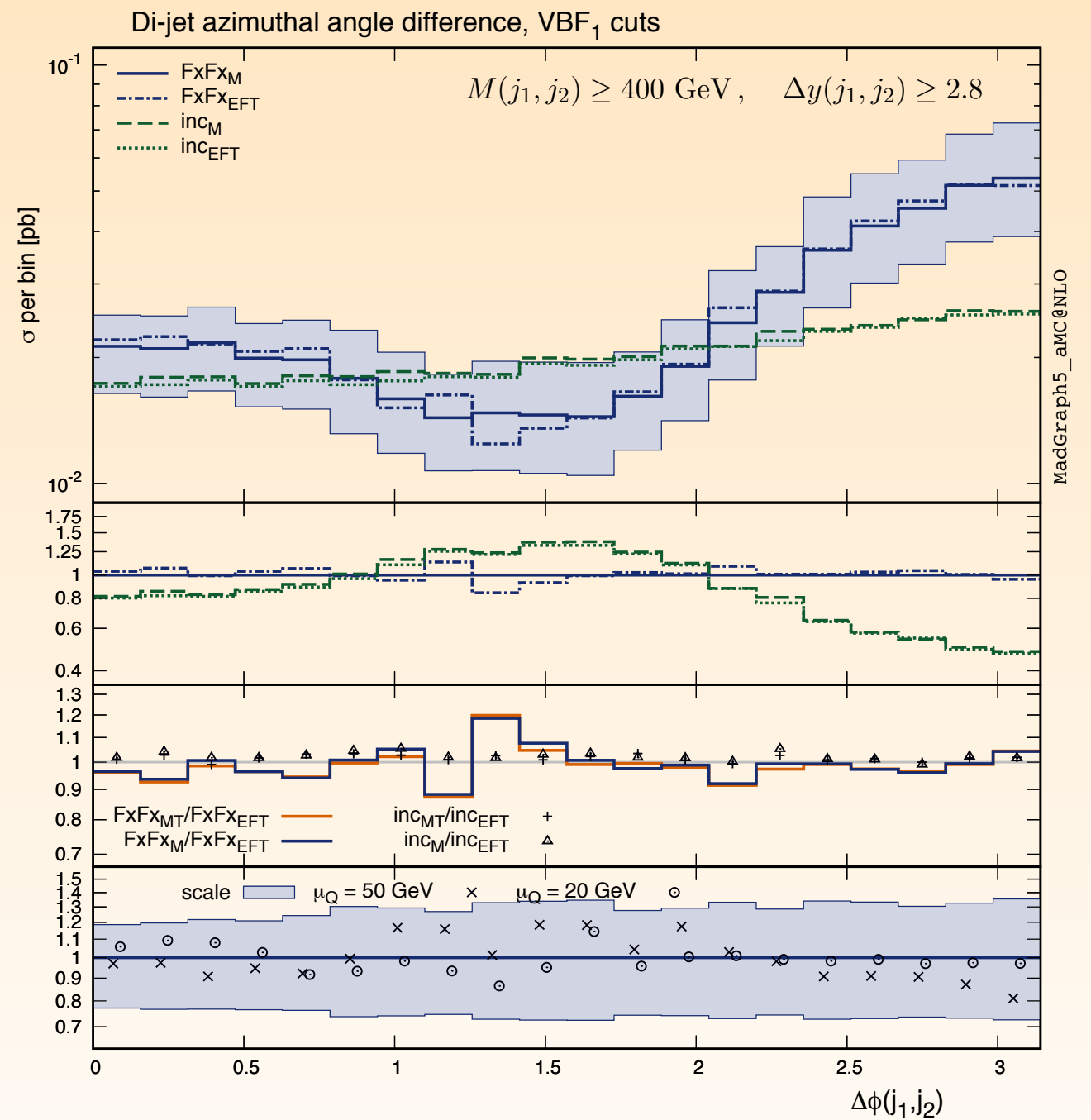
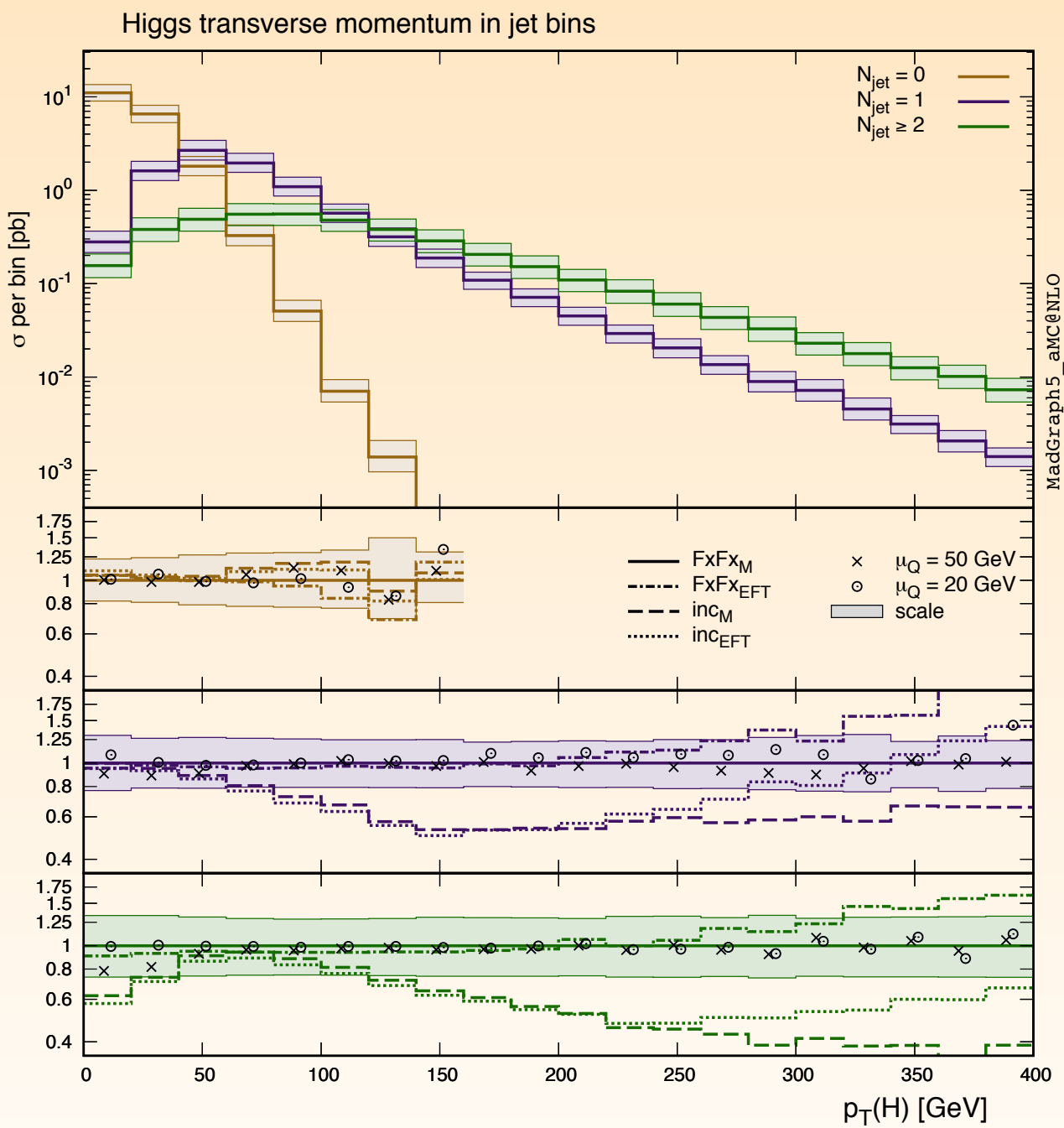
MASS EFFECTS

[RF, S. Frixione, E. Vryonidou, M. Wiesemann, 2016]

- ◆ Reweighting the EFT to include finite top (and bottom) quark mass effects, apart from the two-loop contributions to H+1j and H+2j NLO matrix elements
- ◆ Non-trivial effects in the Higgs boson transverse momentum



	FxFx _M	FxFx _{EFT}	inc _M	inc _{EFT}	σ_b
Total	32.83 ^{+24.9%} _{-19.5%} ^{+1.3%} _{-2.6%}	33.02 ^{+23.3%} _{-18.8%} ^{+1.4%} _{-2.4%}	31.13 ^{+21.0%} _{-18.2%}	31.31 ^{+19.7%} _{-17.6%}	-2.05 ^{+2.9%} _{-8.9%}
$N_{jet} = 0$	19.75 ^{+23.6%} _{-18.7%} ^{+2.4%} _{-0.5%}	20.37 ^{+21.8%} _{-18.0%} ^{+2.3%} _{-0.3%}	20.65 ^{+20.1%} _{-18.0%}	21.20 ^{+18.8%} _{-17.3%}	-1.97 ^{+5.7%} _{-11.1%}
$N_{jet} = 1$	9.011 ^{+26.4%} _{-20.5%} ^{+0.0%} _{-5.8%}	8.715 ^{+25.2%} _{-19.9%} ^{+0.0%} _{-6.1%}	7.397 ^{+22.0%} _{-18.6%}	7.136 ^{+21.1%} _{-18.0%}	-0.10 ^{+27%} _{-77%}
$N_{jet} \geq 2$	4.061 ^{+30.4%} _{-25.0%} ^{+0.0%} _{-5.7%}	3.935 ^{+29.7%} _{-24.8%} ^{+0.0%} _{-5.7%}	3.083 ^{+31.9%} _{-21.7%}	2.972 ^{+32.1%} _{-21.8%}	0
VBF ₁	0.512 ^{+29.6%} _{-26.0%} ^{+0.0%} _{-3.8%}	0.518 ^{+29.8%} _{-25.9%} ^{+0.0%} _{-5.1%}	0.411 ^{+32.7%} _{-22.0%}	0.402 ^{+32.7%} _{-22.0%}	0
VBF ₂	0.214 ^{+29.0%} _{-26.4%} ^{+0.0%} _{-2.3%}	0.221 ^{+30.5%} _{-26.7%} ^{+0.4%} _{-5.0%}	0.191 ^{+32.5%} _{-21.7%}	0.184 ^{+32.3%} _{-21.6%}	0



[RF, S. Frixione, E. Vryonidou, M. Wiesemann, 2016]

MULTI-JET PRODUCTION IN ASSOCIATION WITH AN EW BOSON

[RF, Frixione, Papaefstathiou, Prestel, Torrielli, 2016]

- ♦ FxFx merging for W and Z plus up to 2 jets at NLO for LHC 7 TeV

	$\mu_Q = 15 \text{ GeV}$	$\mu_Q = 25 \text{ GeV}$	$\mu_Q = 45 \text{ GeV}$	inclusive	
$Z + \text{jets}$	$2.055(-0.9\%)$	2.074	$2.085(+0.5\%)$	$2.012(-3.0\%)$	HW++
	$2.168(+0.8\%)$	2.150	$2.117(-1.5\%)$	$2.011(-6.5\%)$	PY8
$W + \text{jets}$	$20.60(-0.9\%)$	20.78	$20.87(+0.4\%)$	$19.96(-3.9\%)$	HW++
	$21.71(+1.0\%)$	21.50	$21.18(-1.5\%)$	$19.97(-7.1\%)$	PY8

- ♦ FxFx Merged results close to the NLO inclusive cross sections
- ♦ Order 1% dependence on the merging scale for total rates
 - slightly smaller for HW++ than for PY8
- ♦ Slightly larger cross section for PY8 than for HW++
- ♦ For comparisons to data (next slides) no normalisation factors applied: the normalisation of the predictions is as they come out of the code