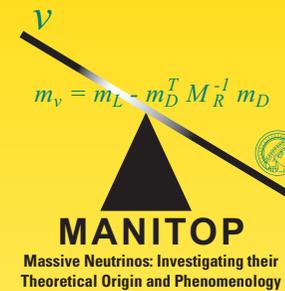


Neutrino Mass and Lepton Mixing



WERNER RODEJOHANN
RTG SEMINAR
24/06/15

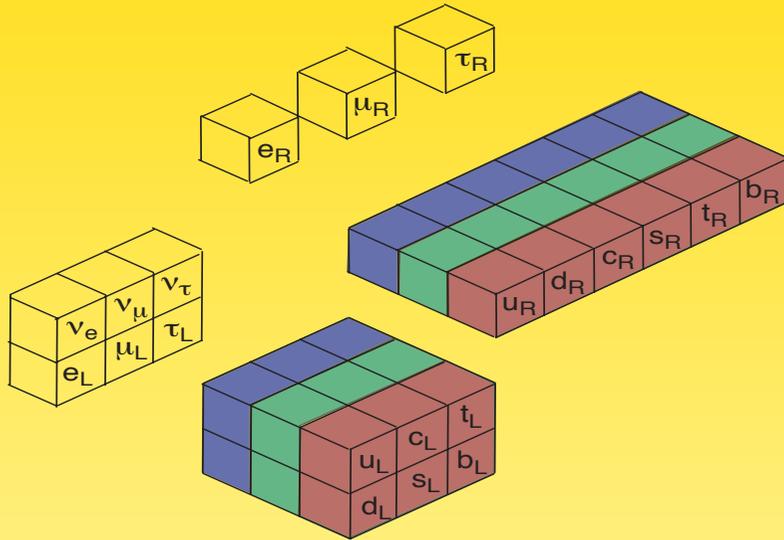


Neutrino properties in short

- massive
- mix
- probably Majorana

Neutrinos and the Standard Model

Standard Model of Elementary Particle Physics $SU(3)_C \times SU(2)_L \times U(1)_Y$



Species	#	Σ
Quarks	10	10
Leptons	3	13
Charge	3	16
Higgs	2	18
strong CP	1	19

19 free parameters...

+ Gravitation

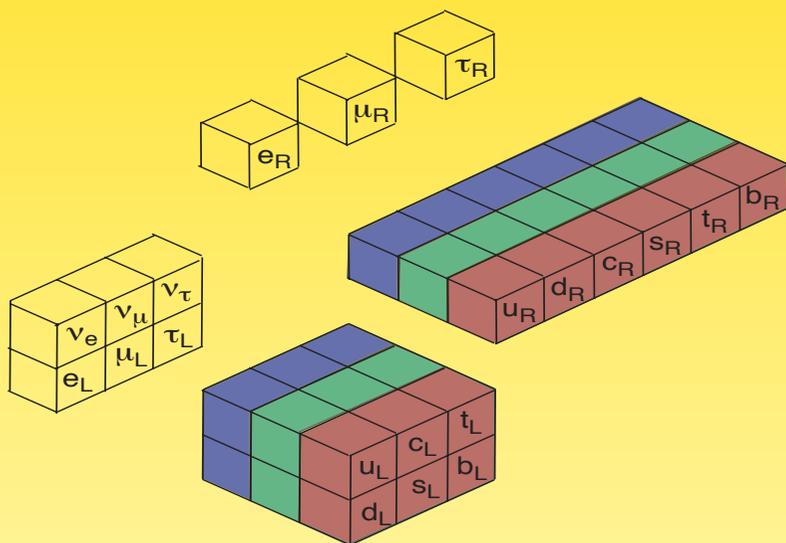
+ Dark Energy

+ Dark Matter

+ Baryon Asymmetry

Neutrinos and the Standard Model

Standard Model of Elementary Particle Physics $SU(3)_C \times SU(2)_L \times U(1)_Y$



Species	#	Σ
Quarks	10	10
Leptons	3	13
Charge	3	16
Higgs	2	18
strong CP	1	19

+ Neutrino Mass m_ν

Standard Model

add m_ν

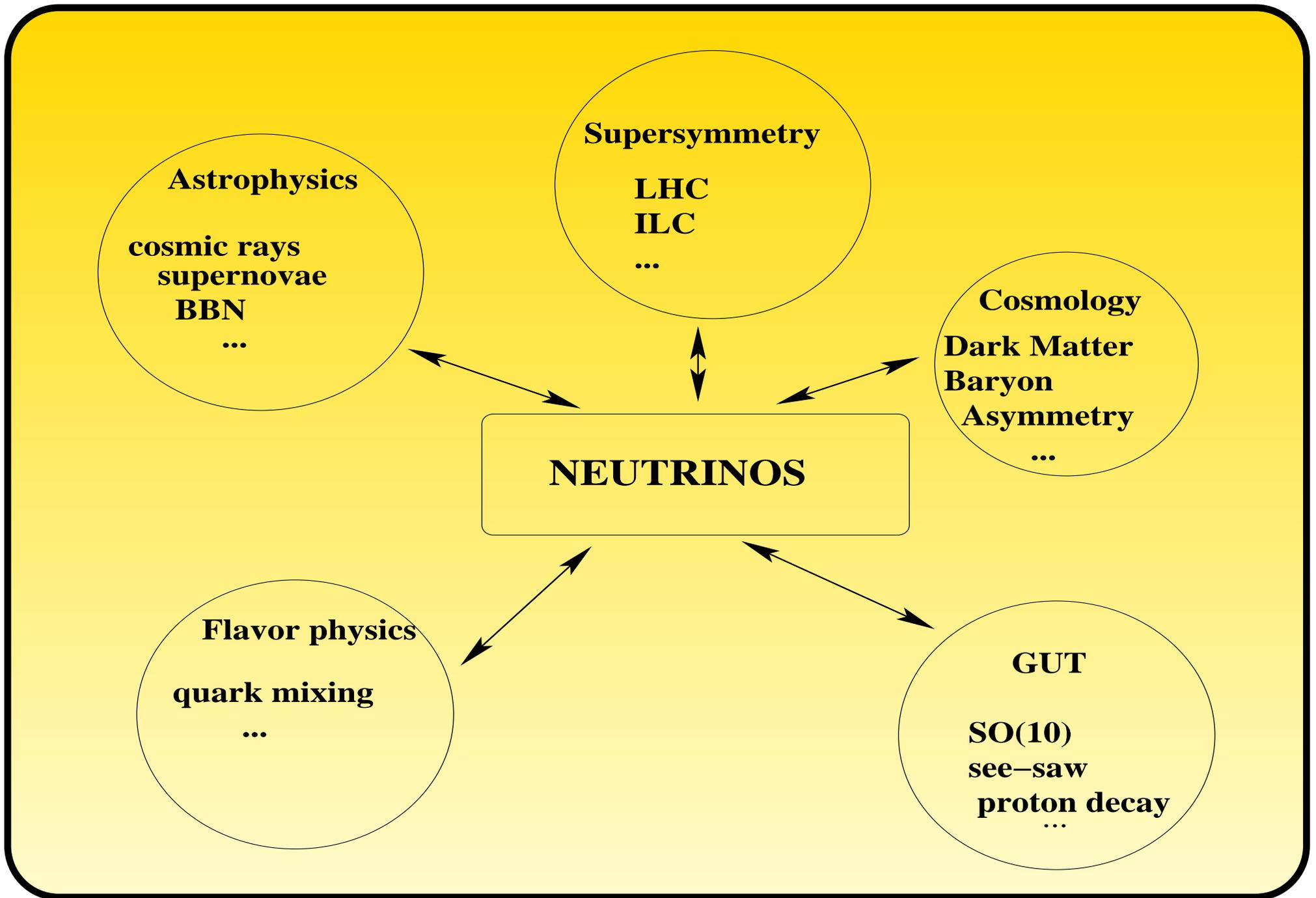
Species	#	Σ		Species	#	Σ
Quarks	10	10		Quarks	10	10
Leptons	3	13	→	Leptons	12 (10)	22 (20)
Charge	3	16		Charge	3	25 (23)
Higgs	2	18		Higgs	2	27 (25)
strong CP	1	19		strong CP	1	28 (26)

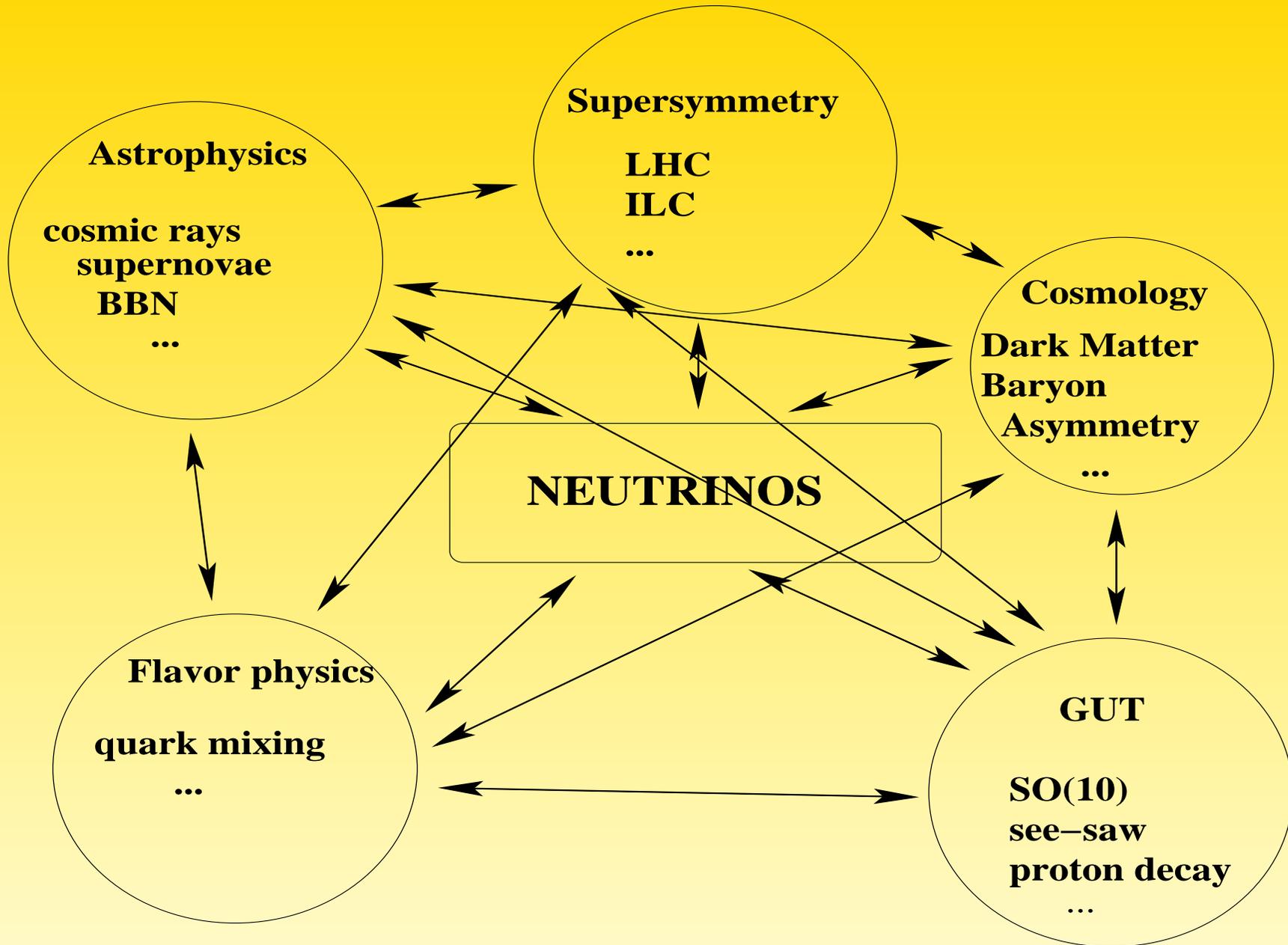
Standard Model*

add m_ν

Species	#	Σ		Species	#	Σ
Quarks	10	10		Quarks	10	10
Leptons	3	13	→	Leptons	12 (10)	22 (20)
Charge	3	16		charge	3	25 (23)
Higgs	2	18		Higgs	2	27 (25)
strong CP	1	19		strong CP	1	28 (26)

- plus new energy scale
- plus new representation
- plus new concepts
- plus...





Neutrino parameters at low energy

$$\mathcal{L} = \frac{1}{2} \nu^T m_\nu \nu \quad \text{with} \quad m_\nu = U \text{diag}(m_1, m_2, m_3) U^T$$

and Pontecorvo-Maki-Nakagawa-Sakata (PMNS) matrix

$$U = \begin{pmatrix} c_{12} c_{13} & s_{12} c_{13} & s_{13} e^{-i\delta} \\ -s_{12} c_{23} - c_{12} s_{23} s_{13} e^{i\delta} & c_{12} c_{23} - s_{12} s_{23} s_{13} e^{i\delta} & s_{23} c_{13} \\ s_{12} s_{23} - c_{12} c_{23} s_{13} e^{i\delta} & -c_{12} s_{23} - s_{12} c_{23} s_{13} e^{i\delta} & c_{23} c_{13} \end{pmatrix} P$$

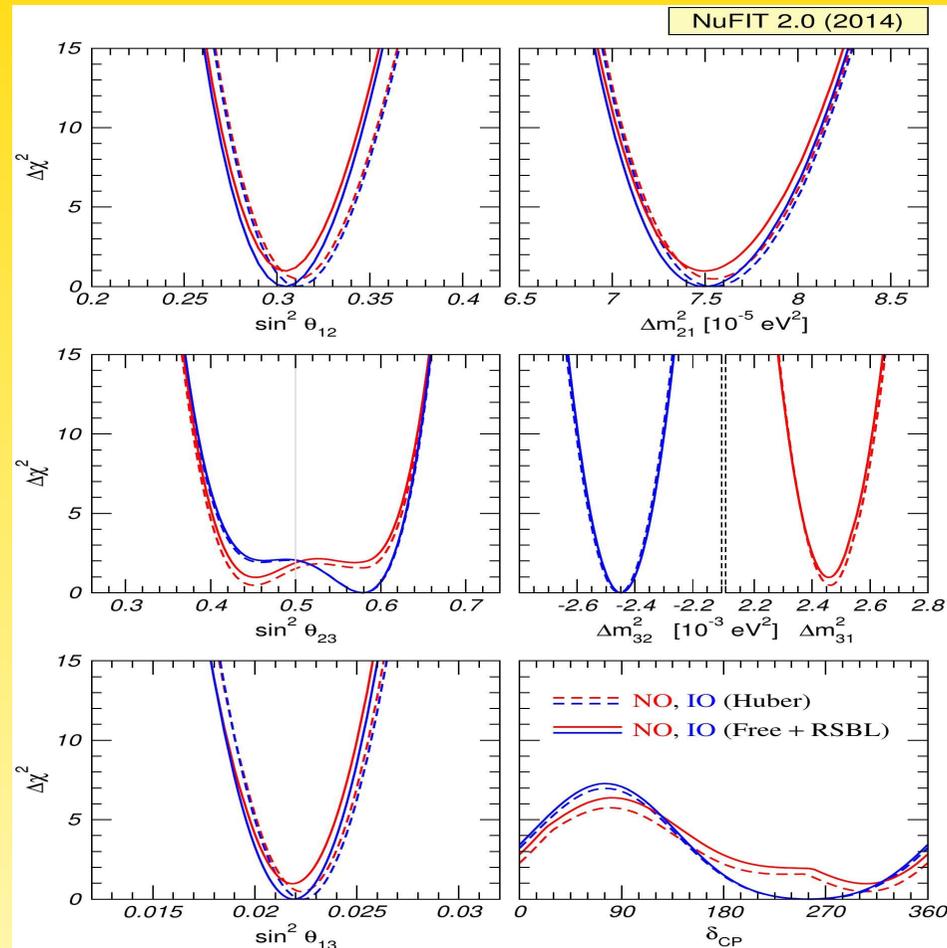
with $P = \text{diag}(1, e^{i\alpha}, e^{i(\beta+\delta)})$ (\leftrightarrow Majorana, violation of lepton number)

\Rightarrow 3 angles, 3 phases, 3 masses

3 Tasks

- determine parameters
- check if minimal description ("3 Majorana neutrino paradigm") is correct
- explain parameters

Status of global fits



nu-fit.org, September 2014

PMNS matrix is given by

$$|U| = \begin{pmatrix} 0.801 \dots 0.845 & 0.514 \dots 0.580 & 0.137 \dots 0.158 \\ 0.225 \dots 0.517 & 0.441 \dots 0.699 & 0.614 \dots 0.793 \\ 0.246 \dots 0.529 & 0.464 \dots 0.713 & 0.590 \dots 0.776 \end{pmatrix}$$

compare with CKM:

$$|V| = \begin{pmatrix} 0.97427 \pm 0.00014 & 0.22536 \pm 0.00061 & 0.00355 \pm 0.00015 \\ 0.225220 \pm 0.000615 & 0.973434 \pm 0.00015 & 0.0414 \pm 0.0012 \\ 0.00886^{+0.00033}_{-0.00032} & 0.0405^{+0.0011}_{-0.0012} & 0.99914 \pm 0.00005 \end{pmatrix}$$

Why so different?? \leftrightarrow Flavour symmetries?

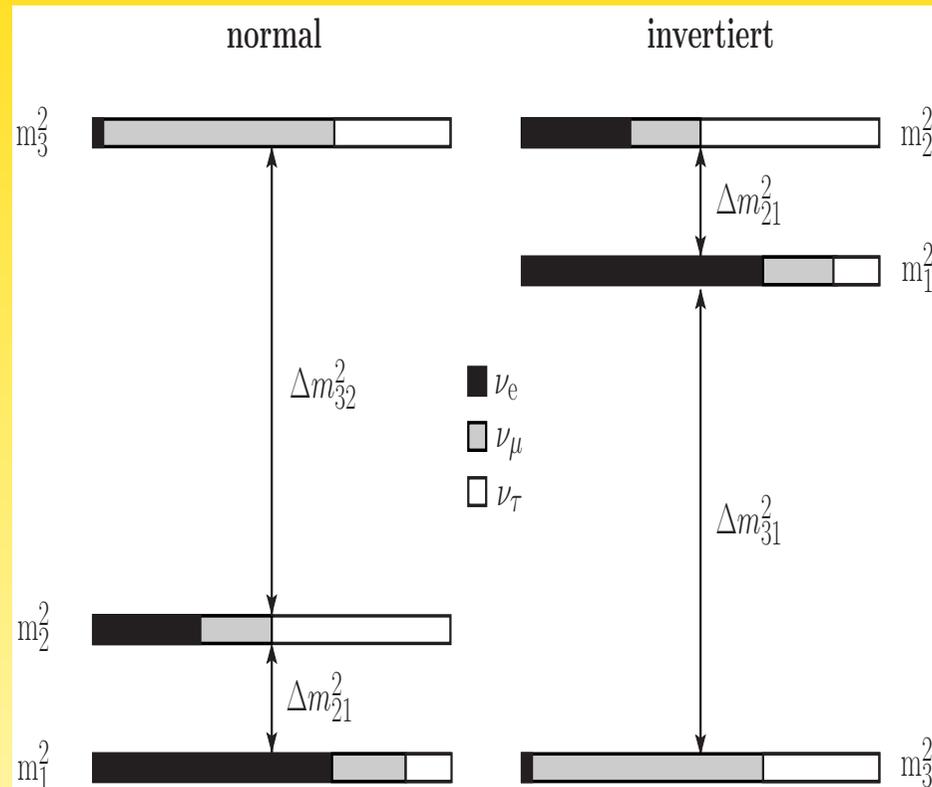
Mass scale

$$\begin{pmatrix} u \\ d \end{pmatrix} \text{ with } m_u \simeq m_d \quad \text{versus} \quad \begin{pmatrix} \nu_e \\ e \end{pmatrix} \text{ with } m_\nu \simeq 10^{-6} m_e$$

why m_ν tiny? \Rightarrow Seesaw Mechanism

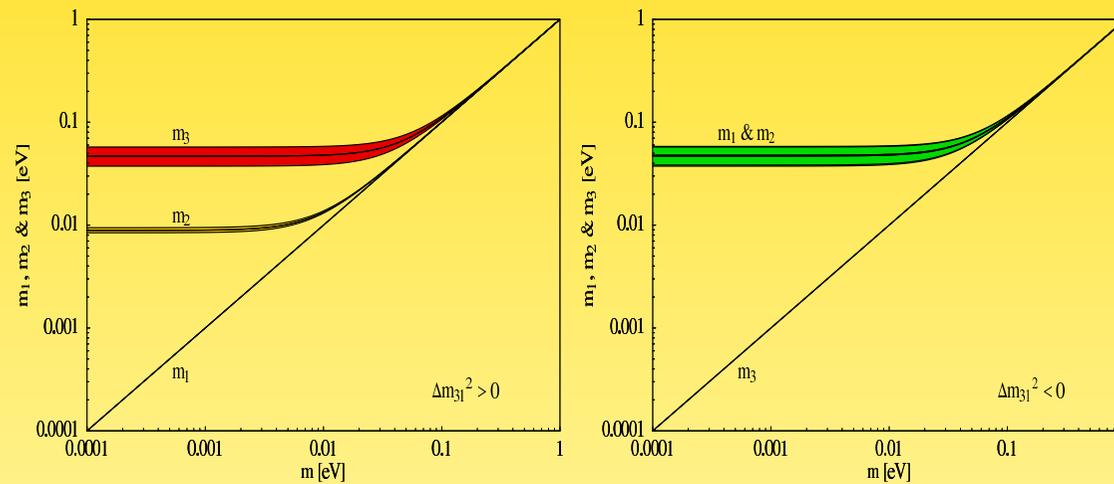


Mass scale and ordering



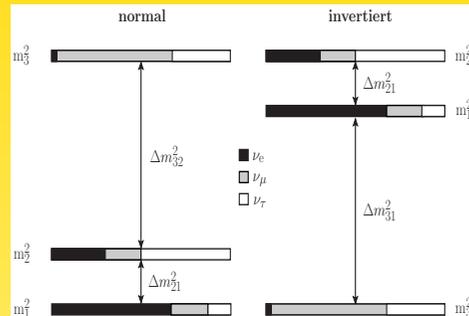
scale and normal/inverted fundamental input for model builders!

Mass scale and ordering



scale and normal/inverted fundamental input for model builders!

Mass scale and ordering



$$(m_\nu)_{\text{NH}} \sim \begin{pmatrix} \epsilon^2 & \epsilon & \epsilon \\ \epsilon & 1 & 1 \\ \epsilon & 1 & 1 \end{pmatrix} \quad \text{versus} \quad (m_\nu)_{\text{IH}} \sim \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix}$$

typical: $m_\nu \propto 1/\Lambda_{\text{NP}}$

scale and normal/inverted fundamental input for model builders!

Status 24/06/2015

9 physical parameters in m_ν

- θ_{12} and $m_2^2 - m_1^2$
- θ_{23} and $|m_3^2 - m_2^2|$
- θ_{13}
- m_1, m_2, m_3
- $\text{sgn}(m_3^2 - m_2^2)$
- Dirac phase δ
- Majorana phases α and β

Lessons

- consistent picture emerging
- there are 3 generation effects!
- about $1, 2\sigma$ hint for $\theta_{23} \neq \pi/4, \delta \neq 0$
- no hint for mass ordering
- future program of experiments to pin down, make more precise
- all is well...?

Sterile Neutrinos??

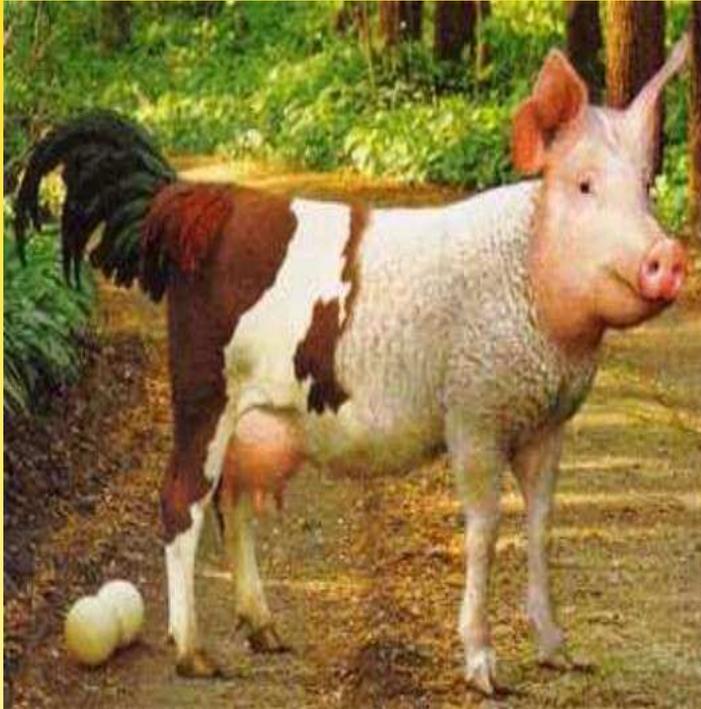
- LSND/MiniBooNE/gallium
- cosmology
- BBN
- r -process nucleosynthesis in Supernovae
- reactor anomaly

	$\Delta m_{41}^2 [\text{eV}^2]$	$ U_{e4} $	$ U_{\mu 4} $	$\Delta m_{51}^2 [\text{eV}^2]$	$ U_{e5} $	$ U_{\mu 5} $
3+2/2+3	0.47	0.128	0.165	0.87	0.138	0.148
1+3+1	0.47	0.129	0.154	0.87	0.142	0.163

or $\Delta m_{41}^2 = 1.78 \text{ eV}^2$ and $|U_{e4}|^2 = 0.151$

Kopp, Maltoni, Schwetz, 1103.4570

Sterile Neutrinos



- $\ll eV$: missing upturn of P_{ee}^{\odot}
- eV : SBL Anomalies
- eV : N_{eff} (Cosmology, BBN), r -process
- (eV : BICEP-2 and Planck)
- keV : Warm Dark Matter
- TeV : Z -width, NuTeV
- 10^{10} GeV: Leptogenesis
- 10^{15} GeV: Seesaw Mechanism

New New Physics

- Sterile Neutrinos...
 - ...can mix with active neutrinos
 - ...can couple to Higgs
 - ...can couple to BSM physics
- good reasons to look for other exotic things...
 - ...long-range forces
 - ...non-standard interactions
 - ...CPT violation
 - ...Lorentz invariance violation
 -

Mass scale

$$\begin{pmatrix} u \\ d \end{pmatrix} \text{ with } m_u \simeq m_d \quad \text{versus} \quad \begin{pmatrix} \nu_e \\ e \end{pmatrix} \text{ with } m_\nu \simeq 10^{-6} m_e$$

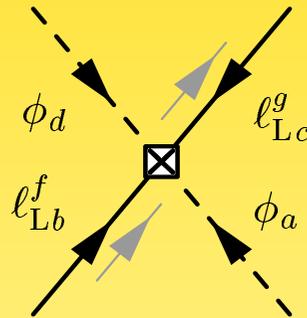
why m_ν tiny? \Rightarrow Seesaw Mechanism



Effective Suppression

There is only 1 dimension-5 operator (Weinberg 1979):

$$\frac{1}{\Lambda} \mathcal{O}_5 = \frac{c}{\Lambda} \bar{L}^c \tilde{\Phi}^* \tilde{\Phi}^\dagger L \xrightarrow{\text{EWSB}} \frac{c v^2}{2\Lambda} \overline{(\nu_L)^c} \nu_L \equiv \frac{1}{2} m_\nu \overline{(\nu_L)^c} \nu_L$$



can be UV-completed by introducing $N_R \sim (1, 0)$ with couplings

$$1) : Y_\nu \bar{L} \Phi N_R \rightarrow m_D \bar{\nu}_L N_R$$

$$2) : M_R \bar{N}_R^c N_R$$

(one of only three tree-level realizations of Weinberg-operator)

Origin of small neutrino masses

Simplest concept^a introduces 3 new aspects:

- fermionic singlets $N_R \sim (1, 0)$
- new energy scale $M_R (\propto 1/m_\nu)$
- lepton number violation



$$m_\nu = m_D^2/M_R = m_{\text{SM}}^2/M_R = m_{\text{SM}} \epsilon \text{ with } \epsilon = m_{\text{SM}}/M_R$$

^anaturally realized in $SO(10)$ models!

Type I Seesaw Formalism

$$\mathcal{L} = \frac{1}{2} (\bar{\nu}_L, \bar{N}_R^c) \begin{pmatrix} 0 & m_D \\ m_D^T & M_R \end{pmatrix} \begin{pmatrix} \nu_L^c \\ N_R \end{pmatrix}$$

(arbitrary...) 6×6 (?) matrix, for $M_R \gg m_D$ diagonalized with

$$\mathcal{U}_\nu \simeq \begin{pmatrix} 1 - \frac{1}{2} B B^\dagger & B \\ -B^\dagger & 1 - \frac{1}{2} B^\dagger B \end{pmatrix} \begin{pmatrix} U & 0 \\ 0 & V_R \end{pmatrix} \quad \text{with } B = m_D M_R^{-1}$$

light neutrinos:

$$m_\nu = -m_D M_R^{-1} m_D^T = U \text{diag}(m_1, m_2, m_3) U^T$$

light-heavy mixing (unitarity violation):

$$\theta \sim \frac{m_D}{M_R} = \sqrt{\frac{m_\nu}{M_R}} \simeq 10^{-7} \left(\frac{\text{TeV}}{M_R} \right)^{-1/2}$$

BUT: these are matrices, can be increased up to limits of $\mathcal{O}(10^{-2})$

Formalism: NⁿLO-Terms

$$\tilde{m}_\nu = -m_D^T M_R^{-1} m_D + \frac{1}{2} m_D^T M_R^{-1} X M_R^{-1} m_D$$

$$\tilde{M}_R = M_R + \frac{1}{2} X$$

with $X \equiv A + A^T$, where $A \equiv m_D m_D^\dagger (M_R^*)^{-1}$ and NNLO terms

$$\begin{aligned} \tilde{m}_\nu^{\text{NNLO}} = & \frac{1}{2} m_D^T M_R^{-1} \left[\frac{1}{4} A M_R^{-1} A + \frac{1}{4} A^T M_R^{-1} A^T + \frac{1}{2} A^T M_R^{-1} A + \frac{1}{2} (M_R^*)^{-1} A^* A^T \right. \\ & \left. + \frac{1}{2} A A^\dagger (M_R^*)^{-1} + A A^* (M_R^*)^{-1} + (M_R^*)^{-1} A^\dagger A^T \right] M_R^{-1} m_D \end{aligned}$$

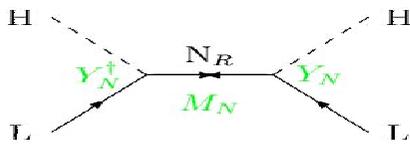
$$\tilde{M}_R^{\text{NNLO}} = -\frac{1}{2} \left[A A^* (M_R^*)^{-1} + (M_R^*)^{-1} A^\dagger A^T + \frac{1}{4} A M_R^{-1} A + \frac{1}{4} A^T M_R^{-1} A^T \right]$$

Hettmansperger, Lindner, W.R., JHEP **1104**

The 3 basic seesaw models

↪ i.e. tree level ways to generate the dim 5 operator

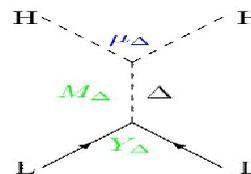
Right-handed singlet:
(type-I seesaw)



$$m_\nu = Y_N^T \frac{1}{M_N} Y_N v^2$$

Minkowski; Gellman, Ramon, Slansky;
Yanagida; Glashow; Mohapatra, Senjanovic

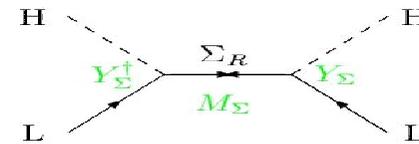
Scalar triplet:
(type-II seesaw)



$$m_\nu = Y_\Delta \frac{\mu_\Delta}{M_\Delta^2} v^2$$

Magg, Wetterich; Lazarides, Shafi;
Mohapatra, Senjanovic; Schechter, Valle

Fermion triplet:
(type-III seesaw)



$$m_\nu = Y_\Sigma^T \frac{1}{M_\Sigma} Y_\Sigma v^2$$

Foot, Lew, He, Joshi; Ma; Ma, Roy; T.H., Lin,
Notari, Papucci, Strumia; Bajc, Nemevsek,
Senjanovic; Dorsner, Fileviez-Perez; ...

slide by T. Hambye

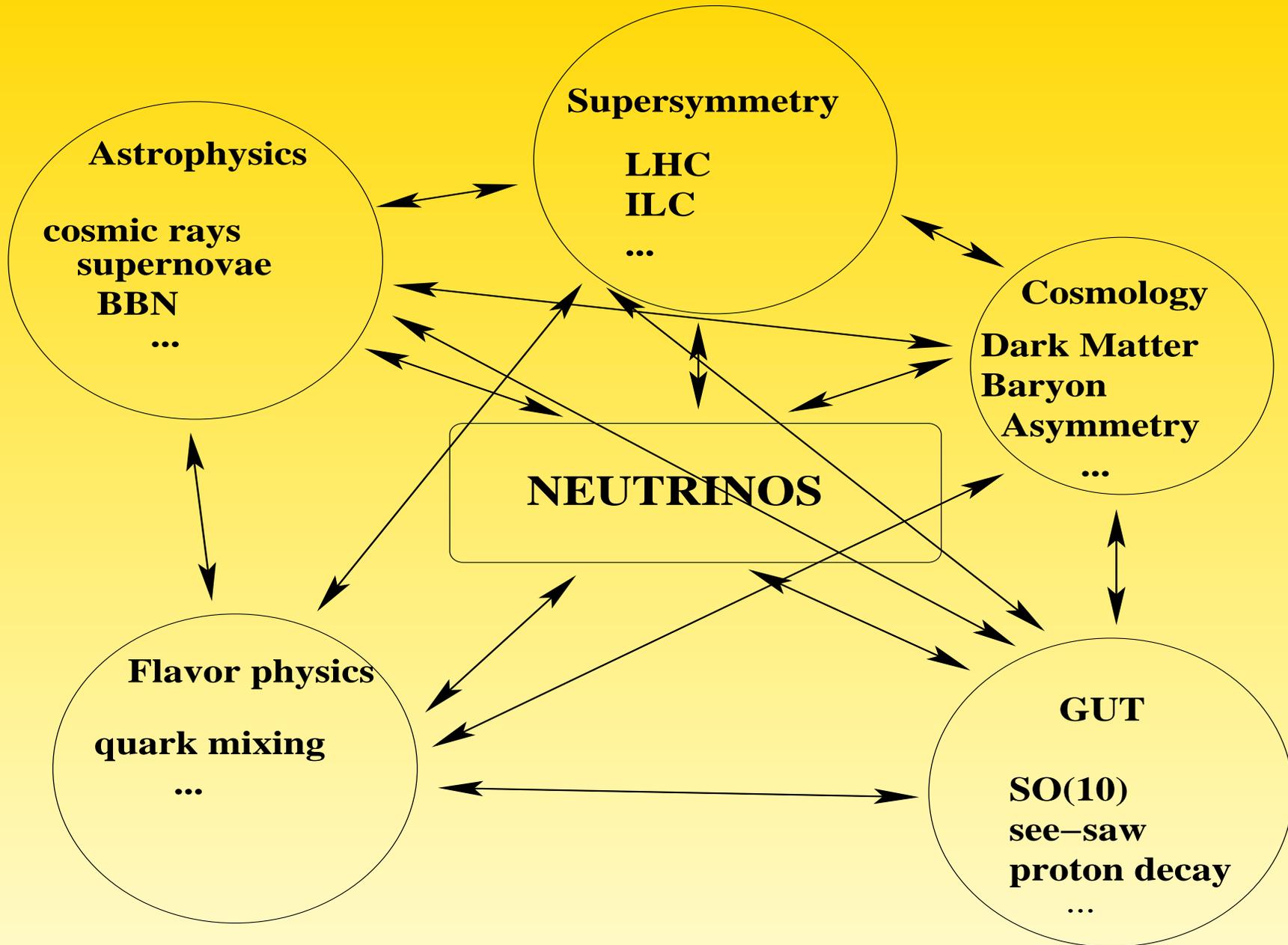
Tests of Seesaw?

naively, $M_R = m_D^2/m_\nu = v^2/\sqrt{\Delta m_A^2} \sim 10^{15}$ GeV...

Seesaw portal: $\bar{L} \Phi N_R \longrightarrow m_D$:

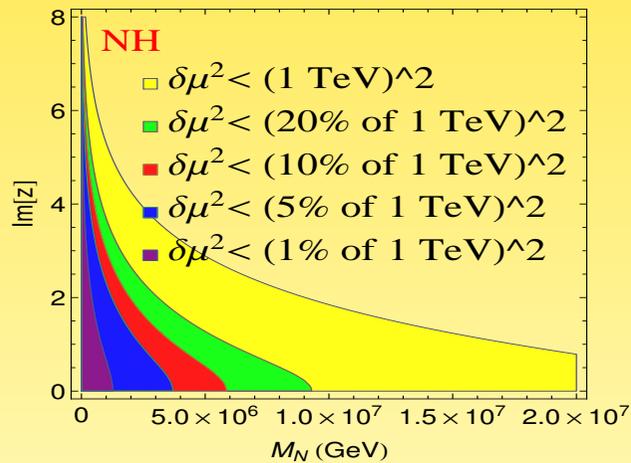
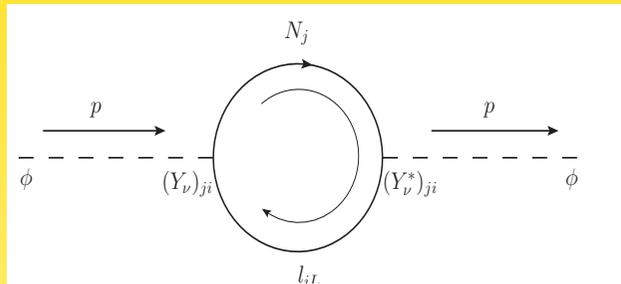
Vertex of L, Φ, N_R !

- $N_R \rightarrow L \Phi$: leptogenesis
- $L_\alpha \rightarrow N_R \Phi \rightarrow L_\beta$: lepton flavor violation
- $\Phi \rightarrow N_R L \rightarrow \Phi$: vacuum stability, naturalness

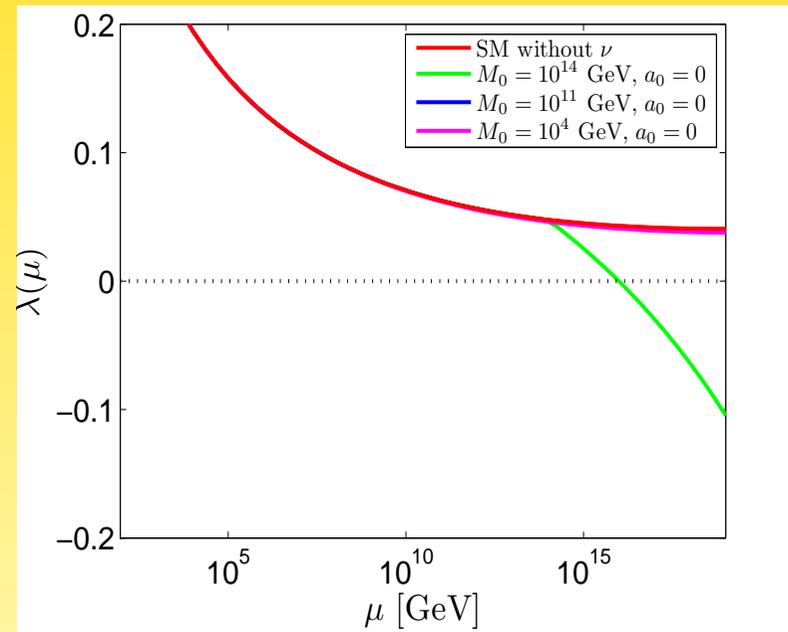


Higgs and Seesaw Mechanism

Naturalness:



Vacuum-stability:



Paths to Neutrino Mass

approach	ingredient	quantum number of messenger	\mathcal{L}	m_ν	scale
“SM” (Dirac mass)	RH ν	$N_R \sim (1, 0)$	$h \overline{N}_R \Phi L$	$h v$	$h = \mathcal{O}(10^{-12})$
“effective” (dim 5 operator)	new scale + LNV	-	$h \overline{L^c} \Phi \Phi L$	$\frac{h v^2}{\Lambda}$	$\Lambda = 10^{14}$ GeV
“direct” (type II seesaw)	Higgs triplet + LNV	$\Delta \sim (3, -2)$	$h \overline{L^c} \Delta L + \mu \Phi \Phi \Delta$	$h v_T$	$\Lambda = \frac{1}{h \mu} M_\Delta^2$
“indirect 1” (type I seesaw)	RH ν + LNV	$N_R \sim (1, 0)$	$h \overline{N}_R \Phi L + \overline{N}_R M_R N_R^c$	$\frac{(h v)^2}{M_R}$	$\Lambda = \frac{1}{h} M_R$
“indirect 2” (type III seesaw)	fermion triplets + LNV	$\Sigma \sim (3, 0)$	$h \overline{\Sigma} L \Phi + \text{Tr} \overline{\Sigma} M_\Sigma \Sigma$	$\frac{(h v)^2}{M_\Sigma}$	$\Lambda = \frac{1}{h} M_\Sigma$

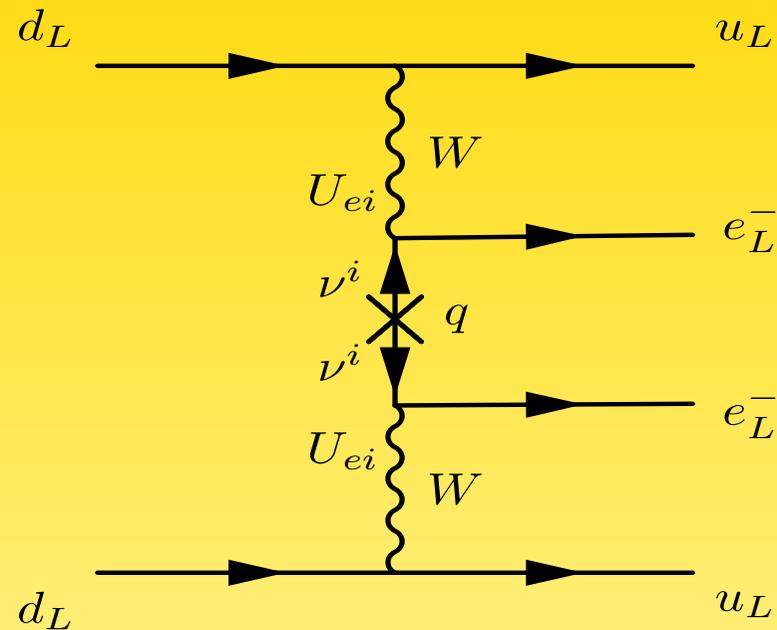
plus seesaw variants (linear, double, inverse, ...)

plus radiative mechanisms

plus extra dimensions

plusplus

Consequence of almost all mechanisms: lepton number violation



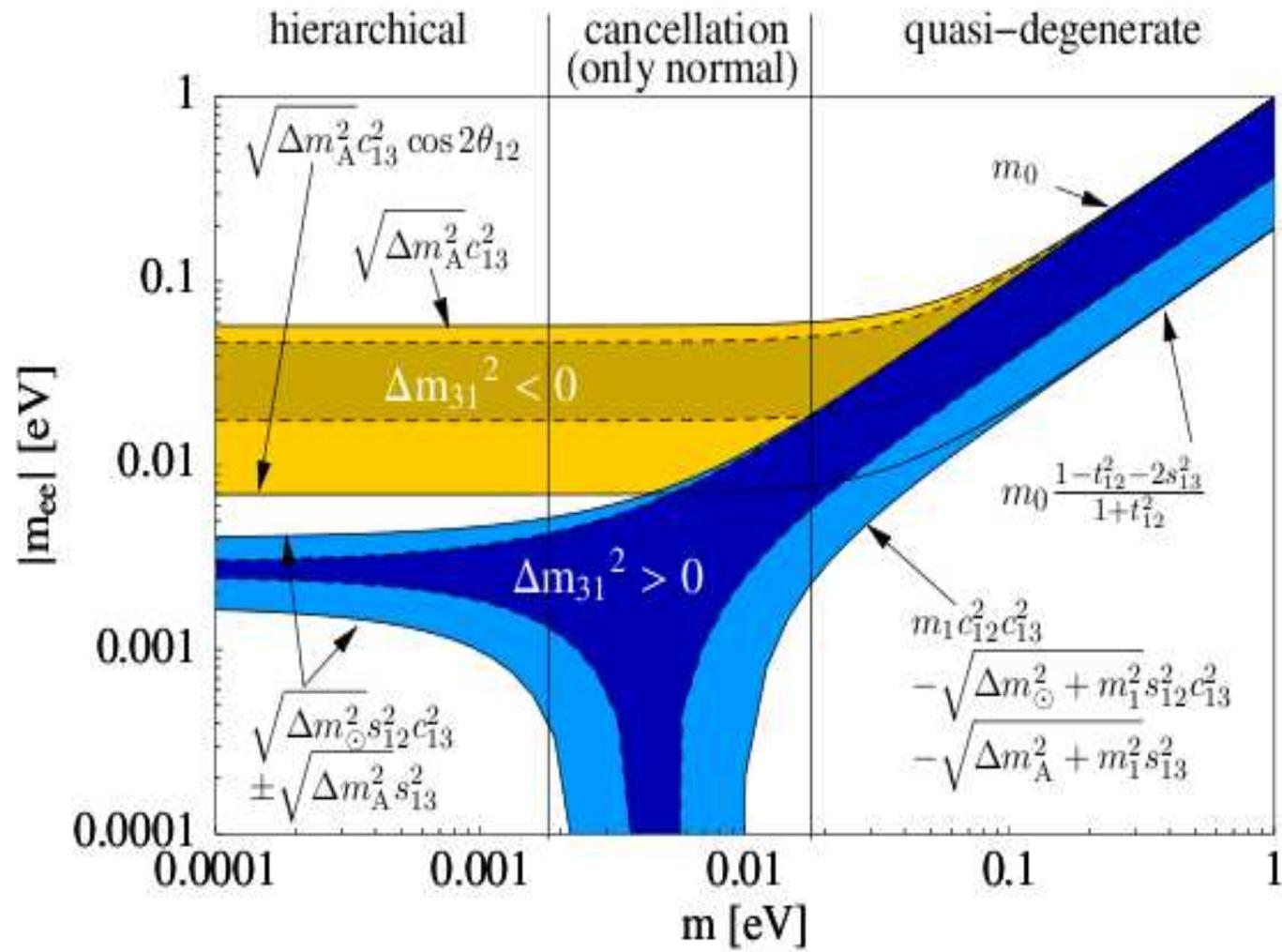
Neutrinoless double beta decay $(A, Z) \rightarrow (A, Z + 2) + 2 e^-$

$$|m_{ee}| \equiv \left| \sum U_{ei}^2 m_i \right| = \left| |U_{e1}|^2 m_1 + |U_{e2}|^2 m_2 e^{2i\alpha} + |U_{e3}|^2 m_3 e^{2i\beta} \right|$$

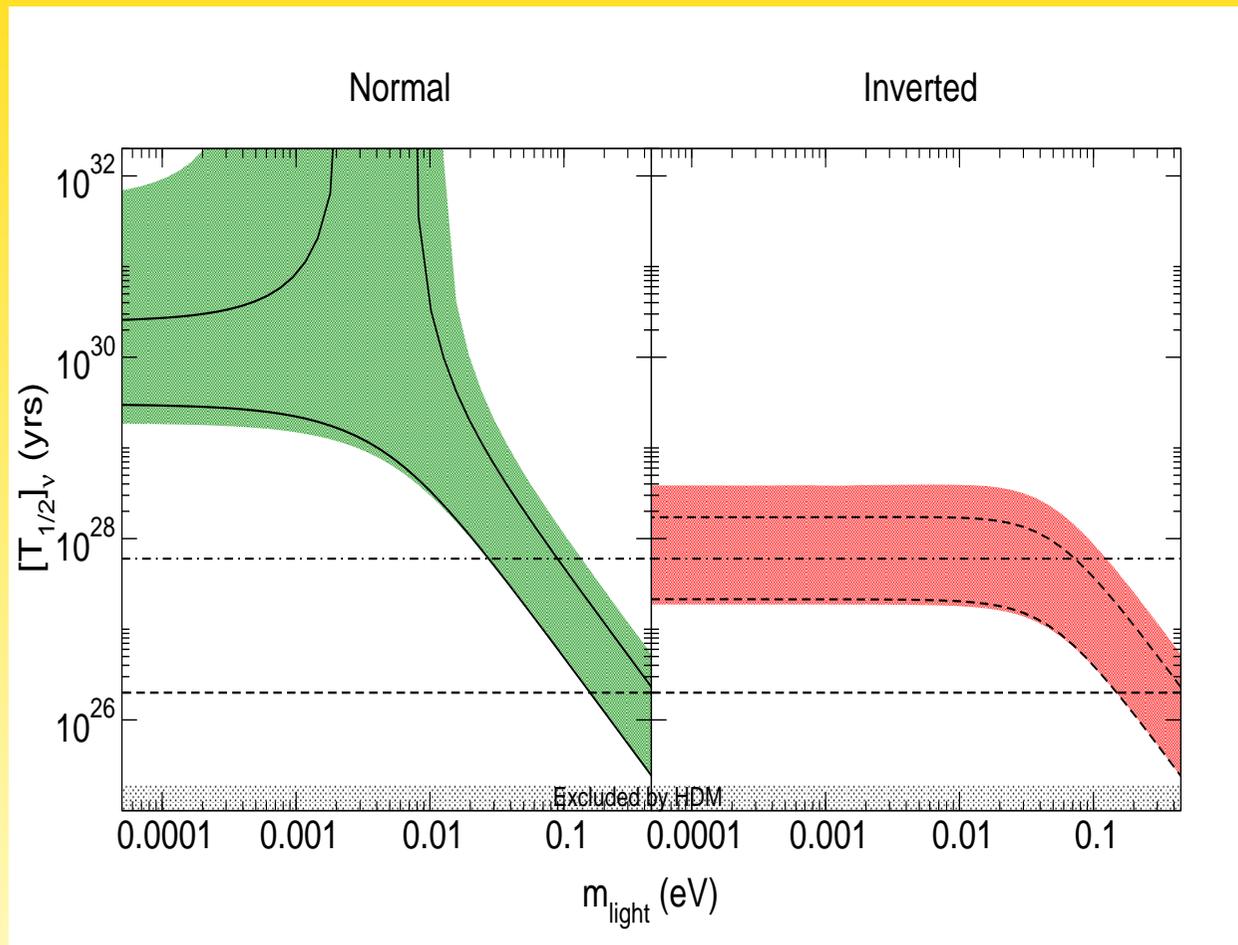
$$= f(\theta_{12}, |U_{e3}|, m_i, \text{sgn}(\Delta m_A^2), \alpha, \beta)$$

7 of 9 parameters!

Usual plot...



...Lifetime vs. smallest mass



Exciting times

HDM-limit from 2001 improved in 2012/13...

Name	Isotope	source = detektor; calorimetric with			source \neq detector
		ΔE high	ΔE low	topology	topology
AMoRE	^{100}Mo	✓	–	–	–
CANDLES	^{48}Ca	–	✓	–	–
COBRA	^{116}Cd (und ^{130}Te)	–	–	✓	–
CUORE	^{130}Te	✓	–	–	–
CUPID	^{82}Se / ^{100}Mo / ^{116}Cd / ^{130}Te	✓	–	–	–
DCBA/MTD	^{82}Se / ^{150}Nd	–	–	–	✓
EXO	^{136}Xe	–	–	✓	–
GERDA	^{76}Ge	✓	–	–	–
KamLAND-Zen	^{136}Xe	–	✓	–	–
LUCIFER	^{82}Se / ^{100}Mo / ^{130}Te	✓	–	–	–
LUMINEU	^{100}Mo	✓	–	–	–
MAJORANA	^{76}Ge	✓	–	–	–
MOON	^{82}Se / ^{100}Mo / ^{150}Nd	–	–	–	✓
NEXT	^{136}Xe	–	–	✓	–
SNO+	^{130}Te	–	✓	–	–
SuperNEMO	^{82}Se / ^{150}Nd	–	–	–	✓
XMASS	^{136}Xe	–	✓	–	–

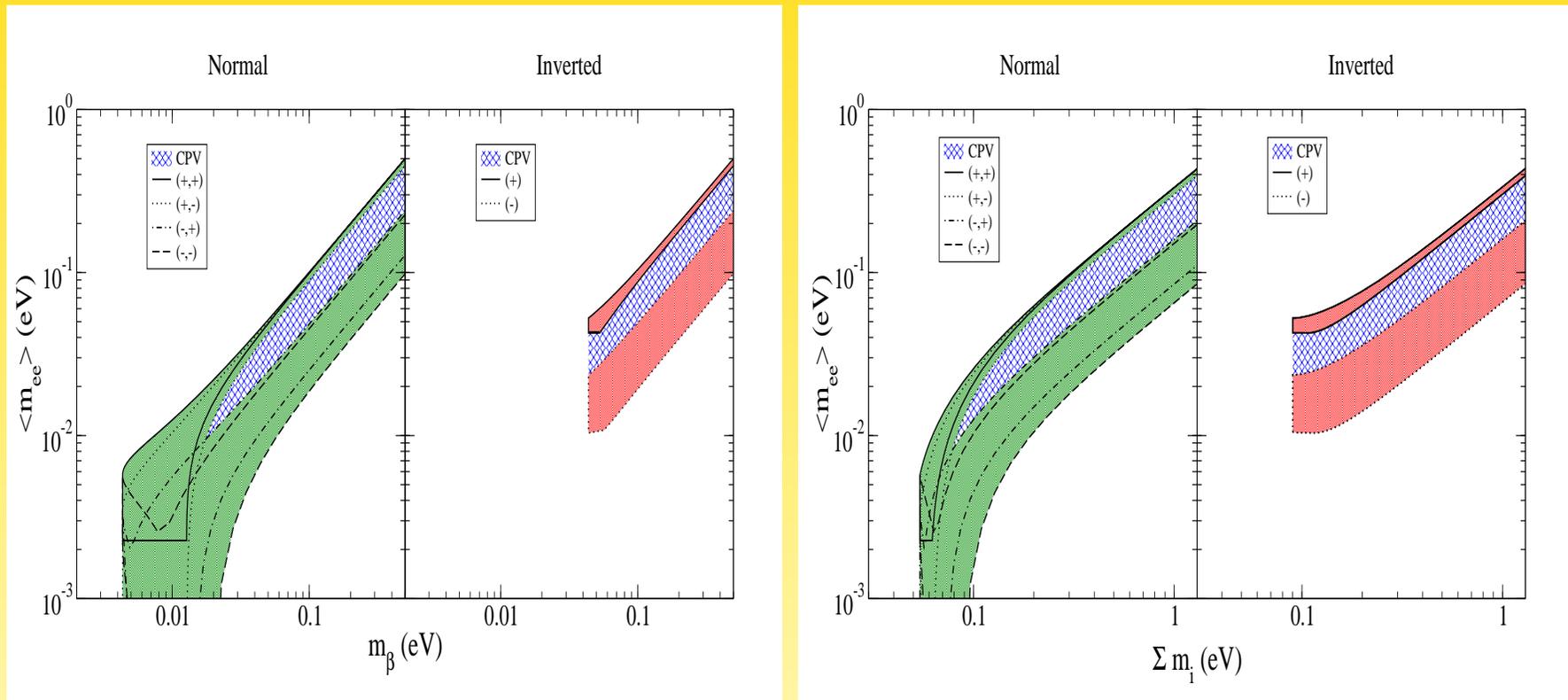
Neutrino mass

$$m(\text{heaviest}) \gtrsim \sqrt{|m_3^2 - m_1^2|} \simeq 0.05 \text{ eV}$$

3 **complementary** methods to measure:

Method	Observable	cur. [eV]	near/far [eV]	pro	con
Kurie	$\sqrt{\sum U_{ei} ^2 m_i^2}$	2.3	0.2/0.1	model-indep.; theo. clean	final?; weakest
Cosmo.	$\sum m_i$	0.7	0.3/0.05	best; NH/IH	systemat.; model-dep.
$0\nu\beta\beta$	$ \sum U_{ei}^2 m_i $	0.3	0.1/0.05	fundament.; NH/IH	model-dep.; theo. dirty

Complementarity!



$$|m_{ee}| = |U_{ei}^2 m_i|, \quad m_\beta = \sqrt{|U_{ei}|^2 m_i^2} \quad \text{and} \quad \Sigma = \sum m_i$$

Sterile Neutrinos and $0\nu\beta\beta$

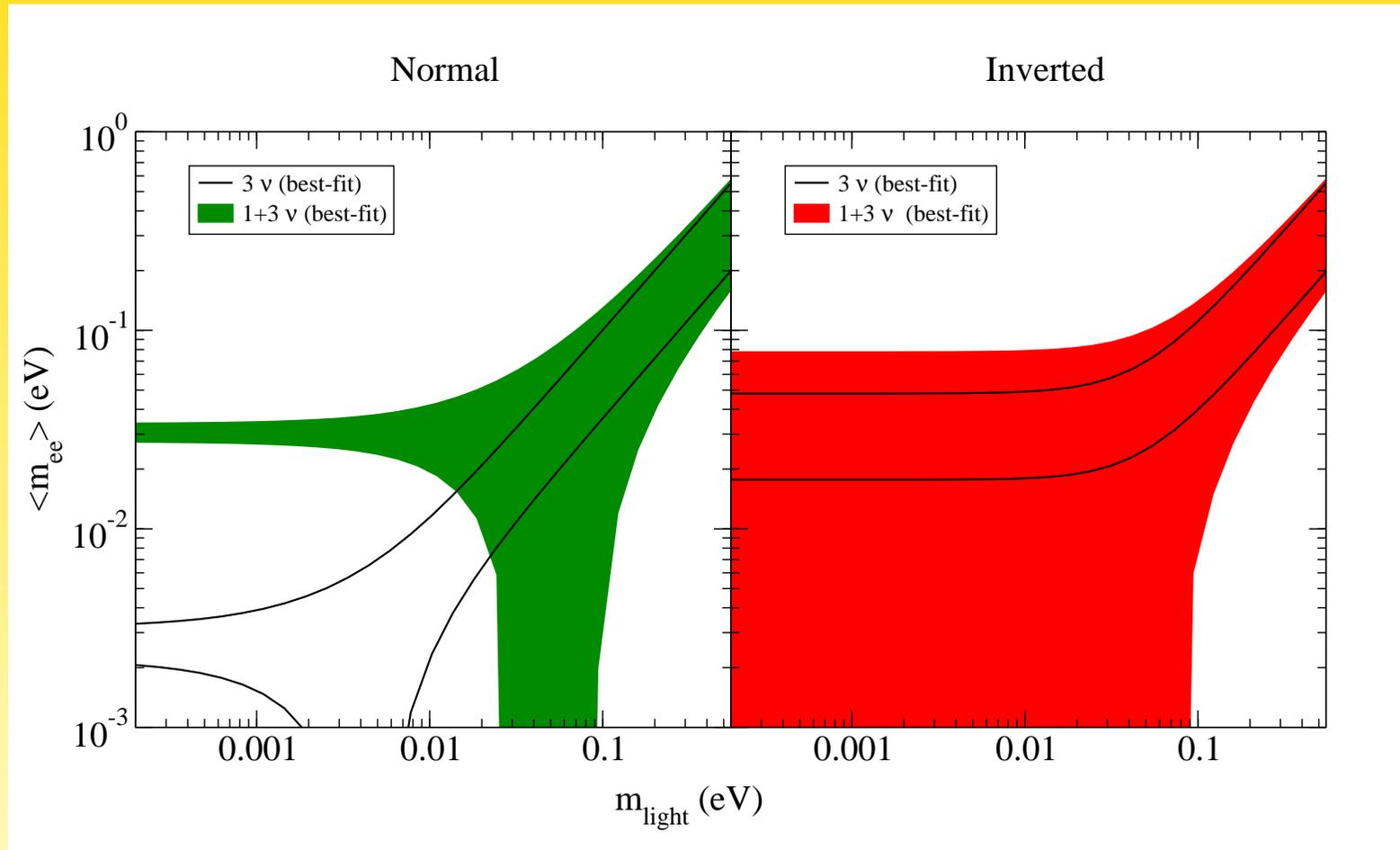
- recall: $|m_{ee}|_{\text{NH}}^{\text{act}}$ can vanish and $|m_{ee}|_{\text{IH}}^{\text{act}} \sim 0.03 \text{ eV}$ cannot vanish
- $|m_{ee}| = \left| \underbrace{|U_{e1}|^2 m_1 + |U_{e2}|^2 m_2 e^{2i\alpha} + |U_{e3}|^2 m_3 e^{2i\beta}}_{m_{ee}^{\text{act}}} + \underbrace{|U_{e4}|^2 m_4 e^{2i\Phi_1}}_{m_{ee}^{\text{st}}} \right|$
- sterile contribution to $0\nu\beta\beta$ (assuming 1+3):

$$|m_{ee}|^{\text{st}} \simeq \sqrt{\Delta m_{\text{st}}^2} |U_{e4}|^2 \simeq 0.03 \text{ eV} \left\{ \begin{array}{l} \gg |m_{ee}|_{\text{NH}}^{\text{act}} \\ \simeq |m_{ee}|_{\text{IH}}^{\text{act}} \end{array} \right.$$

- $\Rightarrow |m_{ee}|_{\text{NH}}$ cannot vanish and $|m_{ee}|_{\text{IH}}$ can vanish!

usual phenomenology gets completely turned around!

Light Steriles: Usual plot gets completely turned around!



Interpretation of Neutrinoless Double Beta Decay

- **Standard Interpretation:**

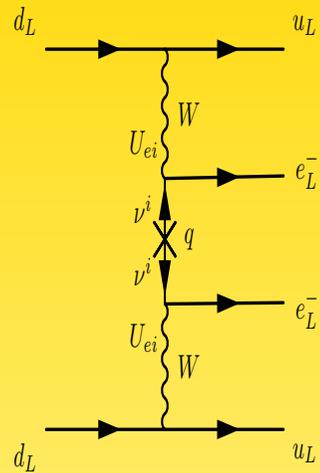
Neutrinoless Double Beta Decay is mediated by light and massive Majorana neutrinos (the ones which oscillate) and all other mechanisms potentially leading to $0\nu\beta\beta$ give negligible or no contribution

- **Non-Standard Interpretations:**

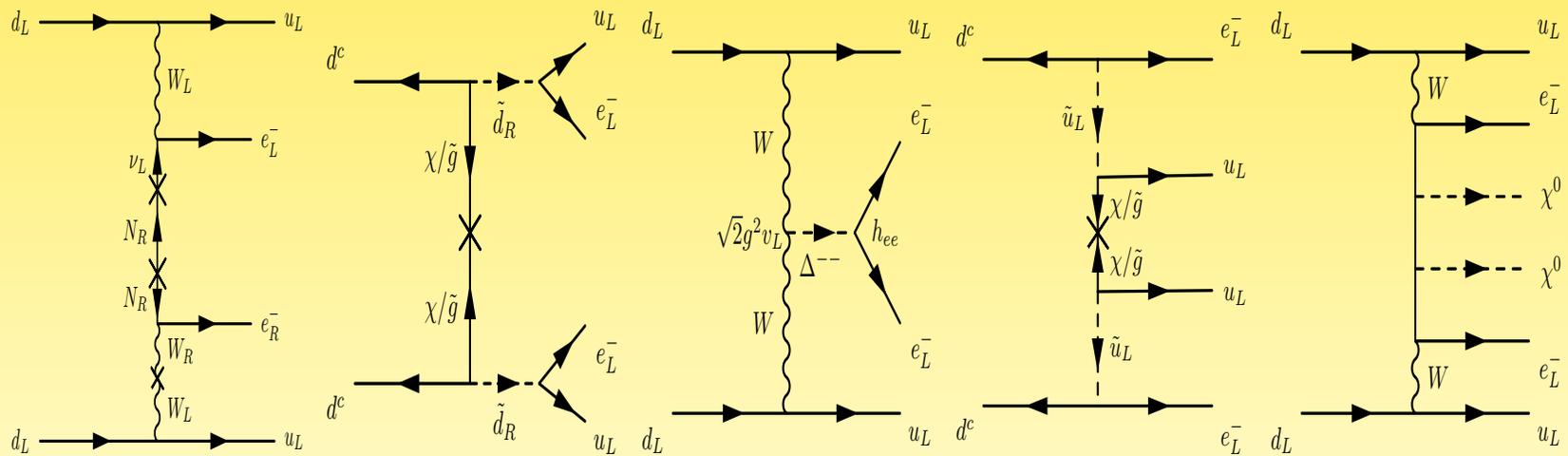
There is at least one other mechanism leading to Neutrinoless Double Beta Decay and its contribution is at least of the same order as the light neutrino exchange mechanism

W.R., Int. J. Mod. Phys. E20, 1833-1930 (2011)

• **Standard Interpretation:**



• **Non-Standard Interpretations:**



Why should we expect Lepton Number Violation?

- L and B accidentally conserved in SM
 - L can be made global symmetry...
 - L can be made local symmetry...
- $(100 - \epsilon)$ % of all models BSM violate L by 1 or 2 units
- effective theory: $\mathcal{L} = \mathcal{L}_{\text{SM}} + \frac{1}{\Lambda} \mathcal{L}_{\text{LNV}} + \frac{1}{\Lambda^2} \mathcal{L}_{\text{LFV, BNV, LNV}} + \dots$
- baryogenesis: B is violated
- B, L often connected in GUTs
- GUTs have seesaw and Majorana neutrinos

⇒ Lepton Number Violation as important as Baryon Number Violation

$0\nu\beta\beta$ is **NOT** a neutrino mass experiment!!

Energy Scale:

Note: *standard amplitude* for light Majorana neutrino exchange:

$$\mathcal{A}_1 \simeq G_F^2 \frac{|m_{ee}|}{q^2} \simeq 7 \times 10^{-18} \left(\frac{|m_{ee}|}{0.5 \text{ eV}} \right) \text{ GeV}^{-5} \simeq 2.7 \text{ TeV}^{-5}$$

if new heavy particles are exchanged:

$$\mathcal{A}_h \simeq \frac{c}{M^5}$$

\Rightarrow for $0\nu\beta\beta$ holds:

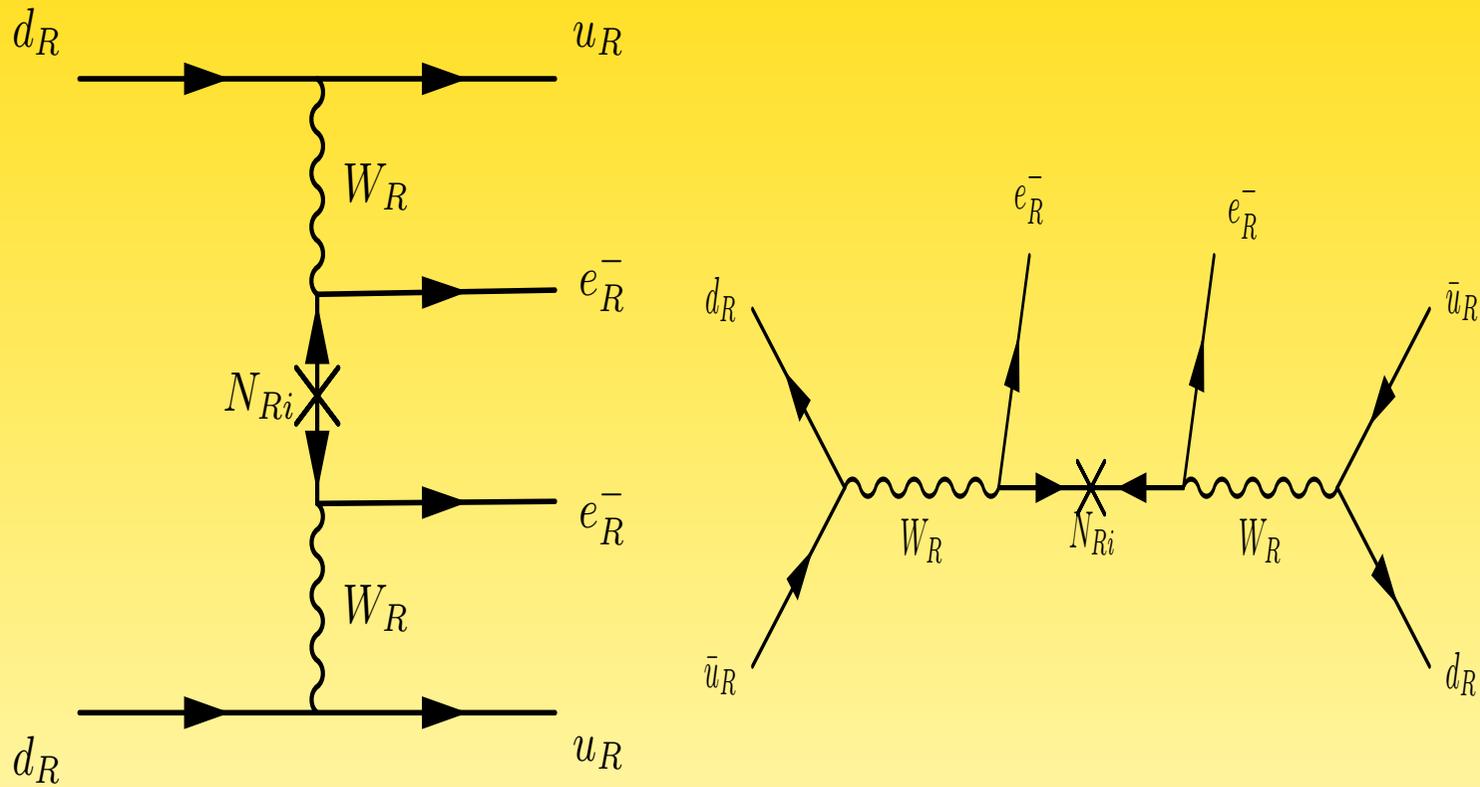
$$1 \text{ eV} = 1 \text{ TeV}$$

\Rightarrow Phenomenology in colliders, LFV

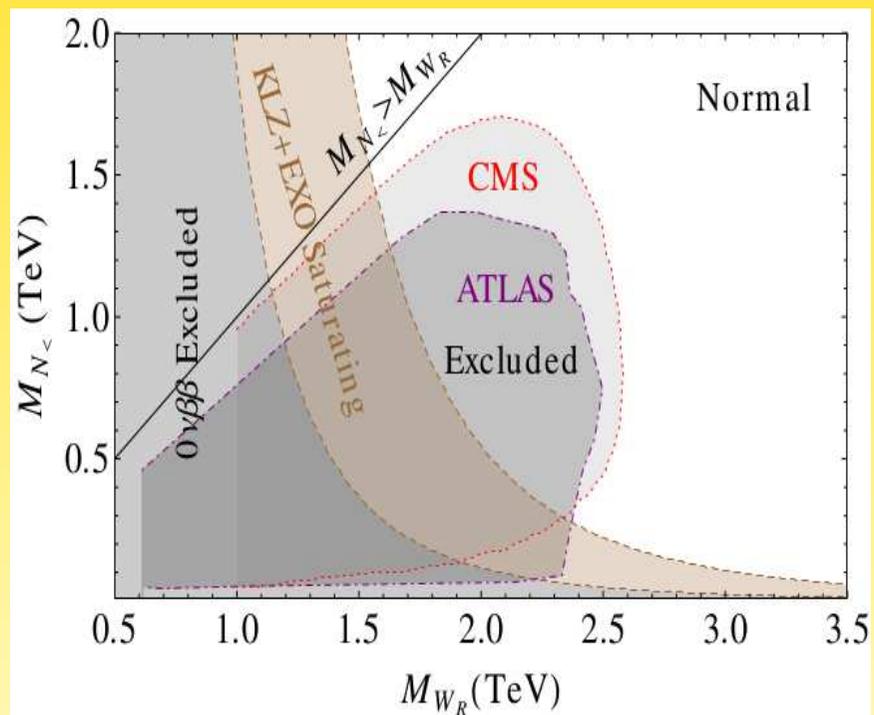
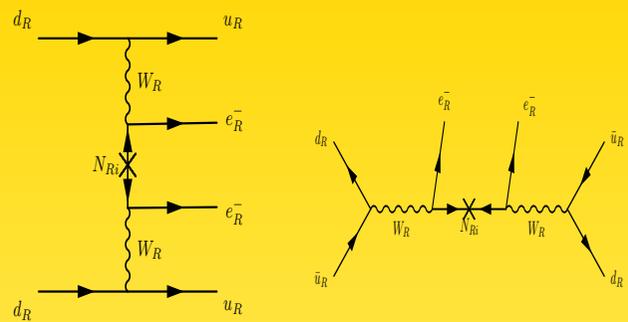
$$T_{1/2}^{0\nu}(m_\nu = 1 \text{ eV}) = T_{1/2}^{0\nu}(M = 1 \text{ TeV})$$

- RPV SUSY
- left-right symmetric theories
- heavy neutrinos
- Color-octets
- Leptoquarks
- effective operators
- extra dimensions
- ...

Left-right symmetry

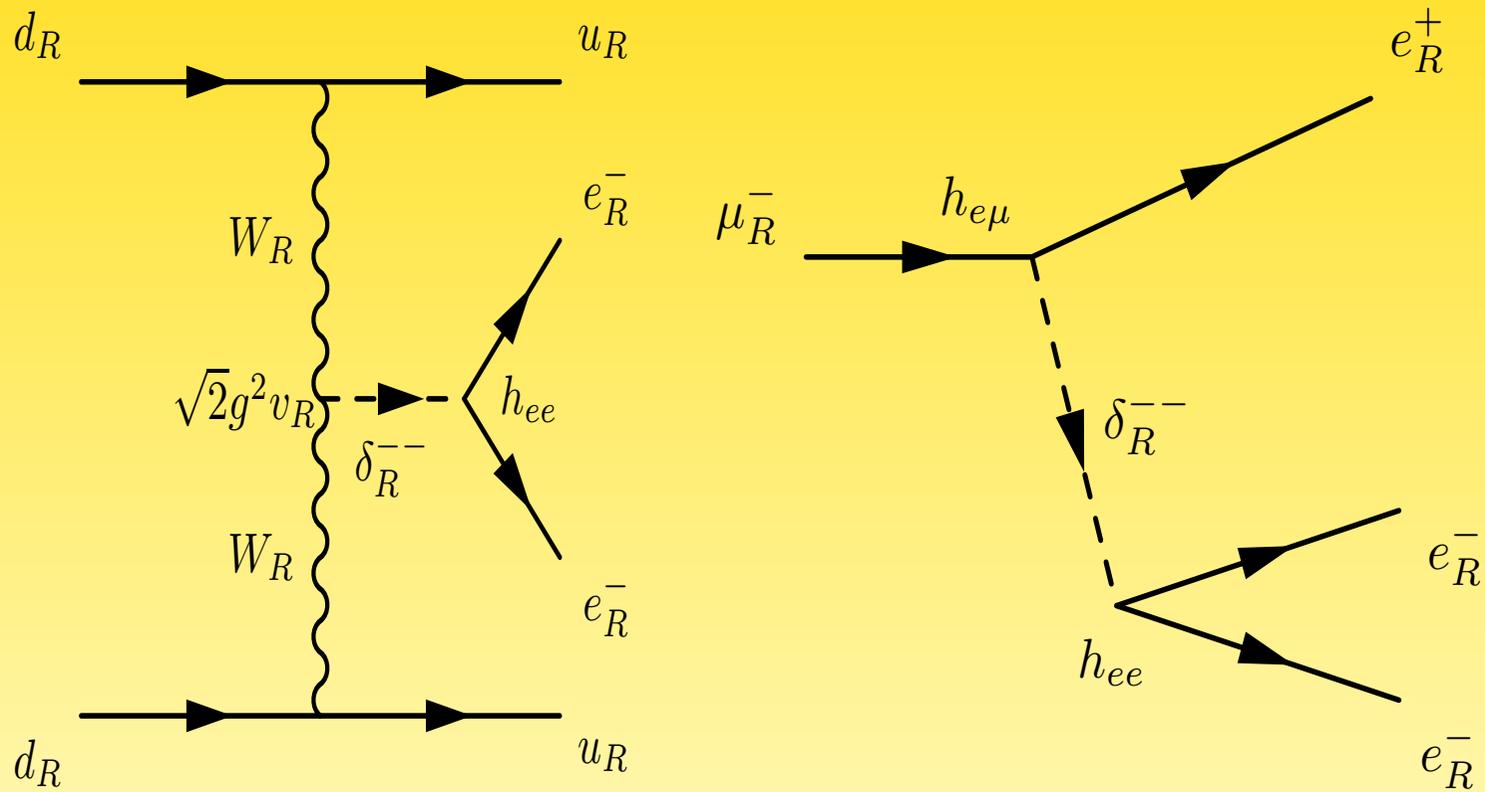


Senjanovic, Keung, 1983

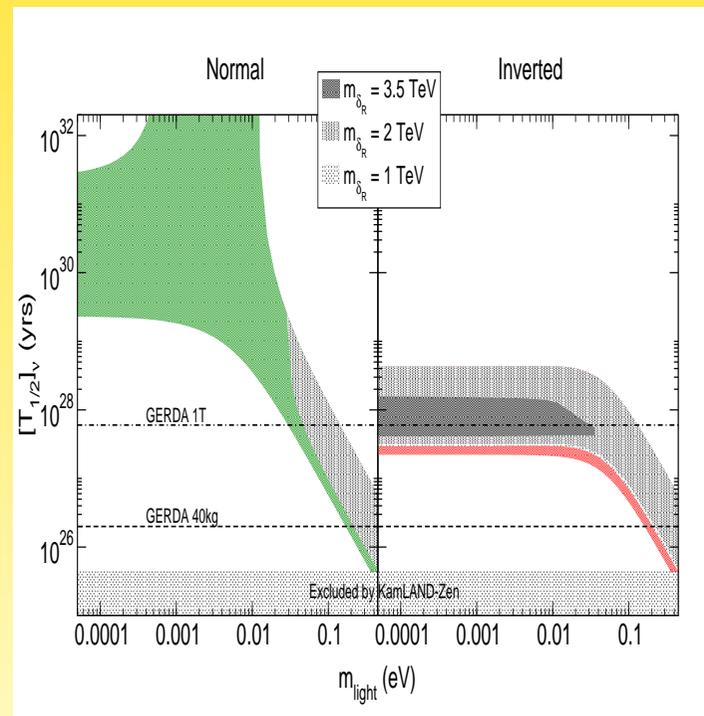
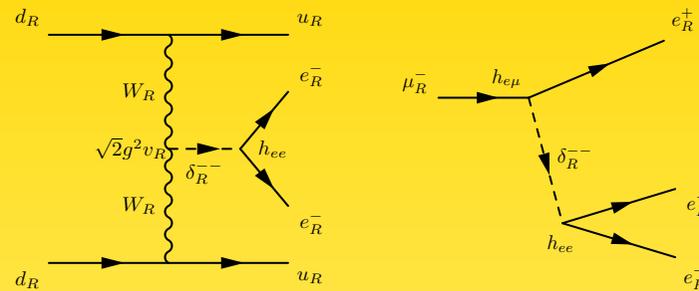


Bhupal Dev, Goswami, Mitra, W.R.; Hirsch *et al.*, Senjanovic *et al.*

Constraints from Lepton Flavor Violation

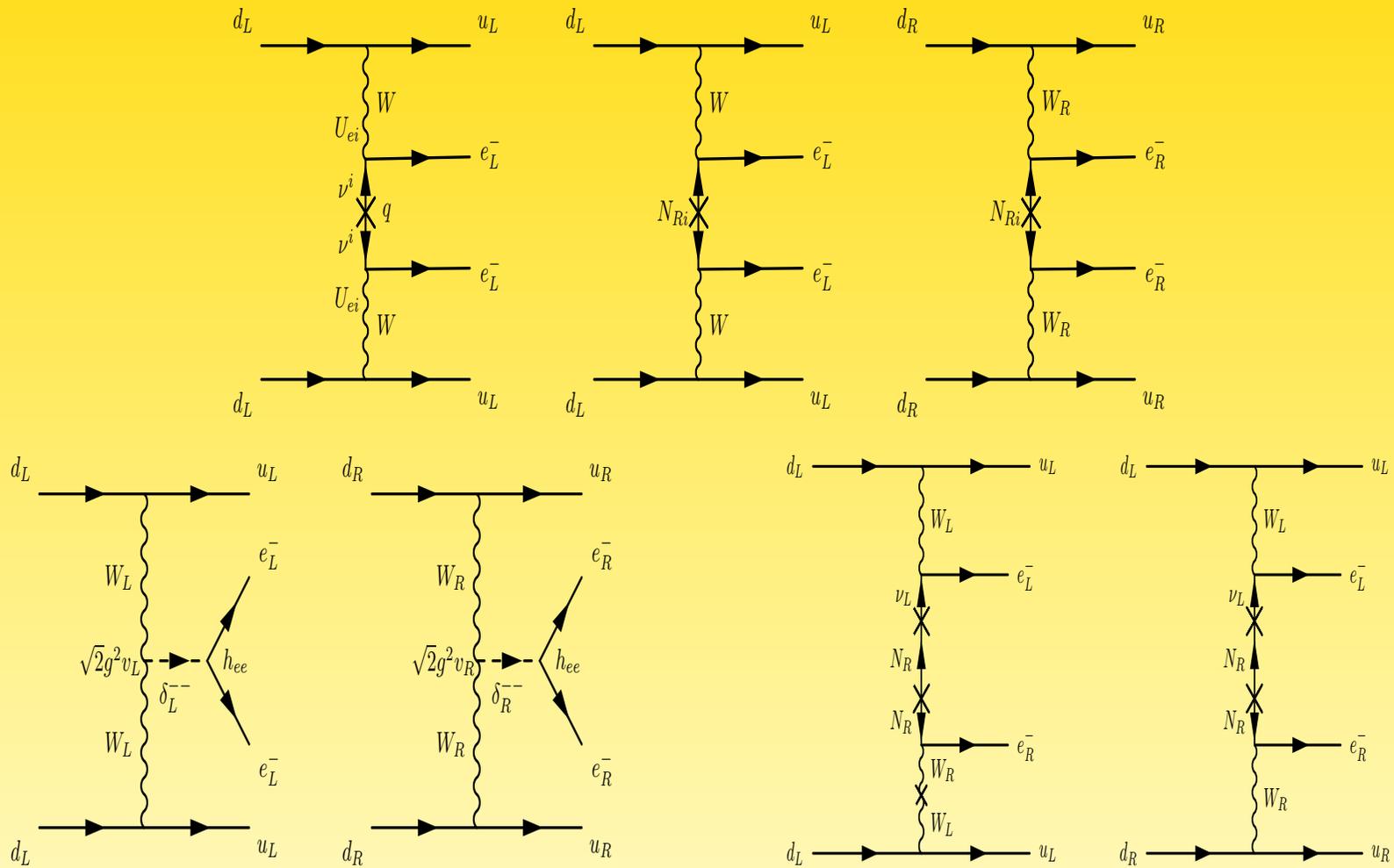


Constraints from Lepton Flavor Violation

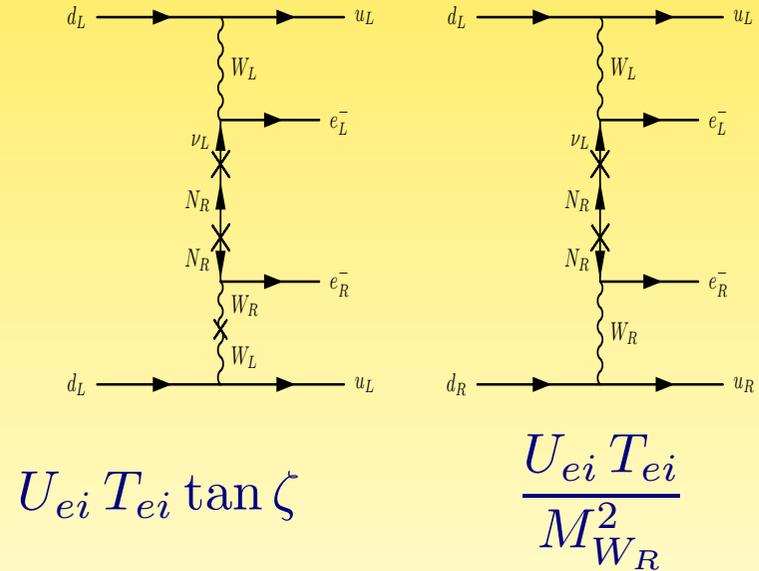
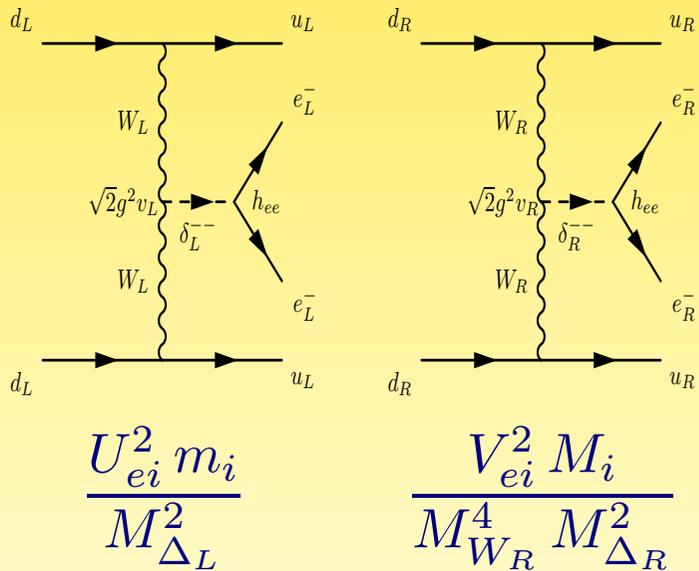
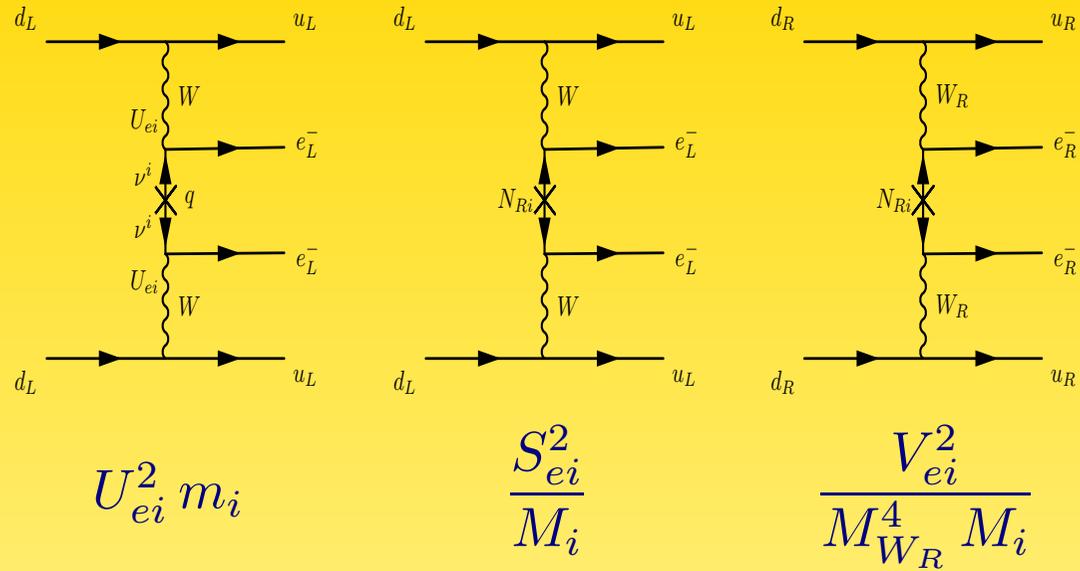


Barry, W.R., JHEP 1309

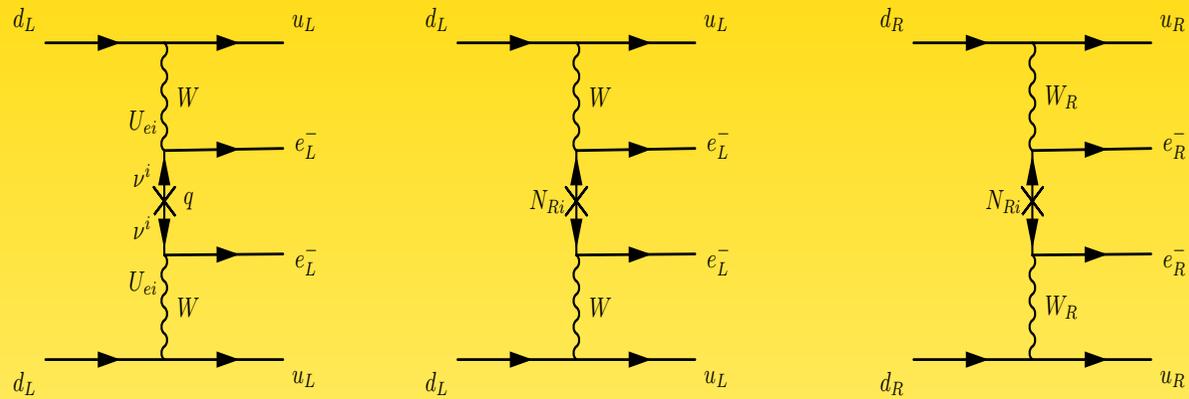
Left-right symmetry



Left-right symmetry



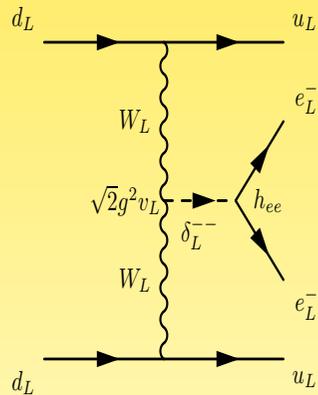
Left-right symmetry



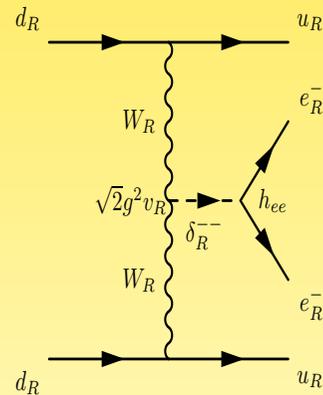
0.4 eV

$2 \times 10^{-8} \text{ GeV}^{-1}$

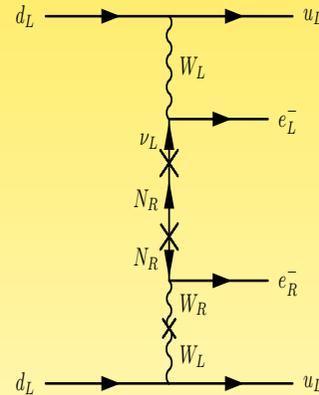
$4 \times 10^{-16} \text{ GeV}^{-5}$



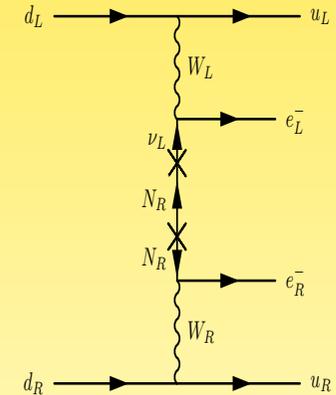
—



$10^{-15} \text{ GeV}^{-5}$

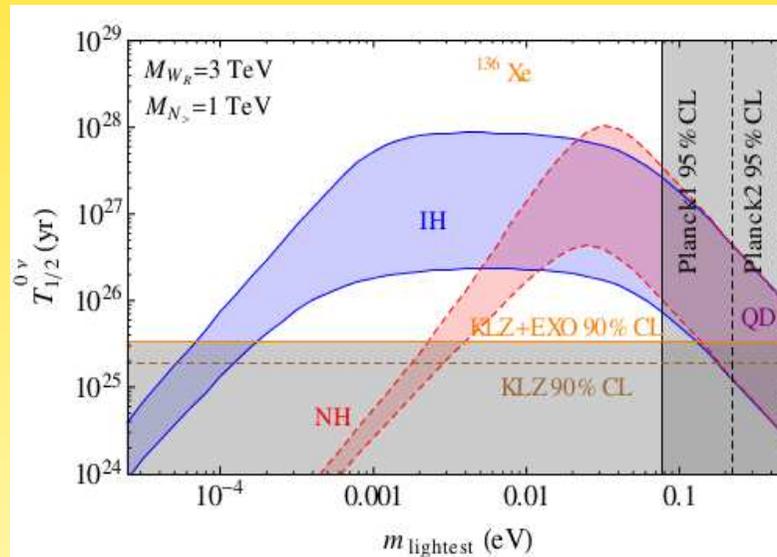
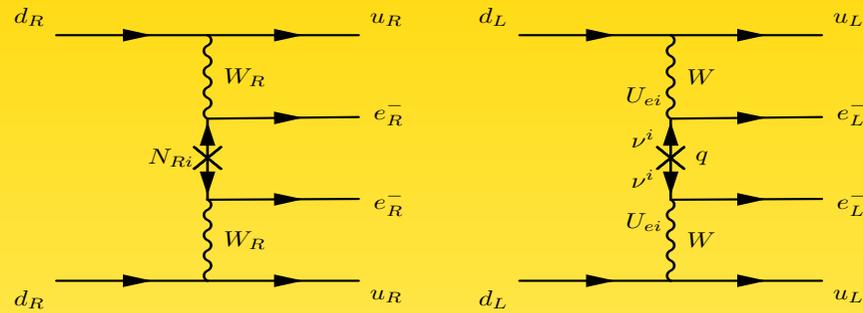


6×10^{-9}



$1.4 \times 10^{-10} \text{ GeV}^{-2}$

Adding diagrams



\Rightarrow lower bound on $m(\text{lightest}) \gtrsim \text{meV}$

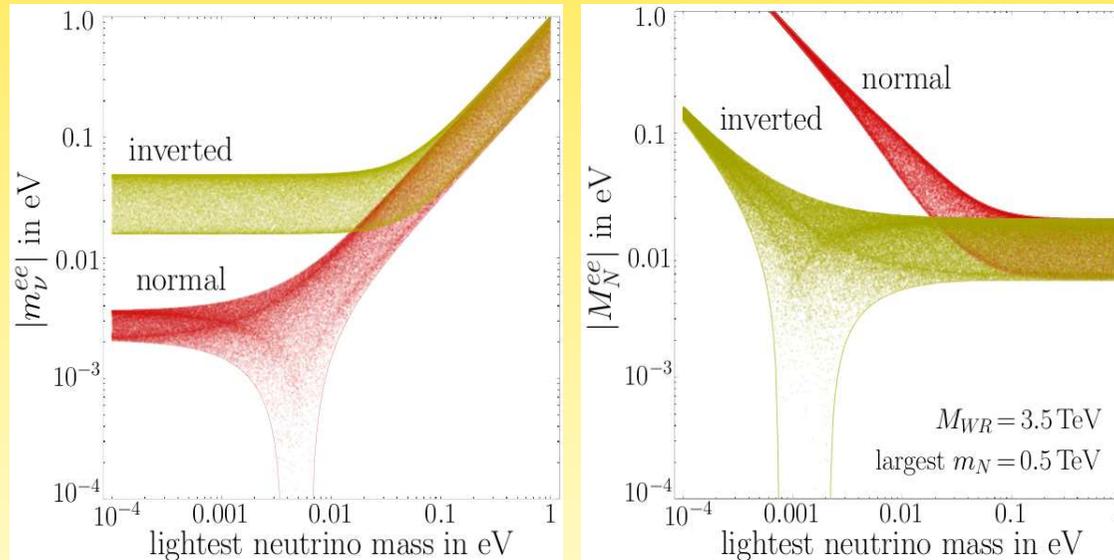
Bhupal Dev, Goswami, Mitra, W.R., PRD88

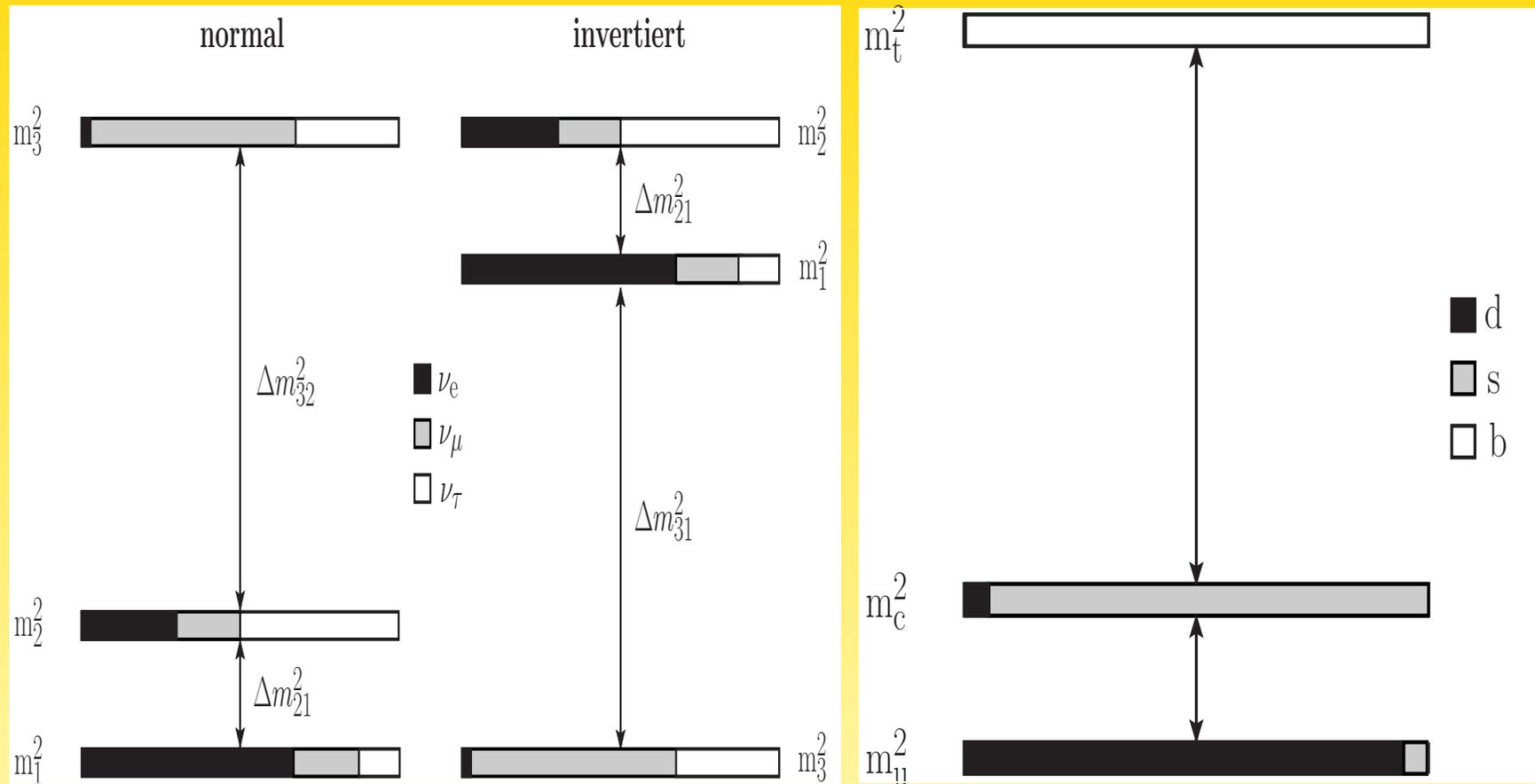
Type II dominance (Tello *et al.*, 1011.3522)

$$m_\nu = m_L - m_D M_R^{-1} m_D^T = v_L f - \frac{v^2}{v_R} Y_D f^{-1} Y_D^T \longrightarrow v_L f$$

$\Rightarrow m_\nu$ fixes M_R and exchange of N_R with W_R fixed in terms of PMNS:

$$\Rightarrow \mathcal{A}_{N_R} \simeq G_F^2 \left(\frac{m_W}{M_{W_R}} \right)^4 \sum \frac{V_{ei}^2}{M_i} \propto \sum \frac{U_{ei}^2}{m_i}$$





Why so different?? \leftrightarrow Flavour symmetries?

Tri-bimaximal Mixing

$$U_{\text{TBM}} = \begin{pmatrix} \sqrt{\frac{2}{3}} & \sqrt{\frac{1}{3}} & 0 \\ -\sqrt{\frac{1}{6}} & \sqrt{\frac{1}{3}} & -\sqrt{\frac{1}{2}} \\ -\sqrt{\frac{1}{6}} & \sqrt{\frac{1}{3}} & \sqrt{\frac{1}{2}} \end{pmatrix}$$

Harrison, Perkins, Scott (2002)

with mass matrix

$$(m_\nu)_{\text{TBM}} = U_{\text{TBM}} m_\nu^{\text{diag}} U_{\text{TBM}}^T = \begin{pmatrix} A & B & B \\ \cdot & \frac{1}{2}(A+B+D) & \frac{1}{2}(A+B-D) \\ \cdot & \cdot & \frac{1}{2}(A+B+D) \end{pmatrix}$$

$$A = \frac{1}{3} (2m_1 + m_2 e^{-2i\alpha}), \quad B = \frac{1}{3} (m_2 e^{-2i\alpha} - m_1), \quad D = m_3 e^{-2i\beta}$$

\Rightarrow Flavor symmetries...

Flavor symmetry issues

- discrete or continuous?
- Abelian or non-Abelian?
- broken at high scale?
- broken at electroweak scale?

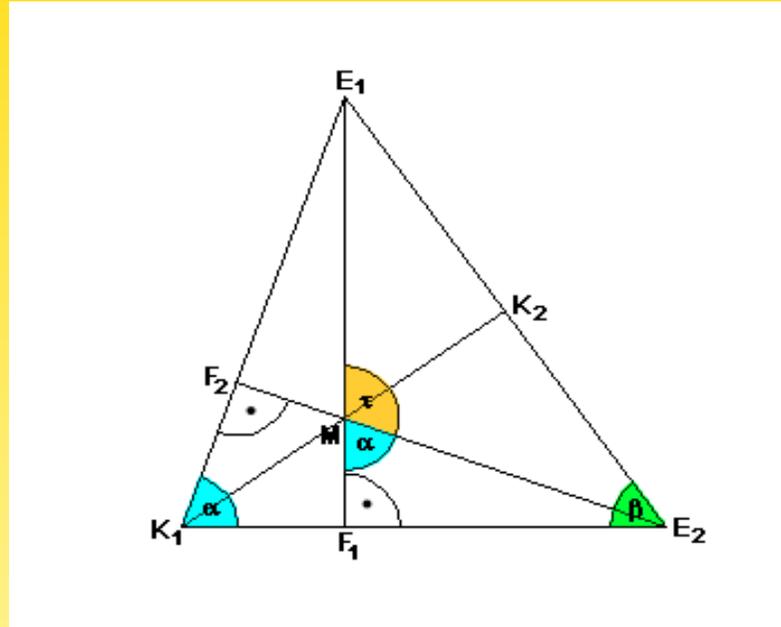
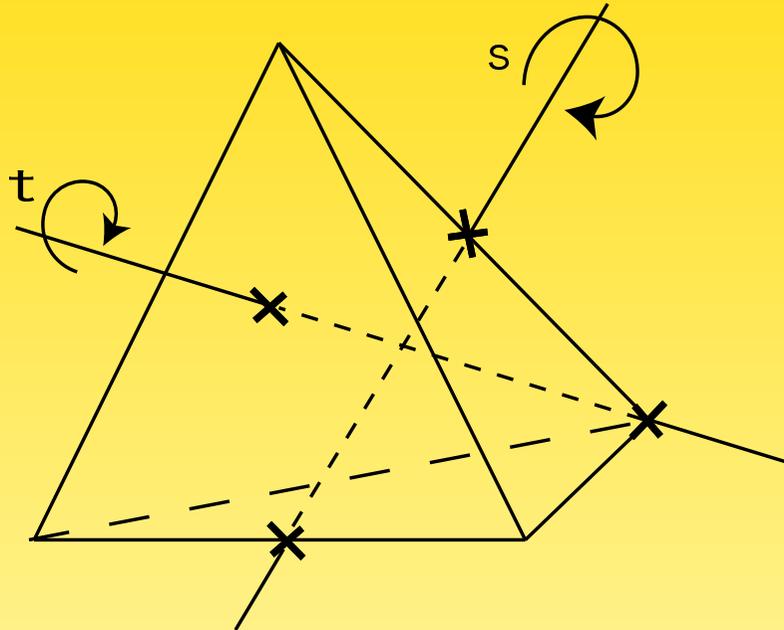
How to choose the discrete Non-Abelian group

Group	d	Irr. Repr.'s	Presentation
$D_3 \sim S_3$	6	1, 1', 2	$A^3 = B^2 = (AB)^2 = 1$
D_4	8	$1_1, \dots, 1_4, 2$	$A^4 = B^2 = (AB)^2 = 1$
D_5	10	1, 1', 2, 2'	$A^5 = B^2 = (AB)^2 = 1$
D_6	12	$1_1, \dots, 1_4, 2, 2'$	$A^6 = B^2 = (AB)^2 = 1$
D_7	14	1, 1', 2, 2', 2''	$A^7 = B^2 = (AB)^2 = 1$
A_4	12	1, 1', 1'', 3	$A^3 = B^2 = (AB)^3 = 1$
$A_5 \sim PSL_2(5)$	60	1, 3, 3', 4, 5	$A^3 = B^2 = (BA)^5 = 1$
T'	24	1, 1', 1'', 2, 2', 2'', 3	$A^3 = (AB)^3 = R^2 = 1, B^2 = R$
S_4	24	1, 1', 2, 3, 3'	$BM : A^4 = B^2 = (AB)^3 = 1$ $TB : A^3 = B^4 = (BA^2)^2 = 1$
$\Delta(27) \sim Z_3 \rtimes Z_3$	27	$1_1, \dots, 1_9, 3, \bar{3}$	
$PSL_2(7)$	168	1, 3, $\bar{3}, 6, 7, 8$	$A^3 = B^2 = (BA)^7 = (B^{-1}A^{-1}BA)^4 = 1$
$T_7 \sim Z_7 \rtimes Z_3$	21	1, 1', $\bar{1}', 3, \bar{3}$	$A^7 = B^3 = 1, AB = BA^4$

Altarelli, Feruglio, 1002.0211

Minimal Choice

A_4 is isomorphic to symmetry group of tetrahedron:



- smallest group with 3-dim irrep.
- has 3 one-dimensional irreps. $1, 1', 1''$
- angle between two faces: $\alpha = 2\theta_{\text{TBM}}$, where $\sin^2 \theta_{\text{TBM}} = \frac{1}{3}$

Tests of Flavor Symmetry Models

- sometimes: $U = U_\ell^\dagger U_\nu$ with $U_\ell \simeq R_{12}$ and neutrino sector:

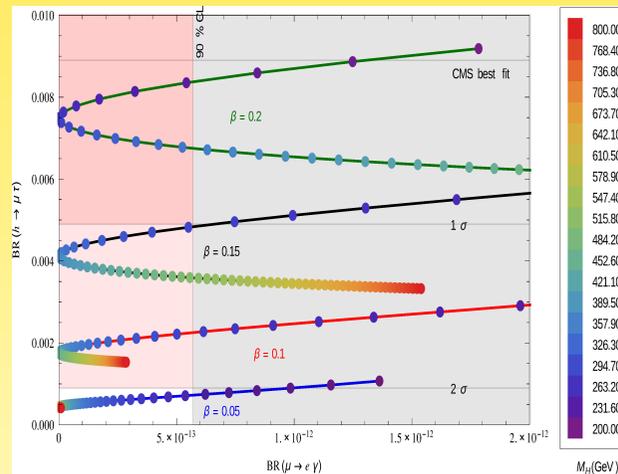
$$U_\nu = \begin{pmatrix} c_{12}^\nu & s_{12}^\nu & 0 \\ \cdot & \cdot & \sqrt{\frac{1}{2}} \\ \cdot & \cdot & \sqrt{\frac{1}{2}} \end{pmatrix} \quad \text{and} \quad \sin^2 \theta_{12}^\nu = \begin{cases} \frac{1}{2} & \text{bimaximal} & S_4 \\ \frac{1}{3} & \text{tri-bimaximal} & A_4, T \\ \frac{1}{1+\varphi^2} & \text{Golden Ratio A} & A_5 \\ \frac{3-\varphi}{4} & \text{Golden Ratio B} & D_{10} \\ \frac{1}{4} & \text{hexagonal} & D_{12} \end{cases}$$

gives sum-rule $\sin^2 \theta_{12} = \sin^2 \theta_{12}^\nu + |U_{e3}| \sin 2\theta_{12}^\nu \cos \delta$

- sometimes: neutrino mass sum-rules, e.g. $\tilde{m}_1 + \tilde{m}_2 = \tilde{m}_3$
- sometimes: models broken at electroweak scale...

Chasing the Higgs Ambulance I

	ℓ	e_R	μ_R	τ_R	χ	Φ	ξ
A_4	$\underline{\mathbf{3}}$	$\underline{\mathbf{1}}_1$	$\underline{\mathbf{1}}_3$	$\underline{\mathbf{1}}_2$	$\underline{\mathbf{3}}$	$\underline{\mathbf{3}}$	$\underline{\mathbf{1}}_1$
Z_4	i	i	i	i	1	-1	-1
$SU(2)_L$	2	1	1	1	2	1	1
$U(1)_Y$	-1/2	-1	-1	-1	1/2	0	0



Holthausen, Heeck, W.R., Shimizu, NPB896

Chasing the Higgs Ambulance II

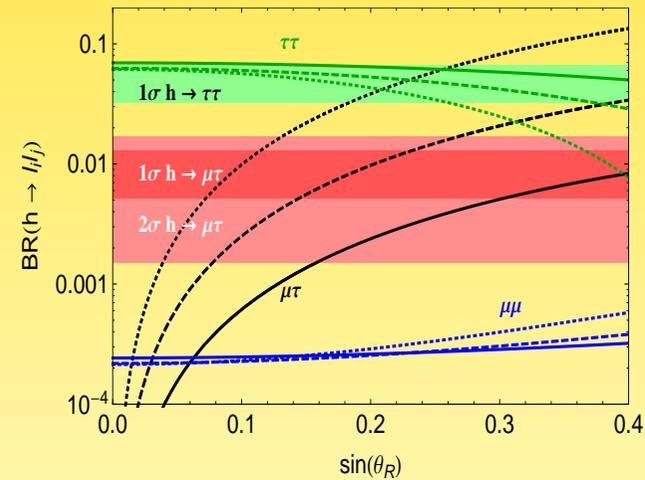
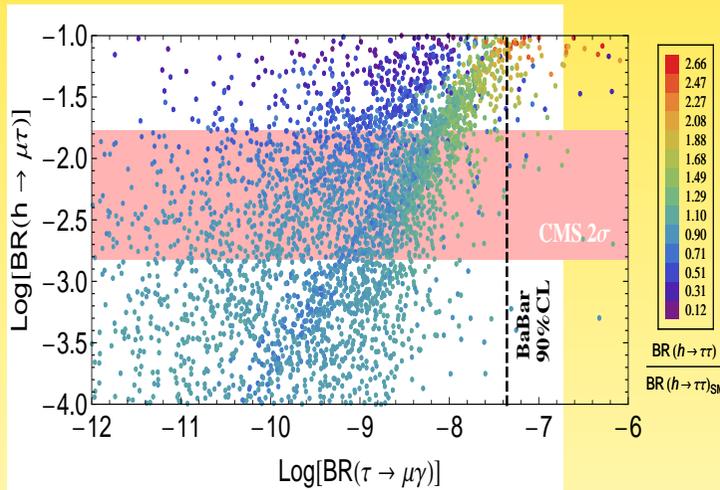
	L_e	L_μ	L_τ	e_R	μ_R	τ_R	N_e	N_μ	N_τ	Φ_1	Φ_2	S
$U(1)_{L_\mu - L_\tau}$	0	1	-1	0	1	-1	0	1	-1	-2	0	1
$SU(2)_L$	2	2	2	1	1	1	1	1	1	2	2	1
$U(1)_Y$	-1/2	-1/2	-1/2	-1	-1	-1	0	0	0	1/2	1/2	0

$$\mathcal{L} = y_\alpha \bar{L}^\alpha \delta_{\alpha\beta} \ell_R^\beta \Phi_2 + \xi_{\tau\mu} \bar{\tau}_L \mu_R \Phi_1$$

Holthausen, Heeck, W.R., Shimizu, NPB896

Chasing the Higgs Ambulance II

	L_e	L_μ	L_τ	e_R	μ_R	τ_R	N_e	N_μ	N_τ	Φ_1	Φ_2	S
$U(1)_{L_\mu - L_\tau}$	0	1	-1	0	1	-1	0	1	-1	-2	0	1
$SU(2)_L$	2	2	2	1	1	1	1	1	1	2	2	1
$U(1)_Y$	-1/2	-1/2	-1/2	-1	-1	-1	0	0	0	1/2	1/2	0



Holthausen, Heeck, W.R., Shimizu, NPB896

Open Neutrino Questions

- Testable with current experiments:
 - Dirac or Majorana?
 - CP violated?
 - mass ordering normal or inverted? Mass scale?
 - θ_{23} maximal?
 - light sterile neutrinos?
- Very hard to address experimentally:
 - scale of neutrino mass generation?
 - explanation of mixing pattern?
 - unification with quarks possible?
 - neutrino CP related to baryon asymmetry?

Summary

- precision era!
- testing the standard paradigm!
- testing models!
- reaching beyond pure neutrino sector!
- influence on new fields!
- exciting new results in sight!

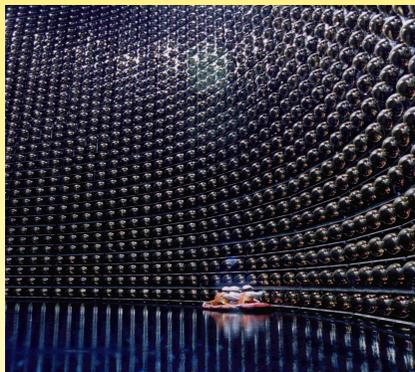
Thank you!

Mixing Angles

$$U = \begin{pmatrix} c_{12} c_{13} & s_{12} c_{13} & s_{13} e^{-i\delta} \\ -s_{12} c_{23} - c_{12} s_{23} s_{13} e^{i\delta} & c_{12} c_{23} - s_{12} s_{23} s_{13} e^{i\delta} & s_{23} c_{13} \\ s_{12} s_{23} - c_{12} c_{23} s_{13} e^{i\delta} & -c_{12} s_{23} - s_{12} c_{23} s_{13} e^{i\delta} & c_{23} c_{13} \end{pmatrix} =$$

$$\underbrace{\begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix}}_{\text{atmospheric and LBL accelerator}} \underbrace{\begin{pmatrix} c_{13} & 0 & s_{13} e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13} e^{i\delta} & 0 & c_{13} \end{pmatrix}}_{\text{SBL reactor}} \underbrace{\begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}}_{\text{solar and LBL reactor}}$$

atmospheric and
LBL accelerator



SBL reactor



solar and
LBL reactor



$$U = \begin{pmatrix} c_{12} c_{13} & s_{12} c_{13} & s_{13} e^{-i\delta} \\ -s_{12} c_{23} - c_{12} s_{23} s_{13} e^{i\delta} & c_{12} c_{23} - s_{12} s_{23} s_{13} e^{i\delta} & s_{23} c_{13} \\ s_{12} s_{23} - c_{12} c_{23} s_{13} e^{i\delta} & -c_{12} s_{23} - s_{12} c_{23} s_{13} e^{i\delta} & c_{23} c_{13} \end{pmatrix} =$$

$$\underbrace{\begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix}}_{\text{atmospheric and LBL accelerator}} \underbrace{\begin{pmatrix} c_{13} & 0 & s_{13} e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13} e^{i\delta} & 0 & c_{13} \end{pmatrix}}_{\text{SBL reactor}} \underbrace{\begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}}_{\text{solar and LBL reactor}}$$

atmospheric and
LBL accelerator

SBL reactor

solar and
LBL reactor

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & \sqrt{\frac{1}{2}} & -\sqrt{\frac{1}{2} + \epsilon} \\ 0 & \sqrt{\frac{1}{2} + \epsilon} & \sqrt{\frac{1}{2}} \end{pmatrix}$$

$$(\sin^2 \theta_{23} = \frac{1}{2} - \epsilon)$$

$$\Delta m_{\text{A}}^2$$

$$\begin{pmatrix} 1 & 0 & \epsilon \\ 0 & 1 & 0 \\ \epsilon & 0 & 1 \end{pmatrix}$$

$$(\sin^2 \theta_{13} = \epsilon^2)$$

$$\Delta m_{\text{A}}^2$$

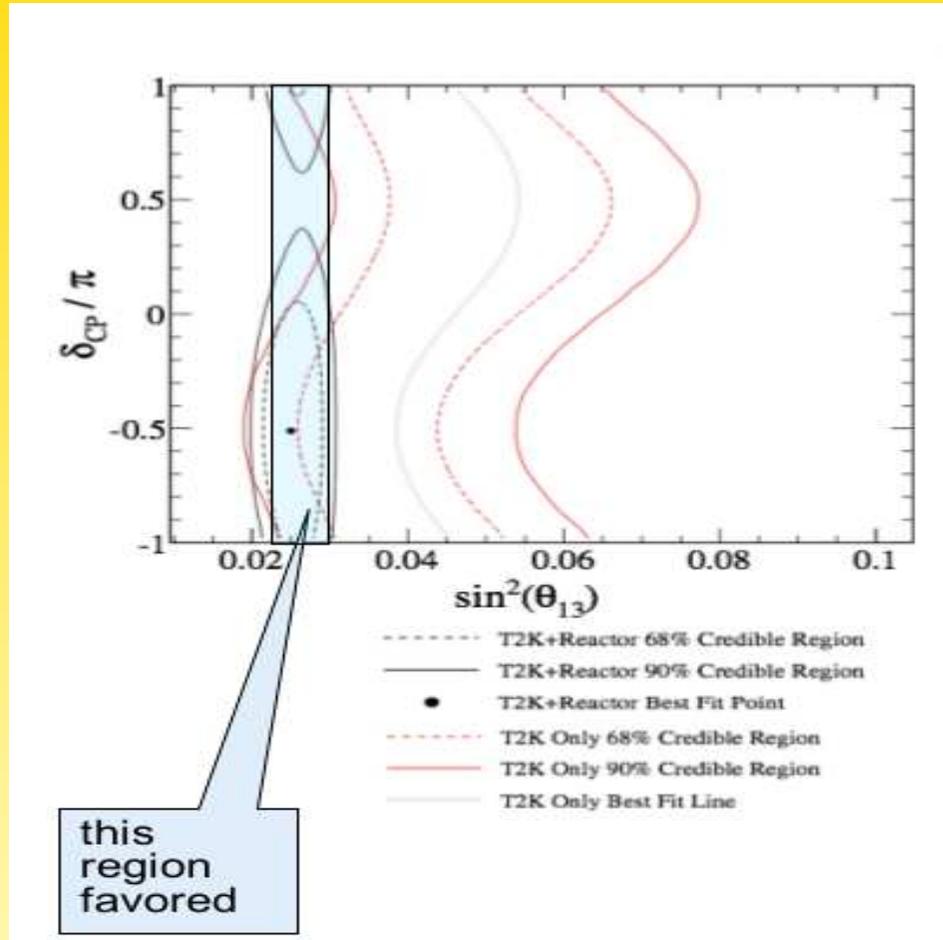
$$\begin{pmatrix} \sqrt{\frac{2}{3}} & \sqrt{\frac{1}{3} + \epsilon} & 0 \\ -\sqrt{\frac{1}{3} + \epsilon} & \sqrt{\frac{2}{3}} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$(\sin^2 \theta_{12} = \frac{1}{3} - \epsilon^2)$$

$$\Delta m_{\odot}^2$$

Status of global fits: T2K

- ν_μ disappearance: θ_{23}
- ν_e appearance: θ_{13} and δ
- reactor $\bar{\nu}_e$ disappearance as input: δ



rather mild preference for $\delta = 3\pi/2$

Recent Results

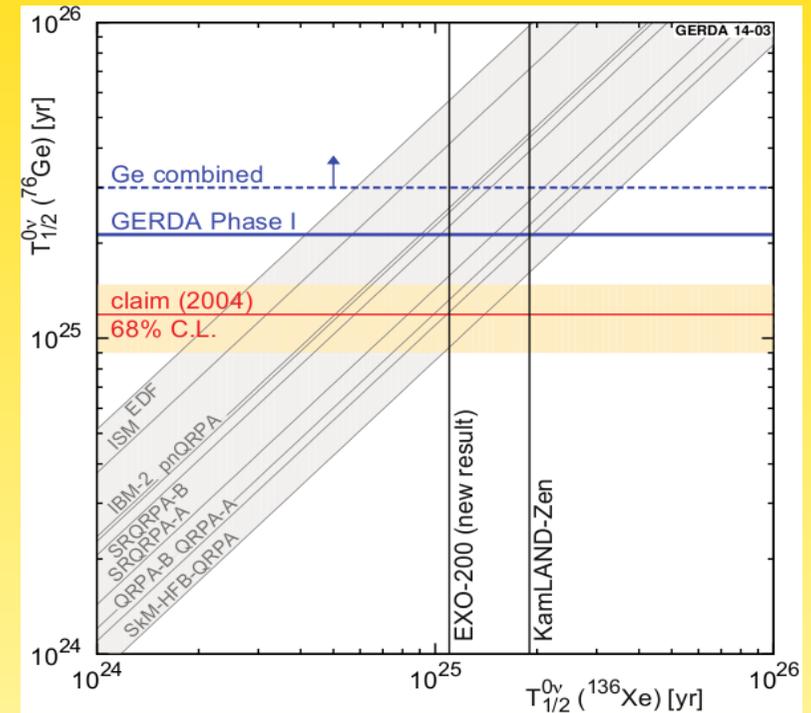
$$\Gamma^{0\nu} = G(Q, Z) |\mathcal{M}(A, Z) \eta|^2$$

- ^{76}Ge :
 - GERDA: $T_{1/2} > 2.1 \times 10^{25}$ yrs
 - GERDA + IGEX + HDM: $T_{1/2} > 3.0 \times 10^{25}$ yrs
- ^{136}Xe :
 - EXO-200: $T_{1/2} > 1.1 \times 10^{25}$ yrs (first run with less exposure: $T_{1/2} > 1.6 \times 10^{25}$ yrs. . .)
 - KamLAND-Zen: $T_{1/2} > 2.6 \times 10^{25}$ yrs

Xe-limit is stronger than Ge-limit when:

$$T_{\text{Xe}} > T_{\text{Ge}} \frac{G_{\text{Ge}}}{G_{\text{Xe}}} \left| \frac{\mathcal{M}_{\text{Ge}}}{\mathcal{M}_{\text{Xe}}} \right|^2 \text{ yrs}$$

NME	^{76}Ge		^{136}Xe	
	GERDA	comb	KLZ	comb
EDF(U)	0.32	0.27	0.13	—
ISM(U)	0.52	0.44	0.24	—
IBM-2	0.27	0.23	0.16	—
pnQRPA(U)	0.28	0.24	0.17	—
SRQRPA-B	0.25	0.21	0.15	—
SRQRPA-A	0.31	0.26	0.23	—
QRPA-A	0.28	0.24	0.25	—
SkM-HFB-QRPA	0.29	0.24	0.28	—



GERDA

Bhupal Dev, Goswami, Mitra,
W.R., Phys. Rev. **D88**

Predictions of $SO(10)$ theories

Yukawa structure of $SO(10)$ models depends on Higgs representations

$$10_H (\leftrightarrow H), \overline{126}_H (\leftrightarrow F), 120_H (\leftrightarrow G)$$

Gives relation for mass matrices:

$$m_{\text{up}} \propto r(H + sF + it_u G)$$

$$m_{\text{down}} \propto H + F + iG$$

$$m_D \propto r(H - 3sF + it_D G)$$

$$m_\ell \propto H - 3F + it_l G$$

$$M_R \propto r_R^{-1} F$$

Numerical fit including RG, Higgs, θ_{13}

$10_H + \overline{126}_H$: 19 free parameters

$10_H + \overline{126}_H + 120_H$: 18 free parameters

20 (19) observables to be fitted

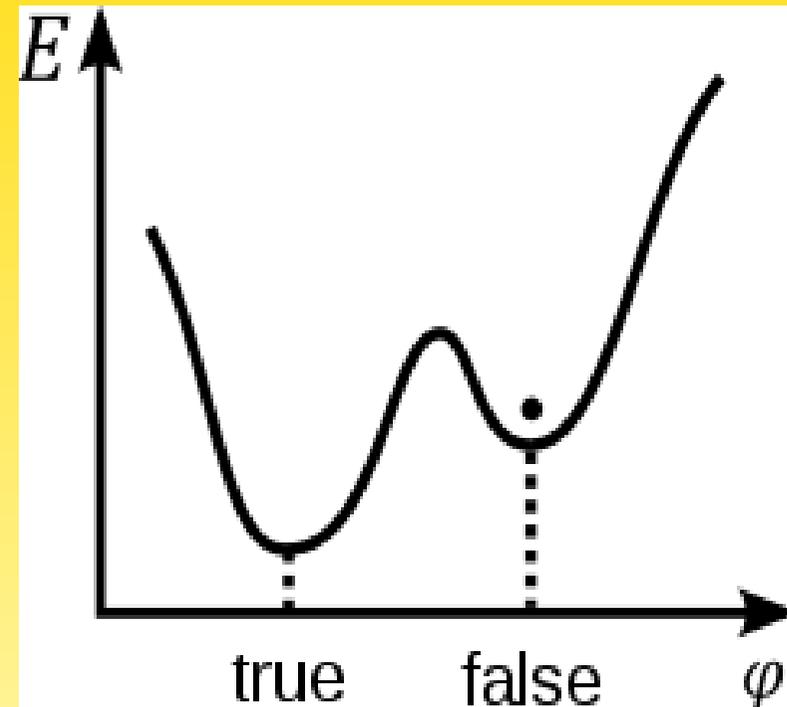
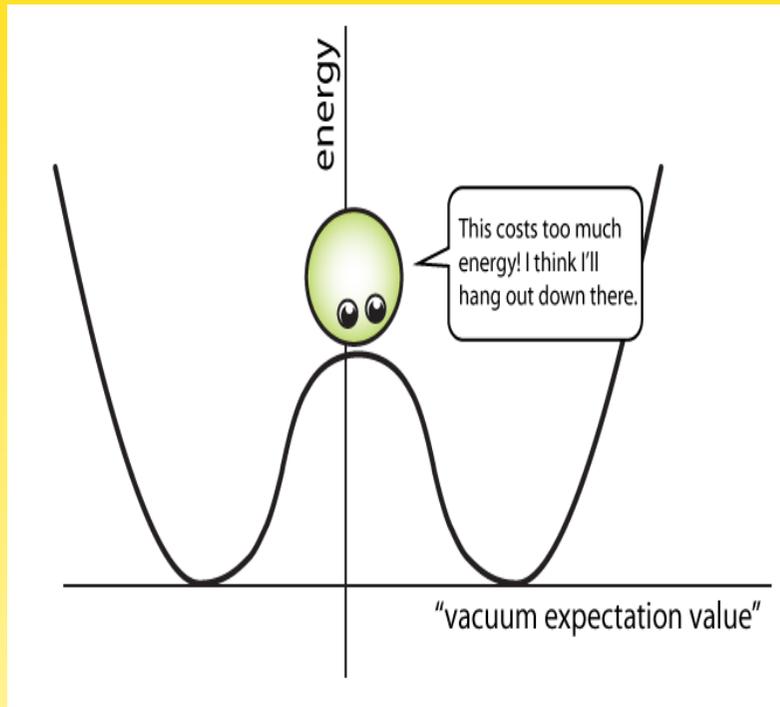
Predictions of $SO(10)$ theories

model	Fit	$ m_{ee} $ [meV]	m_0 [meV]	M_3 [GeV]	χ^2
$10_H + \overline{126}_H$	NH	0.49	2.40	3.6×10^{12}	23.0
$10_H + \overline{126}_H + SS$	NH	0.44	6.83	1.1×10^{12}	3.29
$10_H + \overline{126}_H + 120_H$	NH	2.87	1.54	9.9×10^{14}	11.2
$10_H + \overline{126}_H + 120_H + SS$	NH	0.78	3.17	4.2×10^{13}	6.9×10^{-6}
$10_H + \overline{126}_H + 120_H$	IH	35.52	30.2	1.1×10^{13}	13.3
$10_H + \overline{126}_H + 120_H + SS$	IH	24.22	12.0	1.2×10^{13}	0.6

Dueck, W.R., JHEP **1309**

Phenomenology of heavy singlets: Higgs

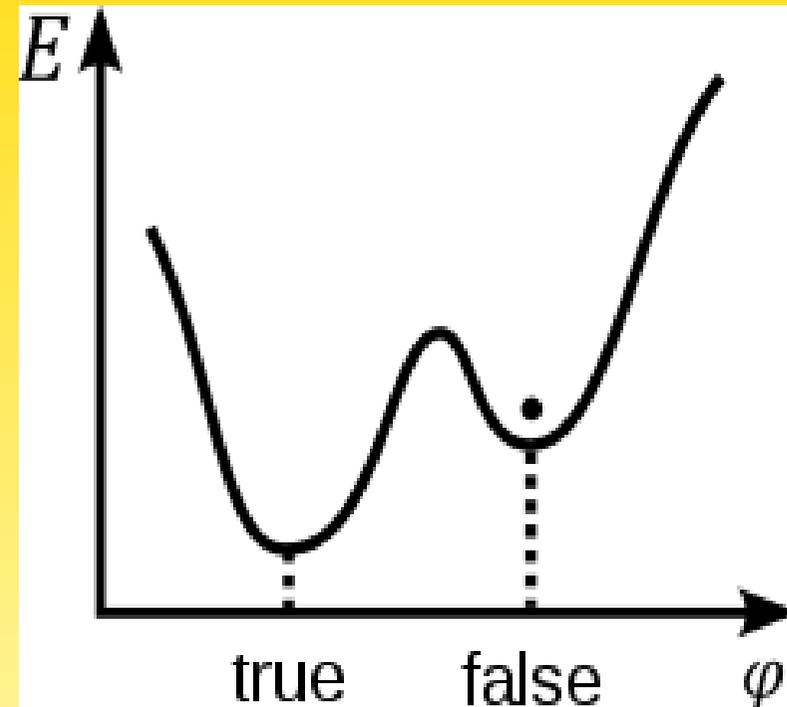
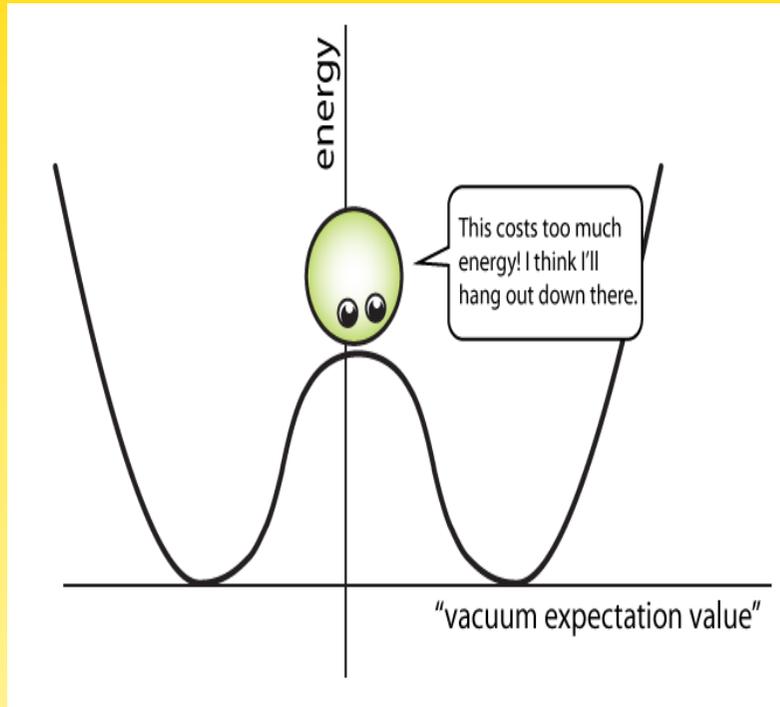
Higgs potential is rather flat \Rightarrow corrections are dangerous



vacuum probably metastable: could tunnel to true vacuum
replacing all fields and forces with new fields and forces

Phenomenology of heavy singlets: Higgs

Higgs potential is rather flat \Rightarrow corrections are dangerous



vacuum probably metastable: could tunnel to true vacuum

replacing all fields and forces with new fields and forces

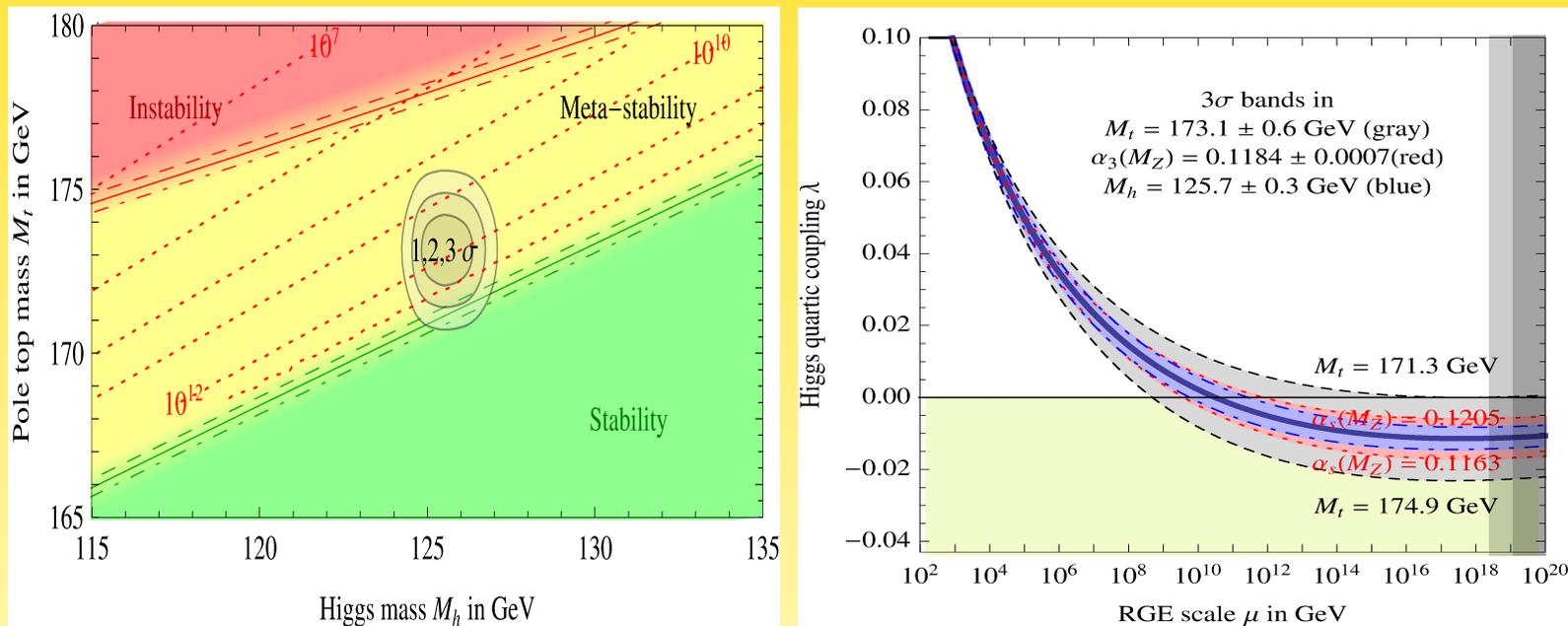
(not good)

Phenomenology of heavy singlets: Higgs

Higgs coupling λ is driven to negative values by top Yukawa:

$$\beta_\lambda \propto -24 \text{Tr} (Y_u^\dagger Y_u)^2 \propto m_t^4$$

vacuum stability



Degrassi *et al.*; 1205.6497

dependence on top mass, α_s

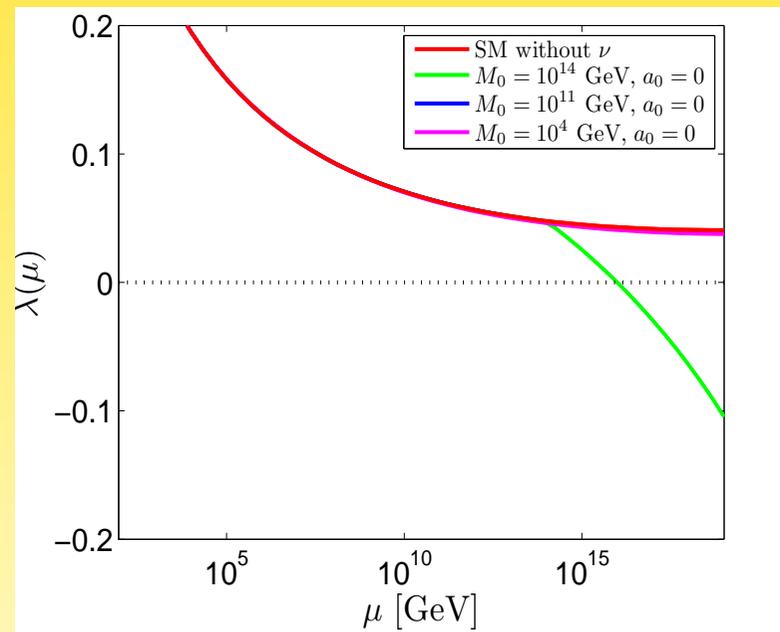
Phenomenology of heavy singlets: Higgs

Lepton-Higgs- N_R vertex: Dirac Yukawa $\bar{L} Y_\nu N_R$ contribution

$$\Delta\beta_\lambda = -8 \text{Tr} (Y_\nu^\dagger Y_\nu)^2 \propto m_D^4$$

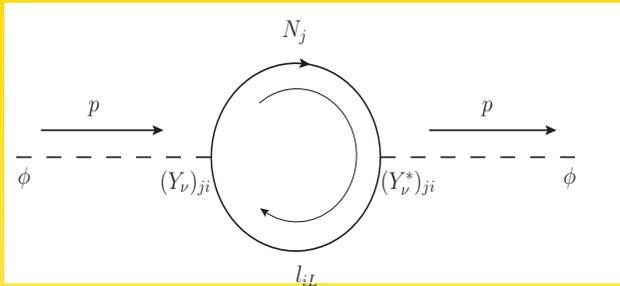
Casas *et al.*; Strumia *et al.*; W.R., Zhang

makes vacuum stability condition worse!



(also effect if tricks are played to produce TeV-scale N_R at colliders)

Naturalness issues (Vissani, PRD57; Volkas et al. PRD91)

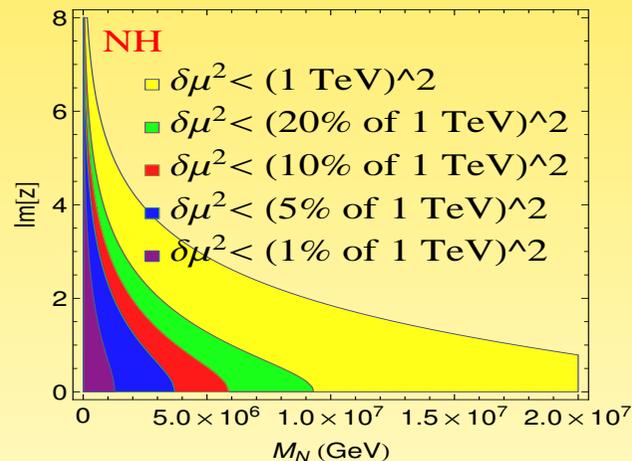


$$\delta\mu^2 \approx \frac{1}{4\pi^2 v^2} \text{Tr} \left[m_D^\dagger \text{diag}(M_1^2, M_2^2, M_3^2) m_D \right]$$

$$\lesssim \text{TeV}^2 \Rightarrow M_R \lesssim 10^7 \text{ GeV}$$

most minimal case $M_1 = M_2 = M$, parametrize m_D as

$$m_D = \sqrt{M} R \text{diag}(0, \sqrt{m_2}, \sqrt{m_3}) U^\dagger \quad \text{with } R = \begin{pmatrix} 0 & \cos z & \sin z \\ 0 & -\sin z & \cos z \end{pmatrix}$$



Goswami, W.R., to appear

Alternative to Standard Seesaw I: inverse seesaw

basis (ν_L, N_R^c, S)

$$M = \begin{pmatrix} 0 & m_D & 0 \\ m_D^T & 0 & M \\ 0 & M^T & \mu \end{pmatrix}$$

$$\Rightarrow m_\nu = m_D (M^T)^{-1} \mu M^{-1} m_D^T = 0.1 \left(\frac{m_D}{10^2 \text{ GeV}} \right)^2 \left(\frac{10^4 \text{ GeV}}{M} \right)^2 \left(\frac{\mu}{\text{keV}} \right) \text{ eV}$$

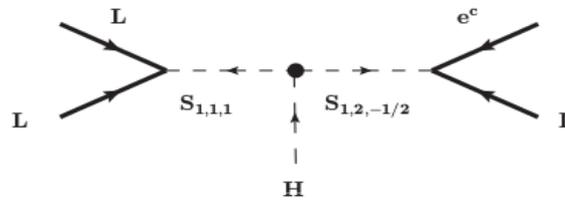
- 't Hooft...
- unitarity violation $U^\dagger U - 1 \simeq \frac{m_D^2}{M^2}$
- keV-scale \leftrightarrow warm dark matter

Alternative to Standard Seesaw III: $d = 7$ operator

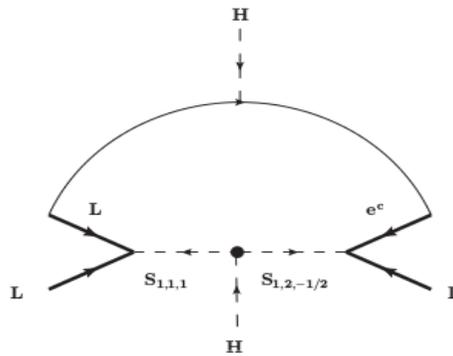
$$\mathcal{O} = L L L e^c H$$

open up to generate 1-loop neutrino mass model:

One more example, open $d = 7$:



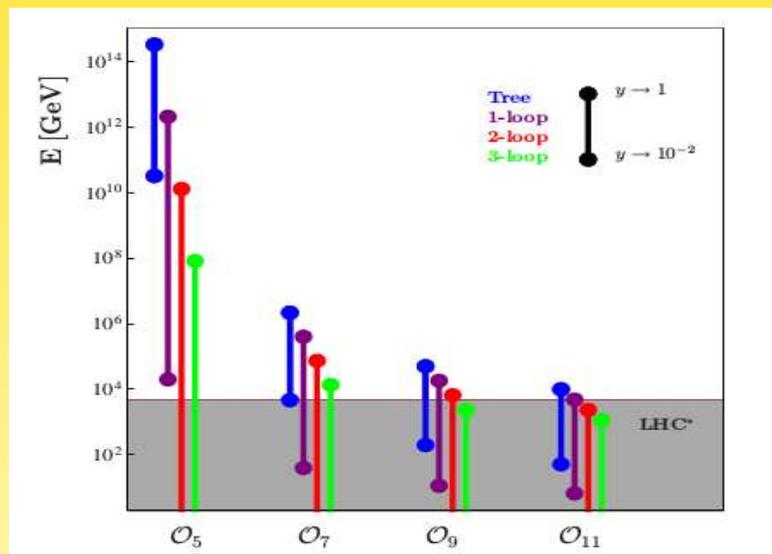
Close using SM Yukawa interaction:



Theoretical Expectation of Neutrino Mass Generation Scale

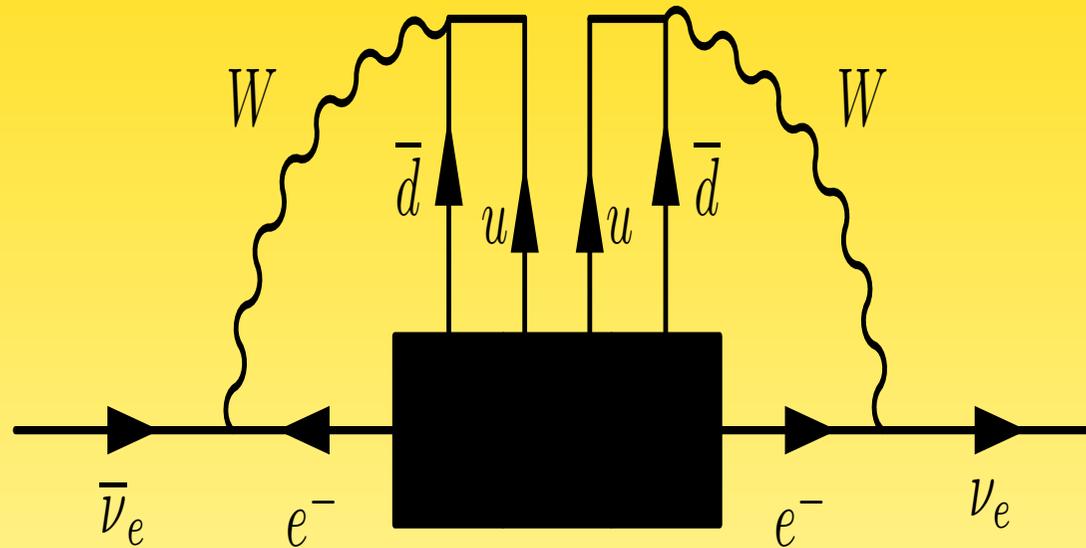
$$m_\nu = \frac{(y v)^2}{\Lambda} \left(\frac{y^2}{16\pi^2} \right)^n \left(\frac{y v}{\Lambda} \right)^{d-5} \epsilon$$

- classical seesaw
- n -loop
- dimension d
- nearly conserved L



M. Hirsch, talk at WIN2015

Schechter-Valle theorem: observation of $0\nu\beta\beta$ implies Majorana neutrinos



is 4 loop diagram: $m_\nu^{\text{BB}} \sim \frac{G_F^2}{(16\pi^2)^4} \text{MeV}^5 \sim 10^{-25} \text{eV}$

Distinguishing Mechanisms

The inverse problem of $0\nu\beta\beta$

- 1.) Other observables (LHC, LFV, KATRIN, cosmology, . . .)
- 2.) Decay products (individual e^- energies, angular correlations, spectrum, . . .)
- 3.) Nuclear physics (multi-isotope, $0\nu\text{ECEC}$, $0\nu\beta^+\beta^+$, . . .)

Left-right Symmetry

6 neutrinos with flavor states n'_L and mass states $n_L = (\nu_L, N_R^c)^T$

$$n'_L = \begin{pmatrix} \nu'_L \\ \nu_R^c \end{pmatrix} = \begin{pmatrix} K_L \\ K_R \end{pmatrix} n_L = \begin{pmatrix} U & S \\ T & V \end{pmatrix} \begin{pmatrix} \nu_L \\ N_R^c \end{pmatrix}$$

Right-handed currents:

$$\mathcal{L}_{CC}^{\text{lep}} = \frac{g}{\sqrt{2}} \left[\bar{\ell}_L \gamma^\mu K_L n_L (W_{1\mu}^- + \xi e^{i\alpha} W_{2\mu}^-) + \bar{\ell}_R \gamma^\mu K_R n_L^c (-\xi e^{-i\alpha} W_{1\mu}^- + W_{2\mu}^-) \right]$$

(K_L and K_R are 3×6 mixing matrices)

plus: gauge boson mixing

$$\begin{pmatrix} W_L^\pm \\ W_R^\pm \end{pmatrix} = \begin{pmatrix} \cos \xi & \sin \xi e^{i\alpha} \\ -\sin \xi e^{-i\alpha} & \cos \xi \end{pmatrix} \begin{pmatrix} W_1^\pm \\ W_2^\pm \end{pmatrix}$$

Connection to Neutrinos

Majorana mass matrices $M_L = f_L v_L$ from $\langle \Delta_L \rangle$ and $M_R = f_R v_R$ from $\langle \Delta_R \rangle$
 (with $f_L = f_R = f$)

$$\mathcal{L}_{\text{mass}}^\nu = -\frac{1}{2} \begin{pmatrix} \overline{\nu'_L} & \overline{\nu'_R} \end{pmatrix} \begin{pmatrix} M_L & M_D \\ M_D^T & M_R \end{pmatrix} \begin{pmatrix} \nu'_L \\ \nu'_R \end{pmatrix} \Rightarrow m_\nu = M_L - M_D M_R^{-1} M_D^T$$

useful special cases

- (i) type I dominance: $m_\nu = M_D M_R^{-1} M_D^T = M_D f_R^{-1} / v_R M_D^T$
- (ii) type II dominance: $m_\nu = f_L v_L$

for case (i): mixing of light neutrinos with heavy neutrinos of order

$$|S_{\alpha i}| \simeq |T_{\alpha i}^T| \simeq \sqrt{\frac{m_\nu}{M_i}} \lesssim 10^{-7} \left(\frac{\text{TeV}}{M_i} \right)^{1/2}$$

small (or enhanced up to 10^{-2} by cancellations)

Right-handed Currents in Double Beta Decay

$(A, Z) \rightarrow (A, Z + 2) + 2e^-$

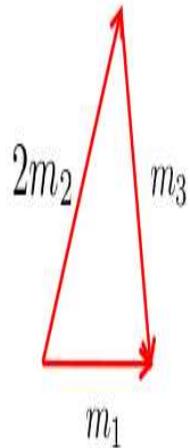
$$\mathcal{L}_{CC}^{\text{lep}} = \frac{g}{\sqrt{2}} \sum_{i=1}^3 \left[\bar{e}_L \gamma^\mu (U_{ei} \nu_{Li} + S_{ei} N_{Ri}^c) (W_{1\mu}^- + \xi e^{i\alpha} W_{2\mu}^-) \right. \\ \left. + \bar{e}_R \gamma^\mu (T_{ei}^* \nu_{Li}^c + V_{ei}^* N_{Ri}) (-\xi e^{-i\alpha} W_{1\mu}^- + W_{2\mu}^-) \right]$$

$$\mathcal{L}_Y^\ell = -\bar{L}_L'^c i\sigma_2 \Delta_L f_L L'_L - \bar{L}_R'^c i\sigma_2 \Delta_R f_R L'_R$$

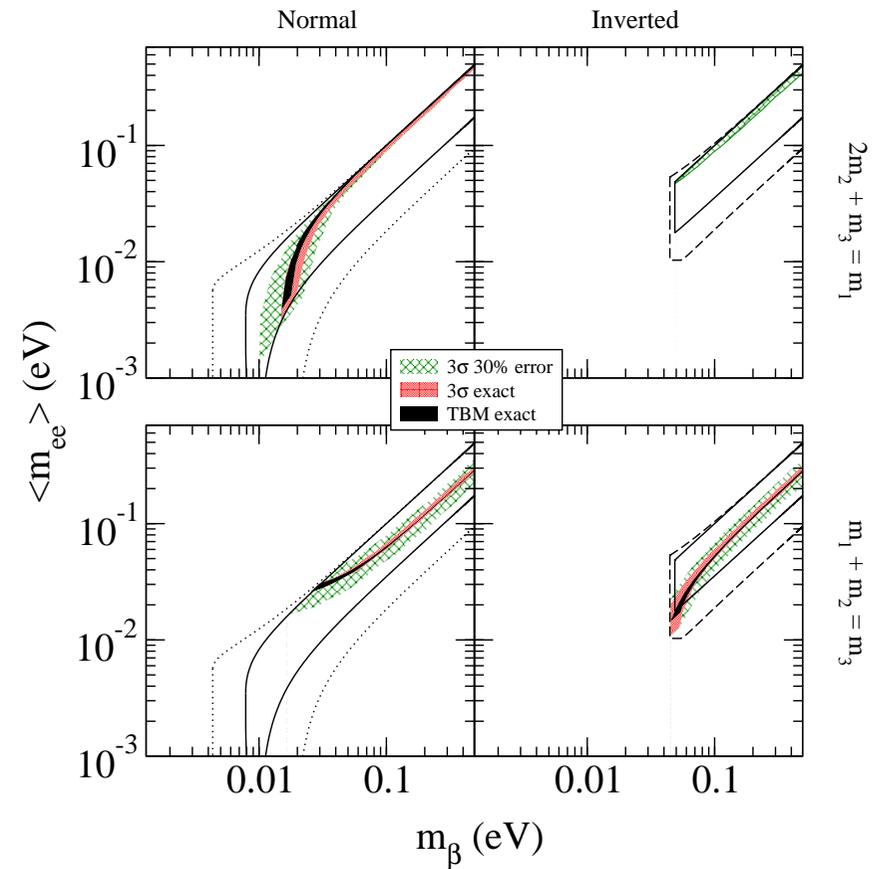
classify diagrams:

- mass dependent diagrams (same helicity of electrons)
- triplet exchange diagrams (same helicity of electrons)
- momentum dependent diagrams (different helicity of electrons)

Flavor Symmetry Models: sum-rules



Sum-rule	Flavour symmetry
$2m_2 + m_3 = m_1$	$A_4, T', (S_4)$
$m_1 + m_2 = m_3$	$S_4, (A_4)$
$\frac{2}{m_2} + \frac{1}{m_3} = \frac{1}{m_1}$	A_4, T'
$\frac{1}{m_1} + \frac{1}{m_2} = \frac{1}{m_3}$	S_4



constraints on masses and Majorana phases

Barry, W.R., Nucl. Phys. **B842**

Master Formula: $2 \times 2 = 3 + 1$

$SU(2)_L \times U(1)_Y$ with $2 \otimes 2 = 3 \oplus 1$:

$$\bar{L} \tilde{\Phi} \sim (2, +1) \otimes (2, -1) = (3, 0) \oplus (1, 0)$$

To make a singlet, couple $(1, 0)$ or $(3, 0)$, because $3 \otimes 3 = 5 \oplus 3 \oplus 1$

Alternatively:

$$\bar{L} L^c \sim (2, +1) \otimes (2, +1) = (3, +2) \oplus (1, +2)$$

To make singlet, couple to $(1, -2)$ or $(3, -2)$. However, singlet combination $(1, +2)$ is $\bar{\nu} \ell^c - \bar{\ell} \nu^c$, which cannot generate neutrino mass term

$$\begin{array}{ccccc} \implies & (1, 0) & \text{or} & (3, -2) & \text{or} & (3, 0) \\ & \text{type I} & & \text{type II} & & \text{type III} \end{array}$$