

One SMASH to Rule Them All

A Minimal Model for Particle Physics and Cosmology

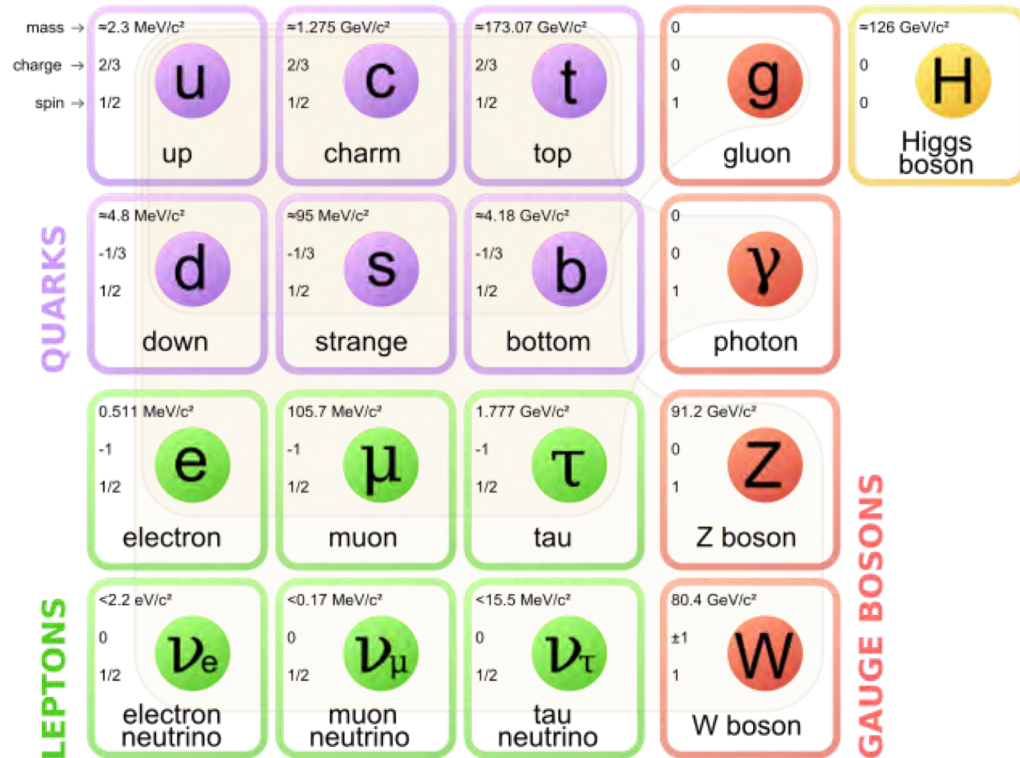
Andreas Ringwald
GRK 2044 Seminar
Albert-Ludwigs-Universität, Freiburg, Germany
17 June 2020

[Ballesteros, Redondo, AR, Tamarit, arXiv:1608.05414; arXiv:1610.01639; AR, Saikawa, Tamarit, in preparation]

[Ballesteros, AR, Tamarit, Welling, in preparation]

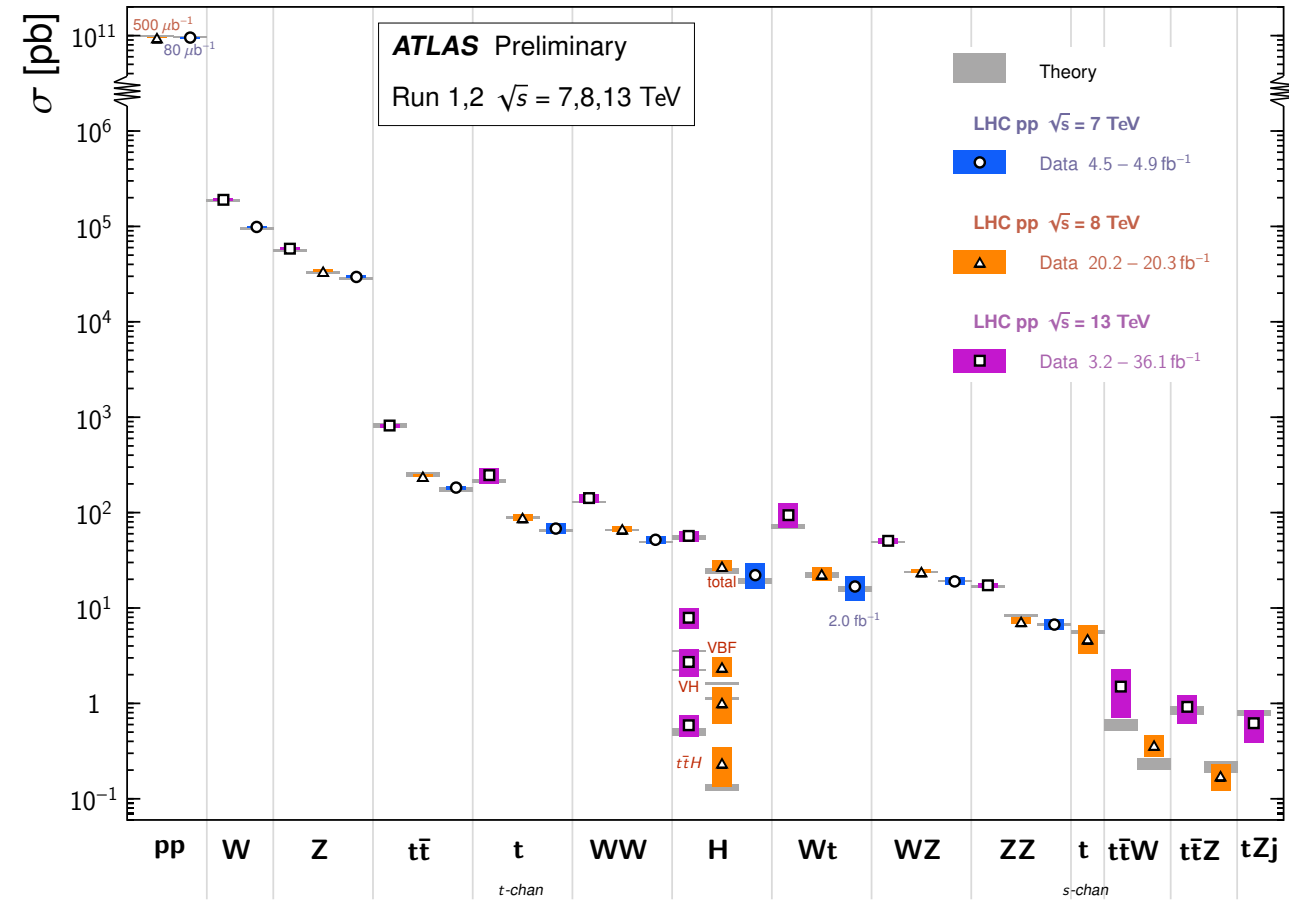
The Standard Model of Particle Physics

- Standard Model (SM) describes interactions of all known particles with remarkable accuracy



[wikipedia]

Standard Model Total Production Cross Section Measurements Status: March 2018



[twiki.cern.ch]

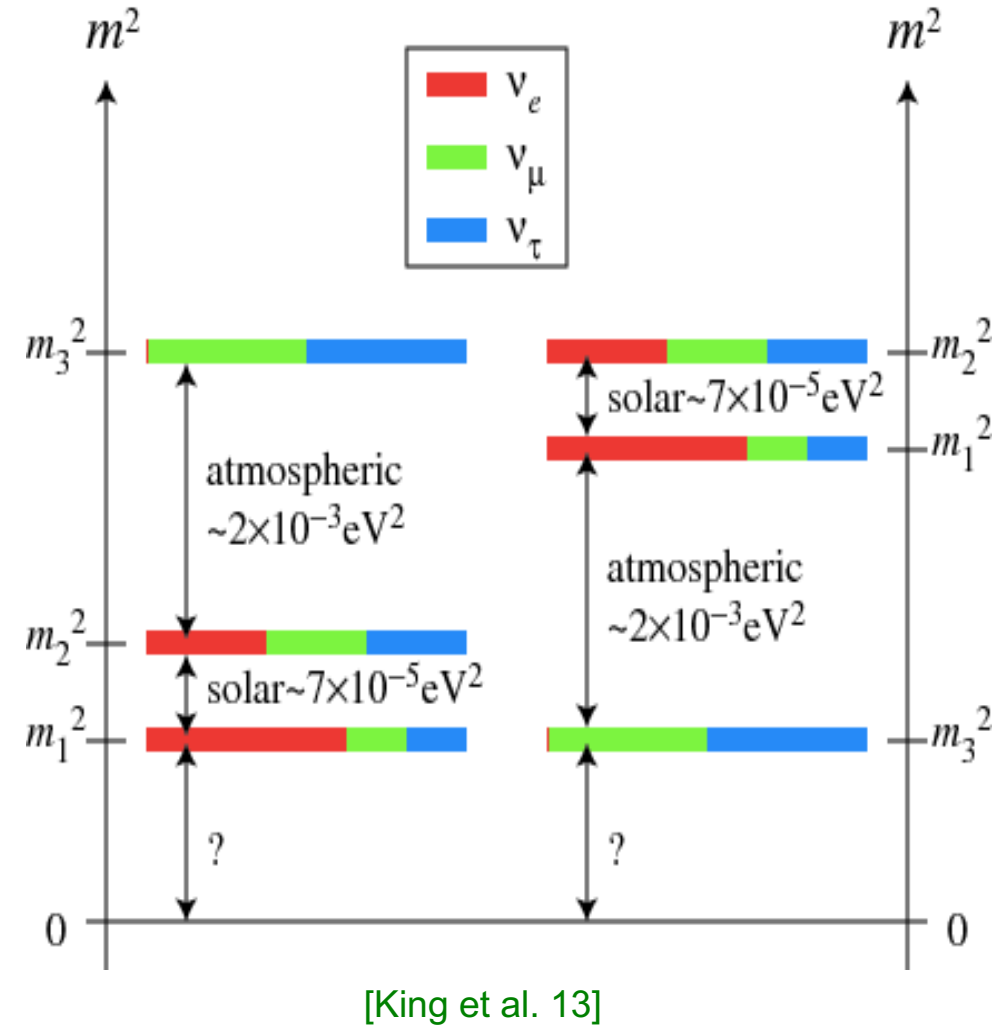
The Big Five

- Big fundamental problems in particle physics and cosmology seem to require physics beyond SM

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1. Neutrino masses and mixing

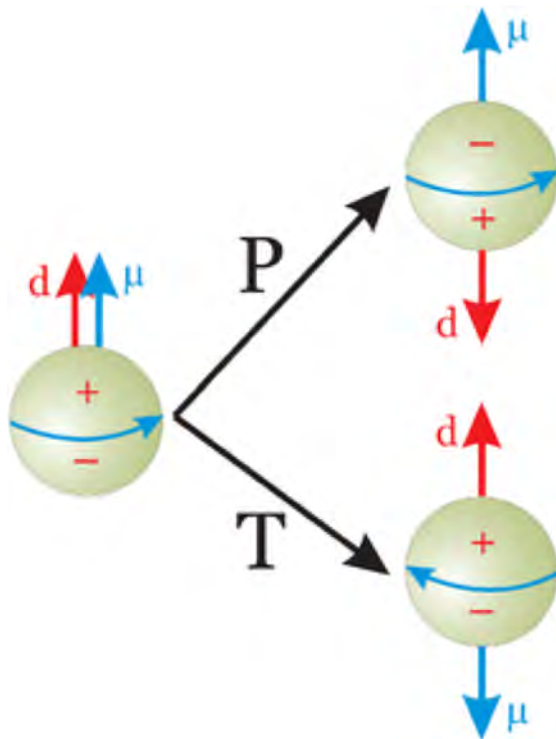


The Big Five

- Big fundamental problems in particle physics and cosmology seem to require physics beyond SM

1. Neutrino masses and mixing

2. Strong CP problem



- Most general gauge invariant Lagrangian of QCD contains topological theta-term:

[Belavin et al. '75; 't Hooft 76; Callan et al. '76; Jackiw, Rebbi '76]

$$\mathcal{L}_{\text{QCD}} \supset -\frac{\alpha_s}{8\pi} \theta G_{\mu\nu}^a \tilde{G}^{a\mu\nu}$$

- Theta-term $\propto G_{\mu\nu}^a \tilde{G}^{a\mu\nu} \propto \mathbf{E}^a \cdot \mathbf{B}^a$ violates P and T, and thus CP

- Most sensitive probe of P and T violation in flavor conserving interactions: electric dipole moment of neutron

- Prediction: [Crewther, Di Vecchia, Veneziano, Witten 79; ...; Pospelov, Ritz 00]

$$d_n(\bar{\theta}) = 2.4(1.0) \times 10^{-16} \bar{\theta} e \text{ cm}$$

- Experiment: [Abel et al. 20] $[\bar{\theta} \equiv \theta + \arg \det(\mathcal{M}_u \mathcal{M}_d)]$

$$|d_n| < 1.8 \times 10^{-26} e \text{ cm}$$

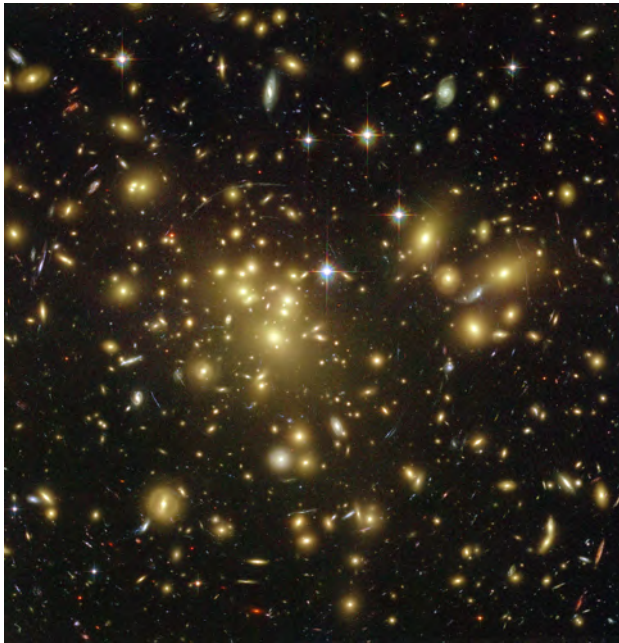
- Strong CP problem: $|\bar{\theta}| < 10^{-10}$

The Big Five

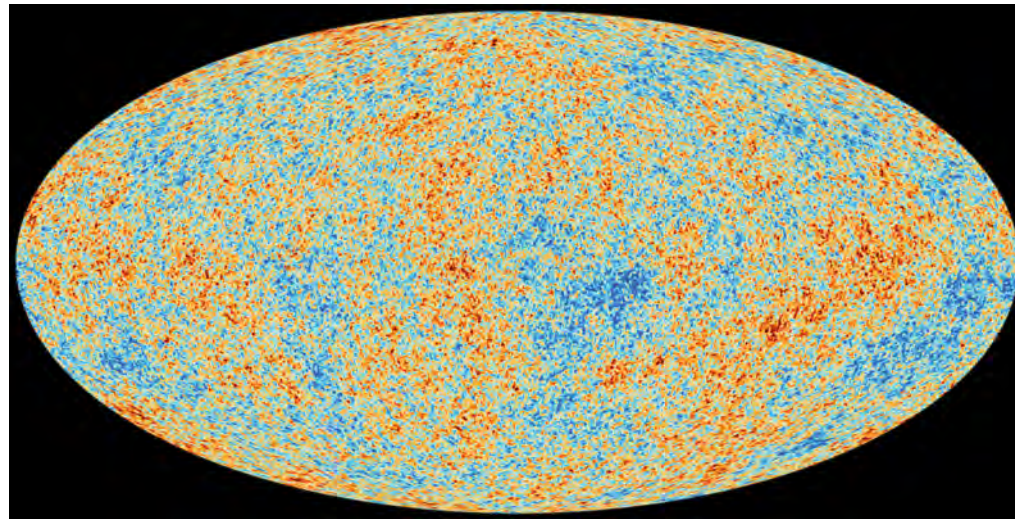
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2. Strong CP problem

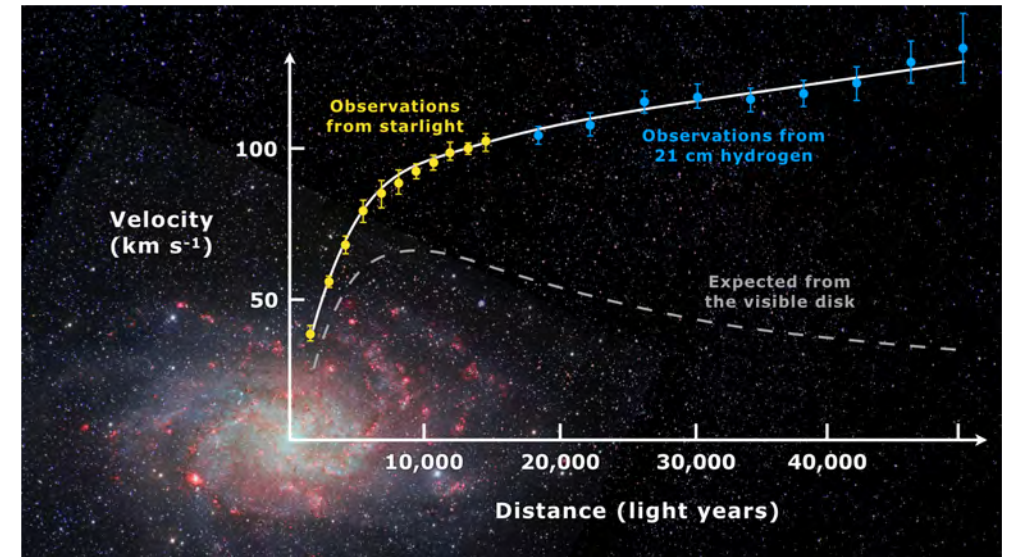
3. Dark matter



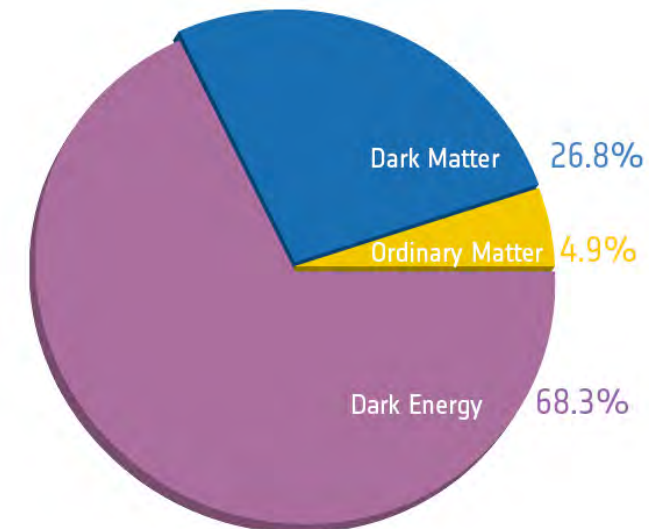
[NASA, Wikipedia]



[PLANCK]

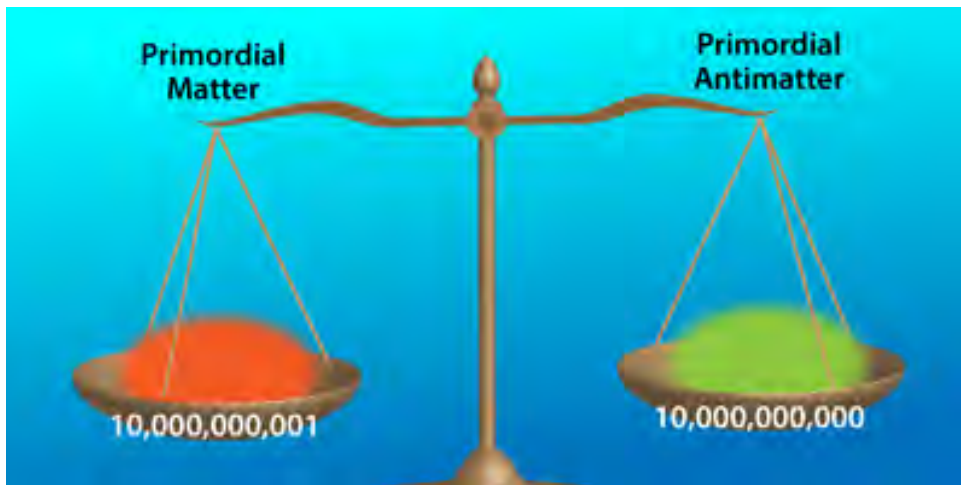


[Mario De Leo, Wikipedia]

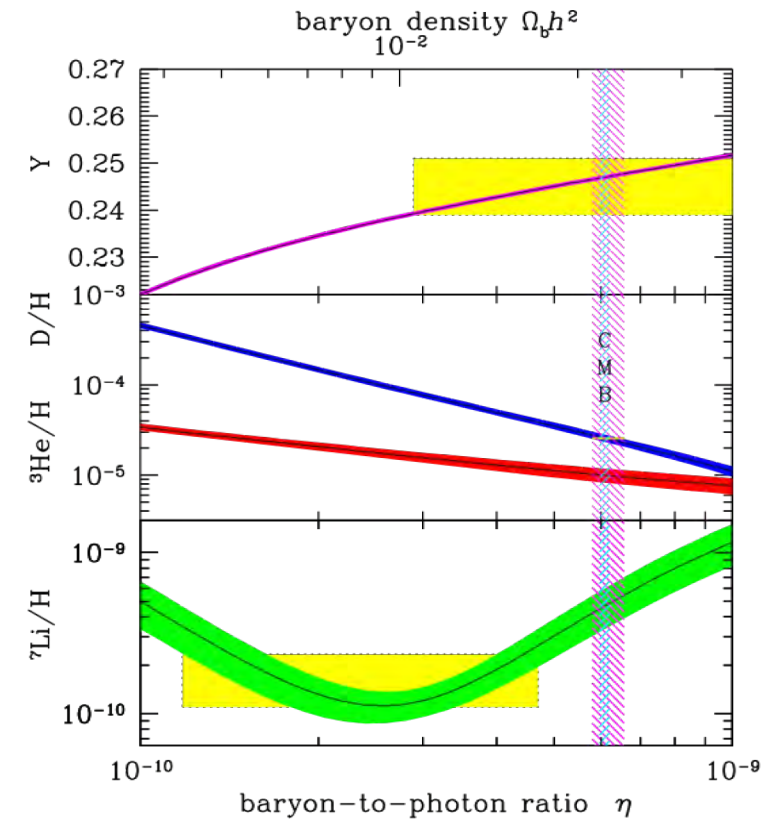


The Big Five

- Big fundamental problems in particle physics and cosmology seem to require physics beyond SM
 1. Neutrino masses and mixing
 2. Strong CP problem
 3. Dark matter
 4. **Baryon asymmetry**



[APS]



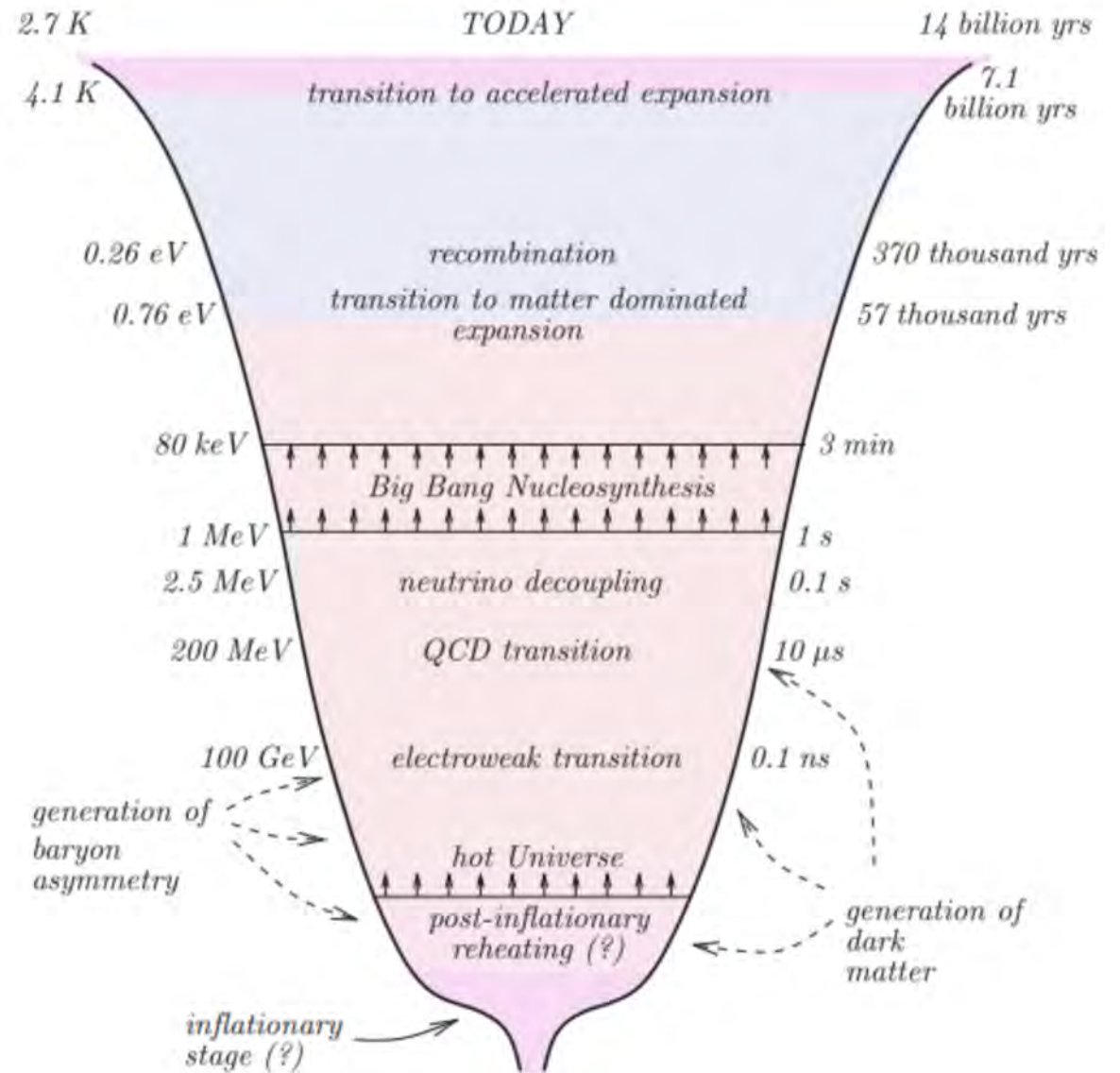
[PDG]

The Big Five

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3. Dark matter
4. Baryon asymmetry

5. Inflation

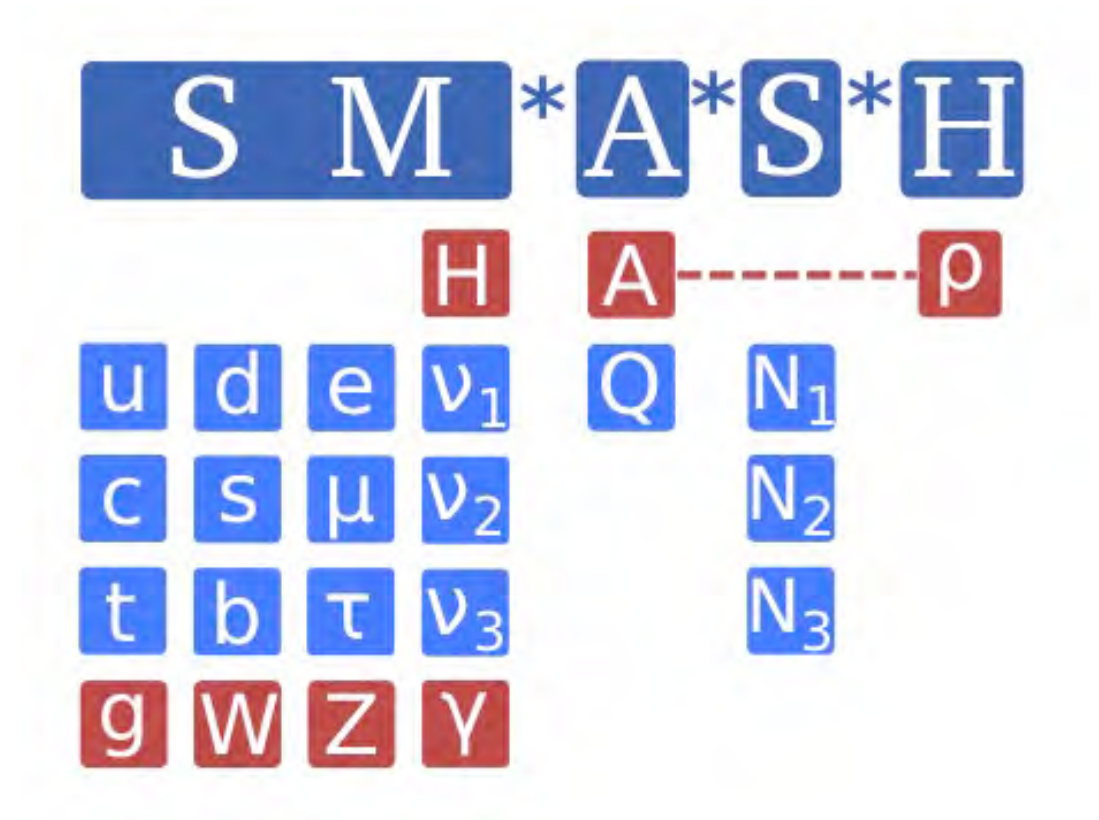


The Big Five

- Big fundamental problems in particle physics and cosmology seem to require physics beyond SM
 - Neutrino masses and mixing
 - Strong CP problem
 - Dark matter
 - Baryon asymmetry
 - Inflation
- These problems may be solved in one smash in a minimal extension of the SM by
 - 3 right-handed SM singlet neutrinos N_i
 - 1 SM singlet complex scalar $\sigma(x) = \frac{1}{\sqrt{2}} (v_\sigma + \rho(x)) e^{iA(x)/v_\sigma}$
 - 1 vector-like extra quark Q

dubbed **SM*A*S*H**

[Ballesteros, Redondo, AR, Tamarit, arXiv:1608.05414; 1610.01639](#)



Minimal Solution of Neutrino Masses and Mixing Problem

Seesaw mechanism

- Extend SM by three right-handed SM singlet neutrinos N_i ($L = (\ell, \nu_\ell)^T$)

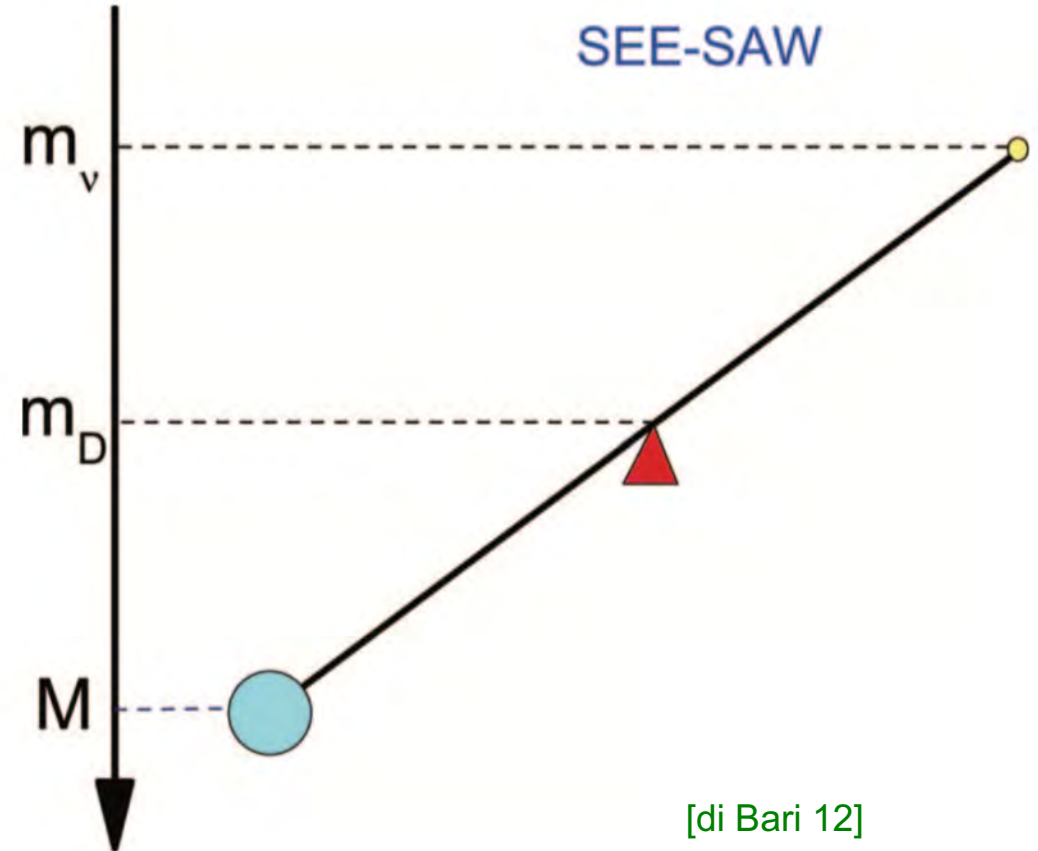
$$\mathcal{L} \supset - \left[F_{ij} L_i \epsilon H N_j + \frac{1}{2} M_{ij} N_i N_j + h.c. \right]$$

- In see-saw limit, $M \gg m_D \equiv Fv/\sqrt{2}$, with $v = 246$ GeV, the neutrino mass eigenstates split into

- a heavy set with masses M_i constituted by the N_i
- a light set with masses

$$m_\nu = \frac{1}{2} F \frac{v^2}{M} F^T = 0.03 \text{ eV} F \frac{10^{15} \text{ GeV}}{M} F^T$$

constituted by mixtures of ν_ℓ



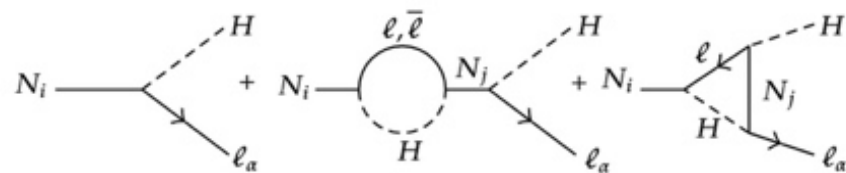
[Minkowski 77; Gell-Mann,Ramond,Slansky 79; Yanagida 79]

Minimal Solution of Baryogenesis Problem

Baryogenesis via leptogenesis

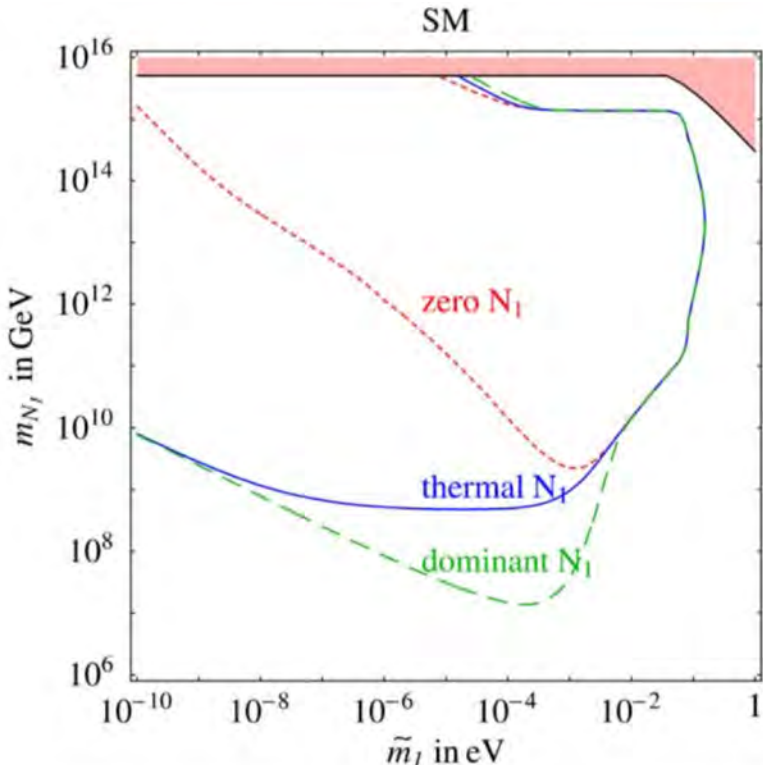
- Extend SM by three right-handed SM singlet neutrinos N_i ($L = (\ell, \nu_\ell)^T$)

$$\mathcal{L} \supset - \left[F_{ij} L_i \epsilon H N_j + \frac{1}{2} M_{ij} N_i N_j + h.c. \right]$$
- For large $M_i \gg v = 246$ GeV, baryon asymmetry generated via leptogenesis [Fukugita,Yanagida 80]
 - At $T \sim M_i$, out-of-equilibrium CP and L violating decays of N_i generate lepton number

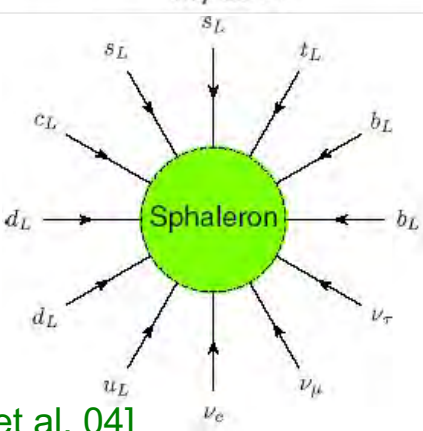


[Sheng Fong et al. 12]

- At $T \sim v$, electroweak B+L violating, but B-L conserving sphaleron processes turn lepton number into baryon number



[Giudice et al. 04]



[Buchmüller et al. 04]

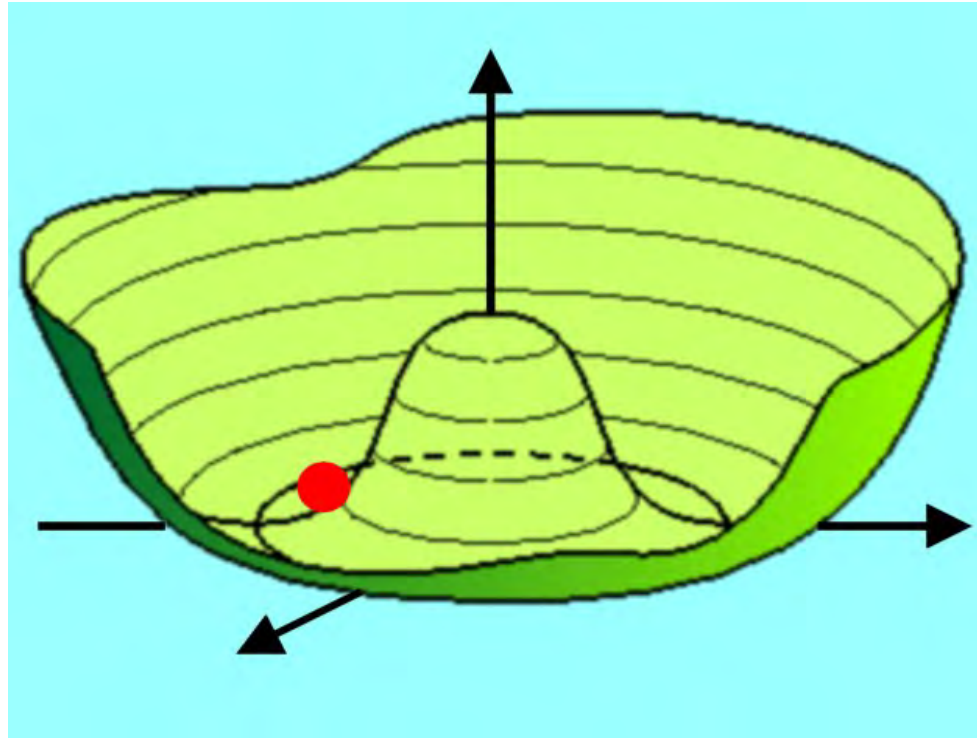
Minimal Solution of Strong CP Problem

Peccei-Quinn mechanism

- Extend particle content further by vector-like exotic quark [Kim 79; Shifman, Vainshtein, Zakharov 80]
- Introduce global U(1) symmetry which is broken by VEV $\langle \sigma \rangle = v_\sigma / \sqrt{2}$ of SM-singlet complex scalar;

- Excitation of modulus: $m_\rho \propto v_\sigma$
- Excitation of argument: $m_A \ll v_\sigma$

$$\sigma(x) = \frac{1}{\sqrt{2}} (v_\sigma + \rho(x)) e^{iA(x)/v_\sigma}$$



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- Charges of exotic quark such that U(1) broken by gluonic triangle anomaly: A axion [Weinberg 79; Wilczek 79]

q	u	d	L	N	E	Q	\tilde{Q}	σ
1/2	-1/2	-1/2	1/2	-1/2	-1/2	-1/2	-1/2	1

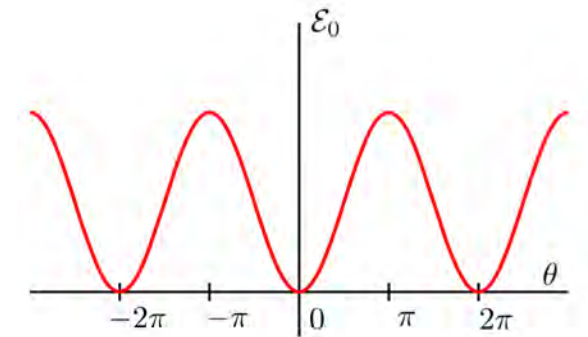
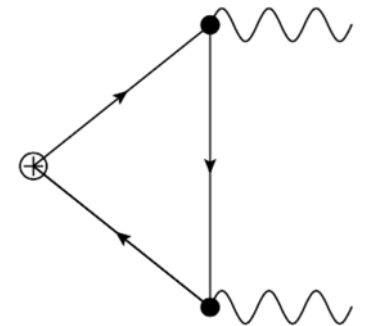
[Shin 88; Dias et al. 14; Ballesteros et al. 16]

$$\mathcal{L} \supset - \left[Y_{u ij} q_i \epsilon H u_j + Y_{d ij} q_i H^\dagger d_j + G_{ij} L_i H^\dagger E_j + F_{ij} L_i \epsilon H N_j + \frac{1}{2} Y_{ij} \sigma N_i N_j + y \tilde{Q} \sigma Q + y_{Q d i} \sigma Q d_i + h.c. \right]$$

- No strong CP problem, since axion field acts as space-time dependent theta parameter:

$$\mathcal{L} \supset - \frac{\alpha_s}{8\pi} \frac{A(x)}{f_A} G_{\mu\nu}^a \tilde{G}^{a\mu\nu}; \quad f_A = v_\sigma$$

- QCD dynamics: $\langle A(x) \rangle = 0$ [Peccei, Quinn 78]; $m_A = 57.0(7) \left(\frac{10^{11} \text{ GeV}}{f_A} \right) \mu\text{eV}$



Minimal Solution of DM Problem

Axion as DM candidate

- Axion born after PQ phase transition,

$$T \lesssim T_c^{\text{PQ}} \sim v_{\text{PQ}} = N f_A$$

- Axion takes random initial values in causally connected domains
- Frozen as long as Hubble expansion rate exceeds mass, $H(T) > m_A(T)$

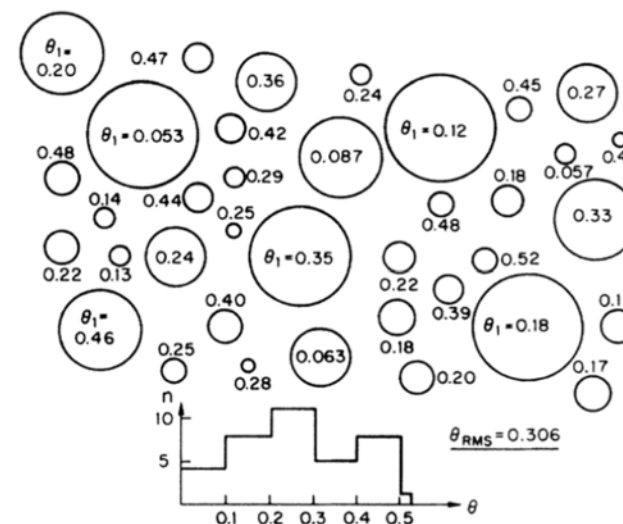
Unbroken Symmetry



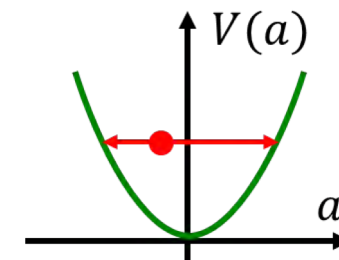
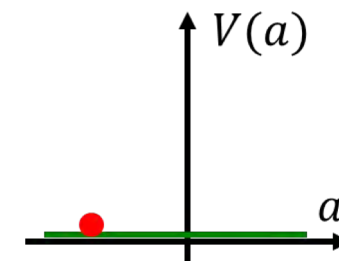
Broken Symmetry



[Peking University]



[Turner '86]



[Raffelt]

Minimal Solution of DM Problem

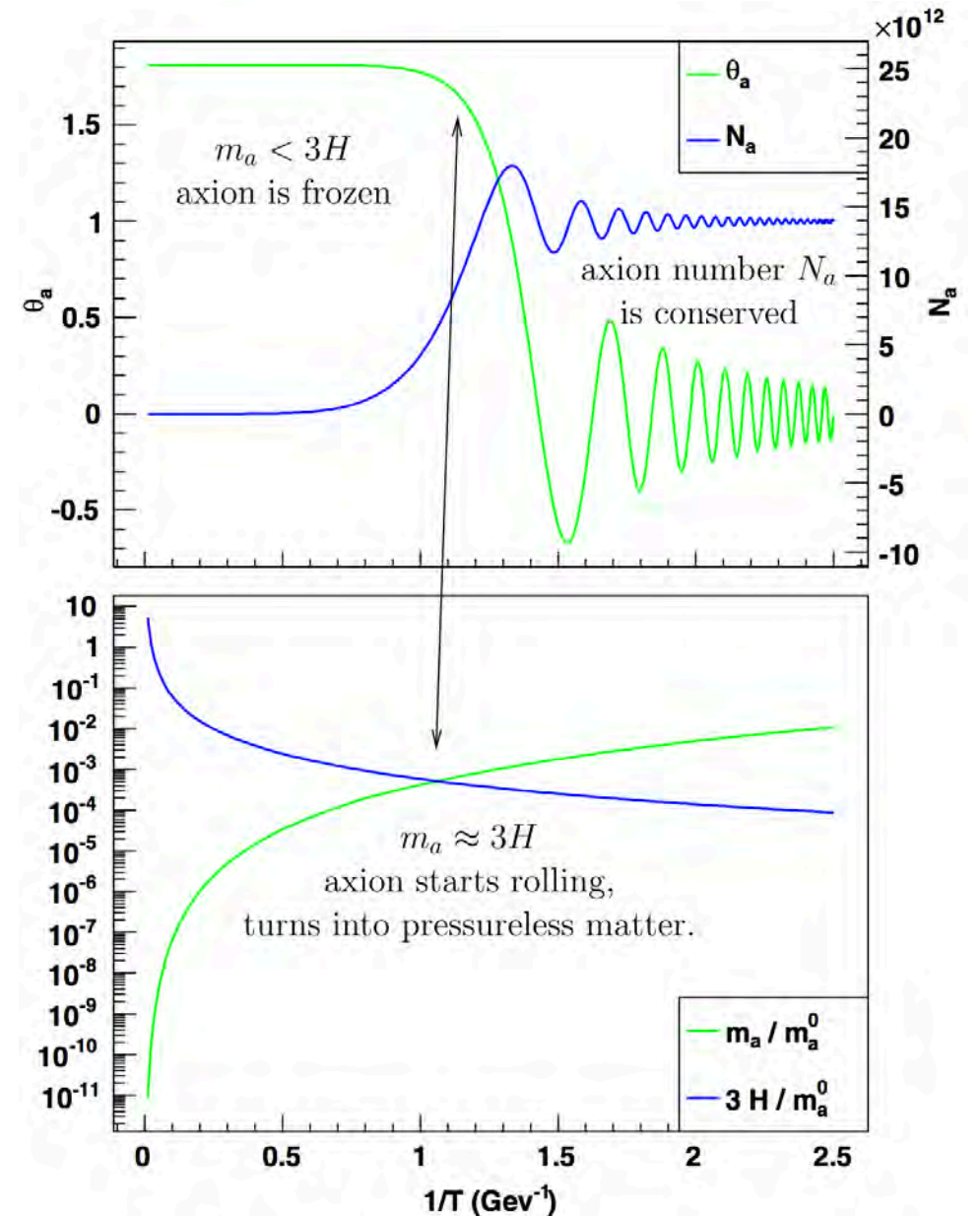
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- When $H(T) \sim m_A(T)$, axion field starts to oscillate around minimum of potential; behaves like cold dark matter: $w_A = p_A/\rho_A \simeq 0$

[Preskill,Wise,Wilczek 83; Abbott,Sikivie 83; Dine,Fischler 83,...]



[Wantz,Shellard '09]

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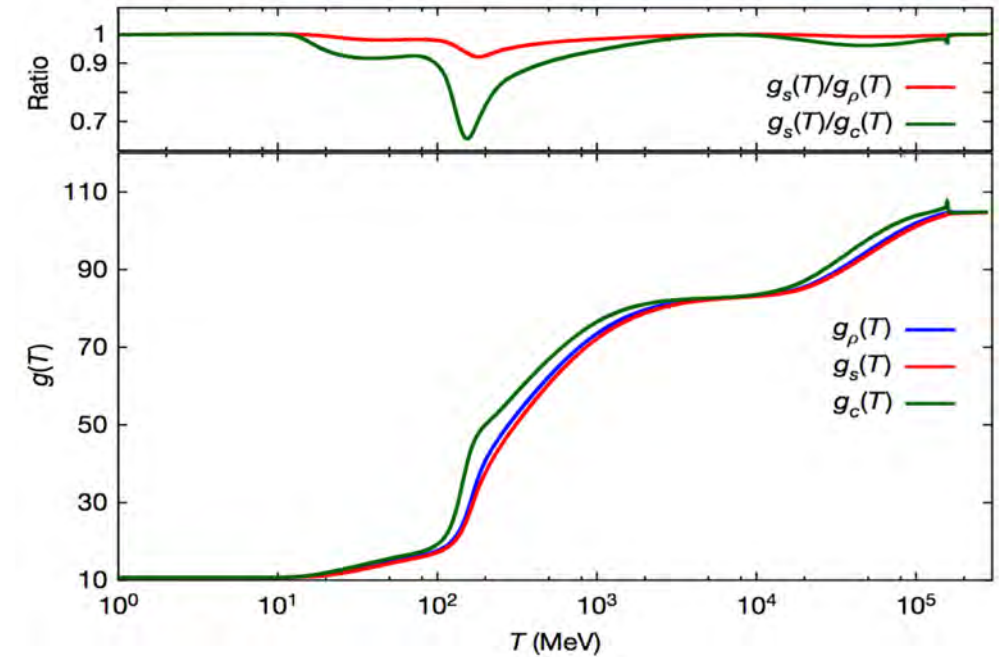
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- QCD input from lattice:

- Equation of state $\Rightarrow H(T)$



[Borsanyi et al., Nature '16 [1606.0794]]

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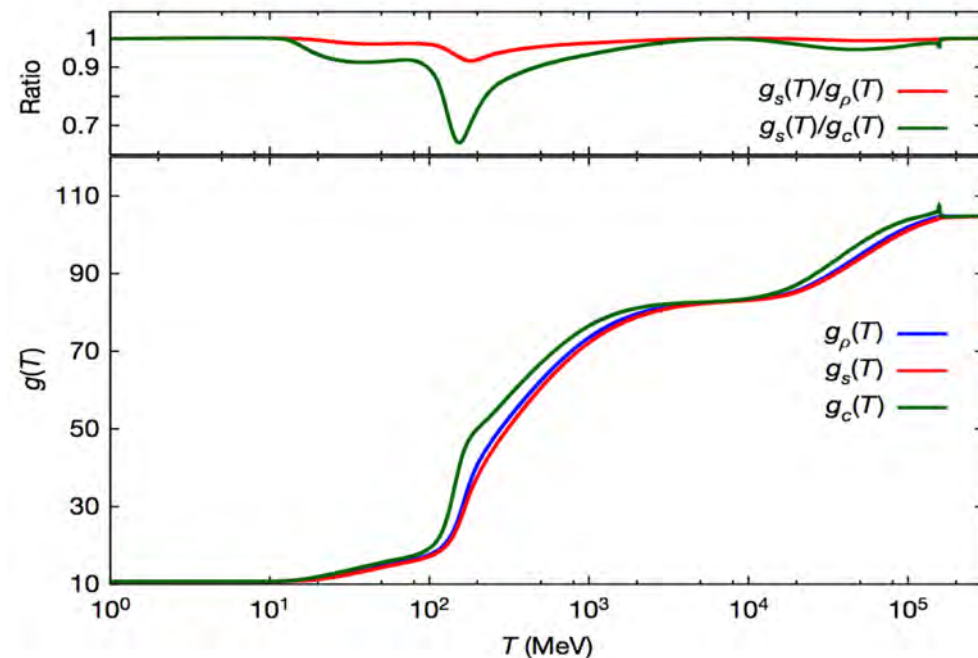
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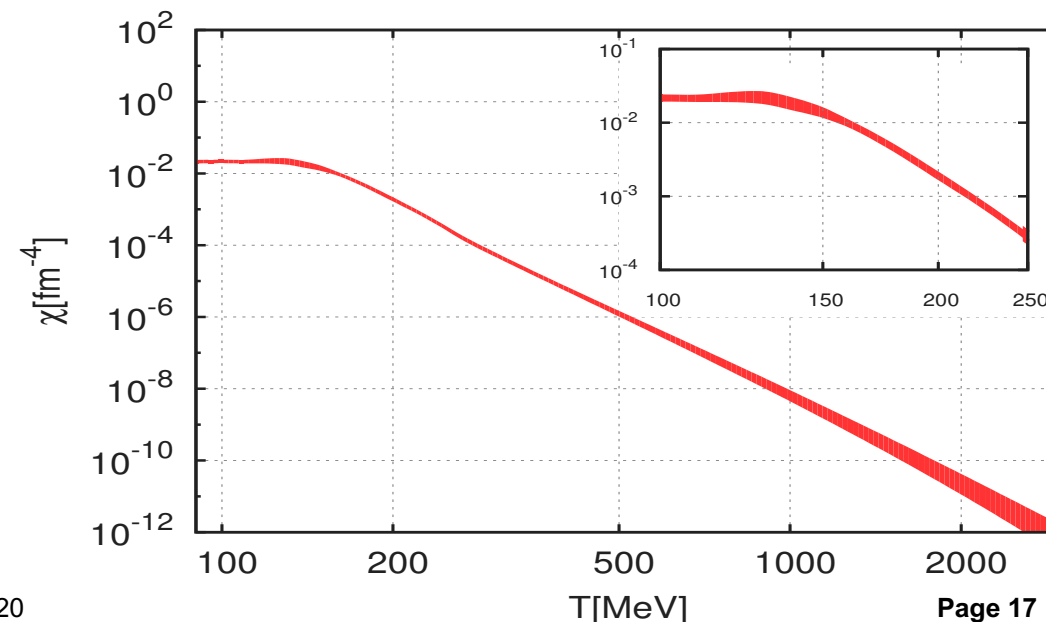
[Preskill,Wise,Wilczek 83; Abbott,Sikivie 83; Dine,Fischler 83,...]

- QCD input from lattice:

- Equation of state $\Rightarrow H(T)$
- Topological susceptibility $\Rightarrow m_A(T) = \sqrt{\chi(T)}/f_A$



[Borsanyi et al., Nature '16 [1606.0794]]

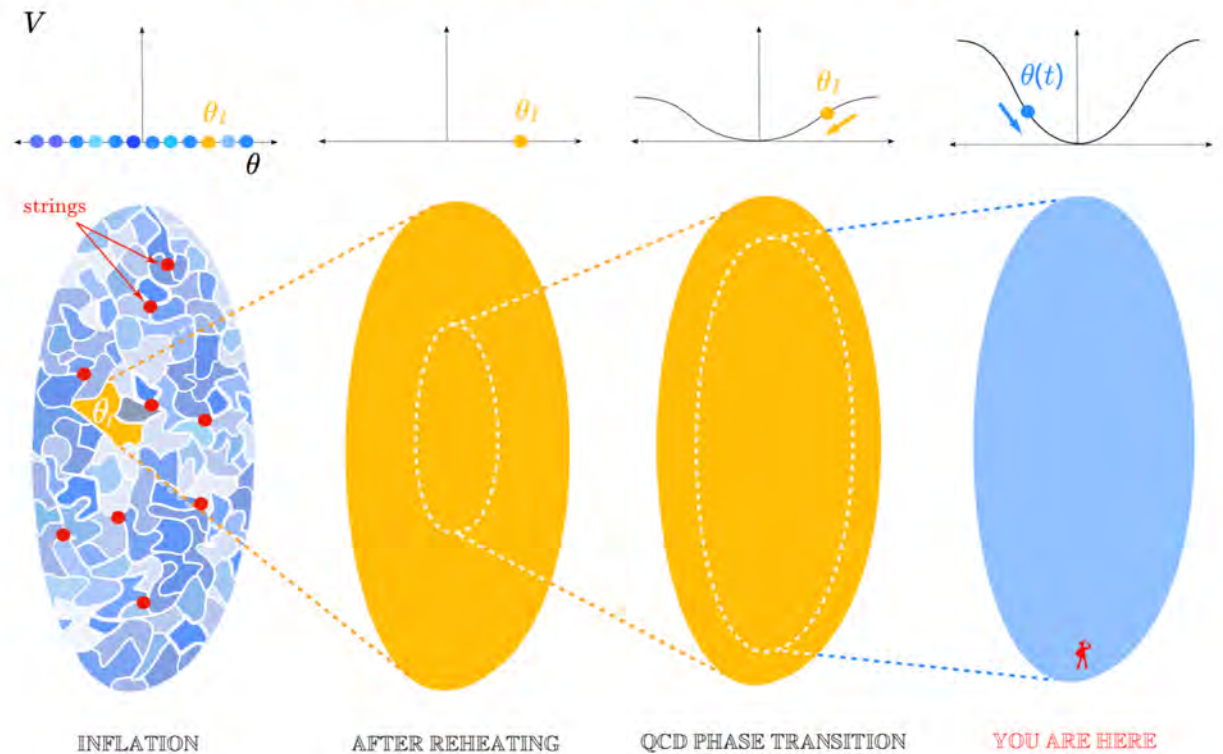


Minimal Solution of DM Problem

Axion as DM candidate

- If PQ symmetry broken before or during inflation ($f_A > H_I/(2\pi)$) and not restored afterwards
- Axion CDM density depends on single initial value in patch which becomes observable universe and f_A

Pre-inflationary scenarios



For illustration purposes only. Resemblance to the actual product might be limited

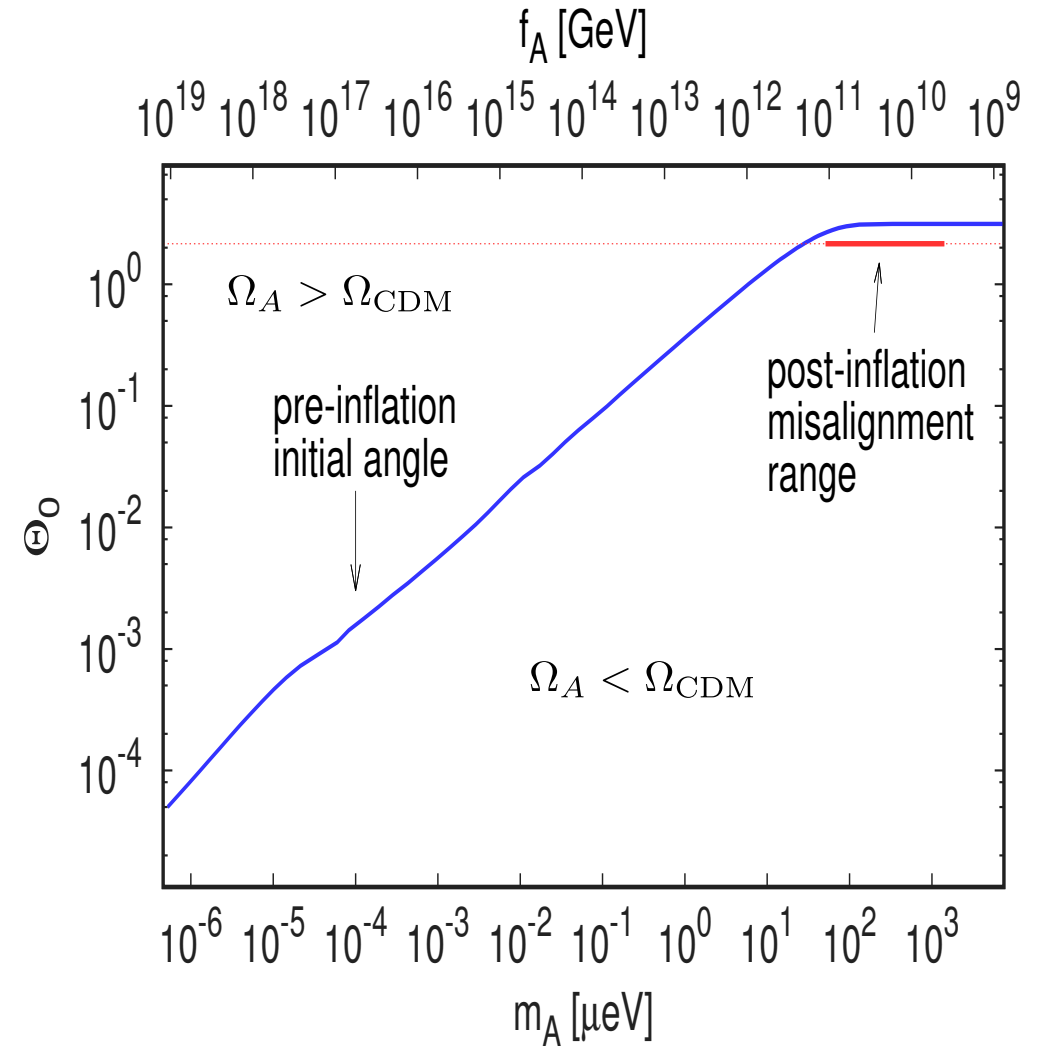
[Tamarit]

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$$\Omega_A^{\text{vr}} h^2 \approx 0.12 \left(\frac{f_A}{9 \times 10^{11} \text{ GeV}} \right)^{1.165} \theta_i^2$$
$$\approx 0.12 \left(\frac{6 \text{ } \mu\text{eV}}{m_A} \right)^{1.165} \theta_i^2,$$



[Borsanyi et al., Nature '16]

Minimal Solution of DM Problem

Axion as DM candidate

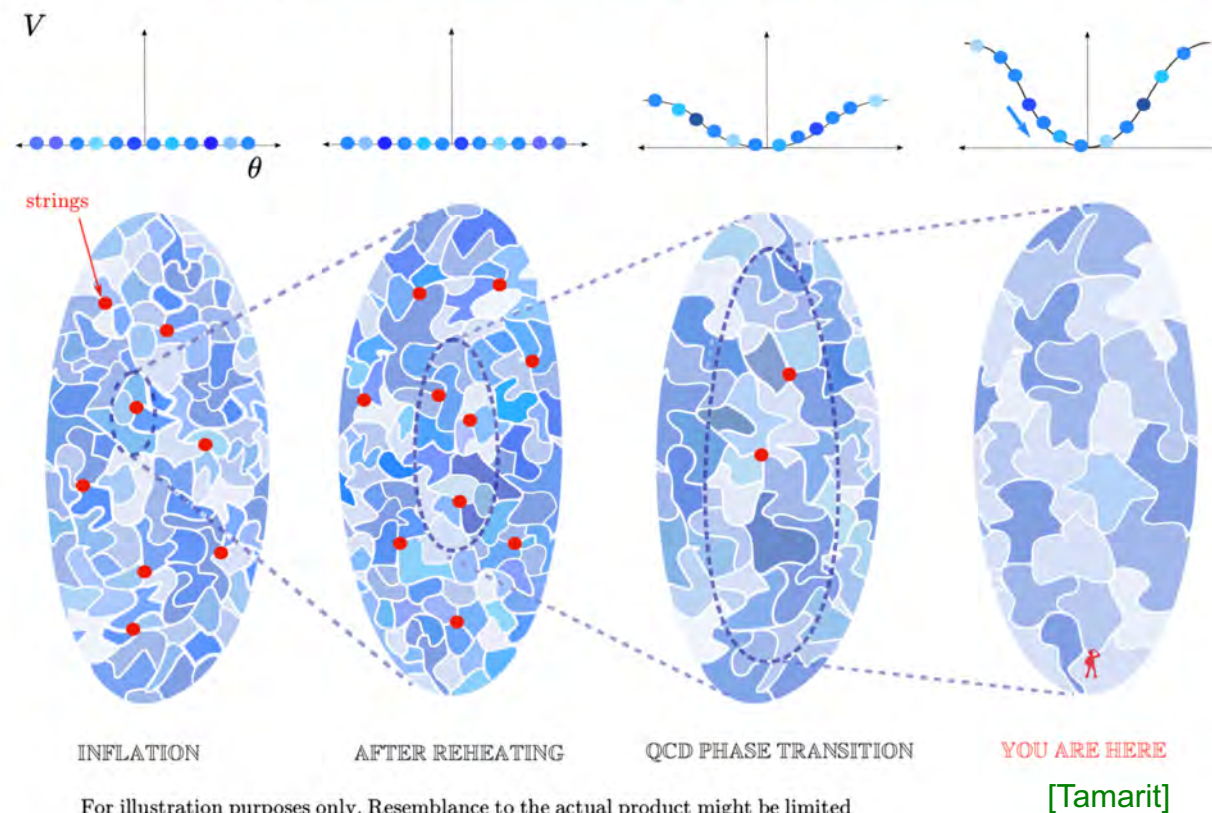
- Averaging over random initial axion field values

$$\Omega_A^{\text{vr}} h^2 \approx 0.12 \left(\frac{30 \mu\text{eV}}{m_A} \right)^{1.165}$$

- Does not exceed observed CDM abundance for

$$m_A > 28(2) \mu\text{eV} \quad [\text{Borsanyi et al., Nature '16}]$$

Post-inflationary scenarios



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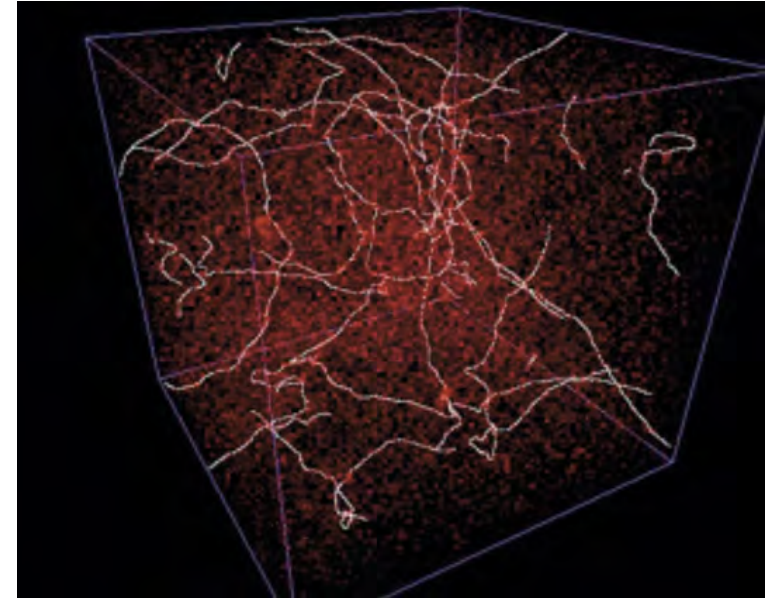
- Axions also produced by collapse of network of topological defects – strings and domain-walls –

- Axion can be 100% of DM for

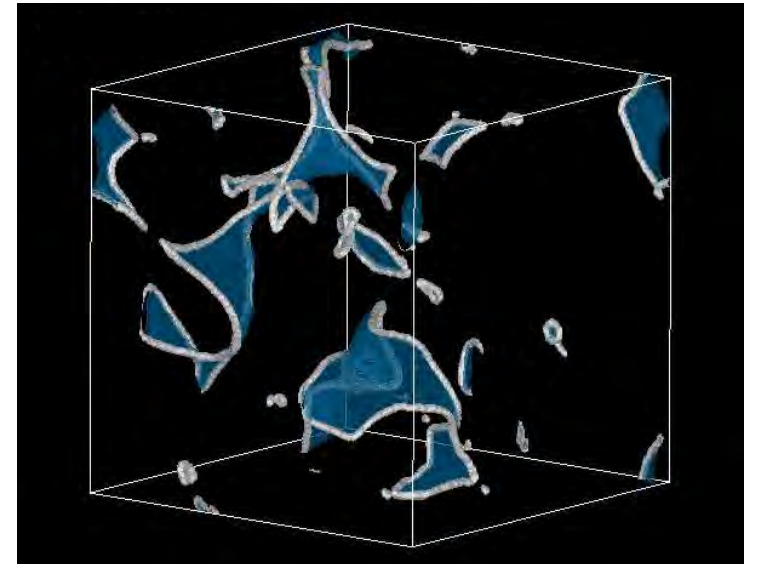
$$m_A \approx 26 \mu\text{eV} - 4.4 \text{ meV}$$

$$f_A \approx 1.3 \times 10^9 \text{ GeV} - 2.2 \times 10^{11} \text{ GeV}$$

[Hiramatsu et al. 11,12,13;
Kawasaki,Saikawa,Segikuchi 15;
Ballesteros et al. 16;
AR,Saikawa '16;
Klaer,Moore '17;
Gorghetto,Hardy,Villadoro '18;
Buschmann et al. 19;
Hindmarsh 19]



[Hiramatsu et al.]



One SM*A*S*H to Rule Them All

- Field content suffices to solve all five big problems in one stroke:

SM * Axion * See-saw * Higgs portal inflation

[Ballesteros, Redondo, AR, Tamarit, 1608.05414; 1610.01639]

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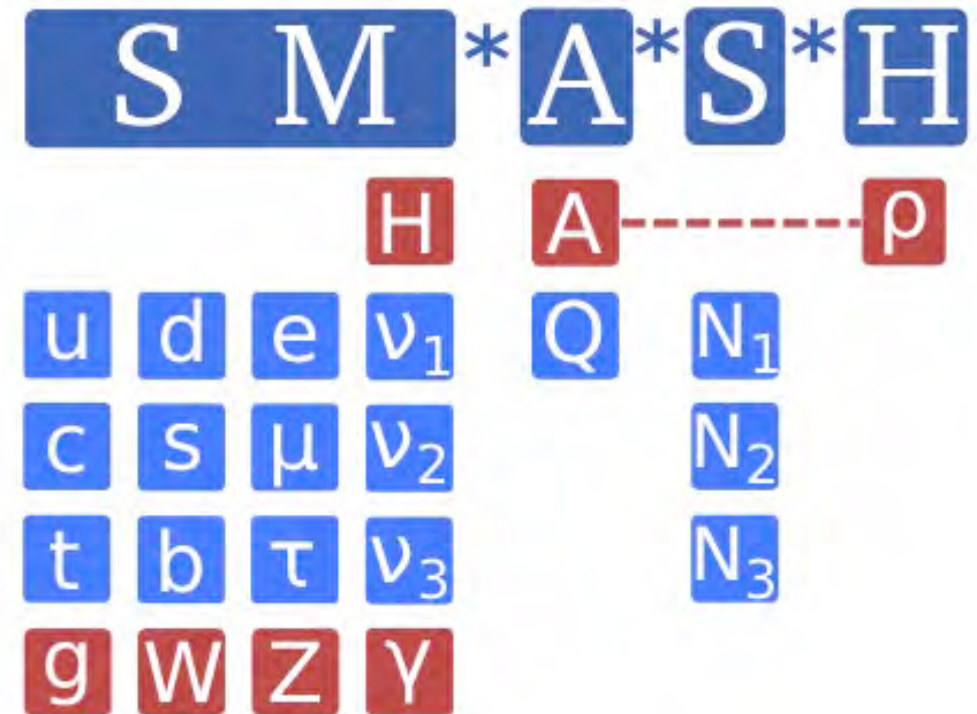
$$m_\nu = 0.04 \text{ eV} \left(\frac{10^{11} \text{ GeV}}{v_\sigma} \right) \left(\frac{-F Y^{-1} F^T}{10^{-4}} \right)$$

2. Axionic solution of the strong CP problem

$$m_A = 57.0(7) \left(\frac{10^{11} \text{ GeV}}{f_A} \right) \mu\text{eV}$$

$$f_A = v_\sigma$$

3. Axion dark matter
4. Baryogenesis via leptogenesis
5. Inflation



Inflation in SM*A*S*H

Non-minimal chaotic (Higgs) Hidden Scalar inflation

- Take into account unavoidable non-minimal coupling of Higgs and HS field to gravity,

$$S \supset - \int d^4x \sqrt{-g} \left[\frac{M^2}{2} + \xi_H H^\dagger H + \xi_\sigma \sigma^* \sigma \right] R; \quad M_P^2 = M^2 + \xi_H v^2 + \xi_\sigma v_\sigma^2$$

- Non-minimal couplings stretch scalar potential in Einstein frame; make it convex and asymptotically flat at large field values

$$\tilde{V}(h, \rho) = \frac{1}{\Omega^4(h, \rho)} \left[\frac{\lambda_H}{4} (h^2 - v^2)^2 + \frac{\lambda_\sigma}{4} (\rho^2 - v_\sigma^2)^2 + \frac{\lambda_{H\sigma}}{2} (h^2 - v^2) (\rho^2 - v_\sigma^2) \right]$$

$$\tilde{g}_{\mu\nu} = \Omega^2(h, \rho) g_{\mu\nu} \quad \Omega^2 = 1 + \frac{\xi_H(h^2 - v^2) + \xi_\sigma(\rho^2 - v_\sigma^2)}{M_P^2}$$

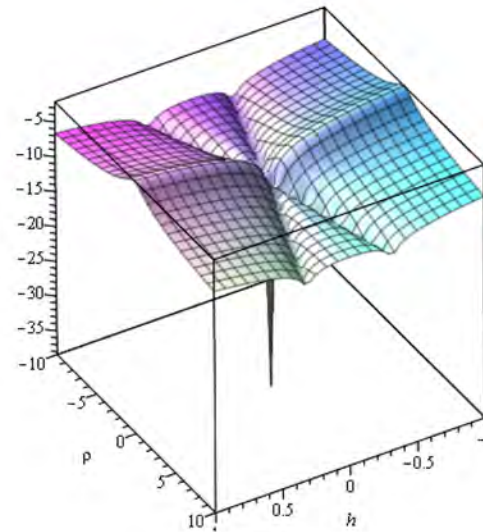
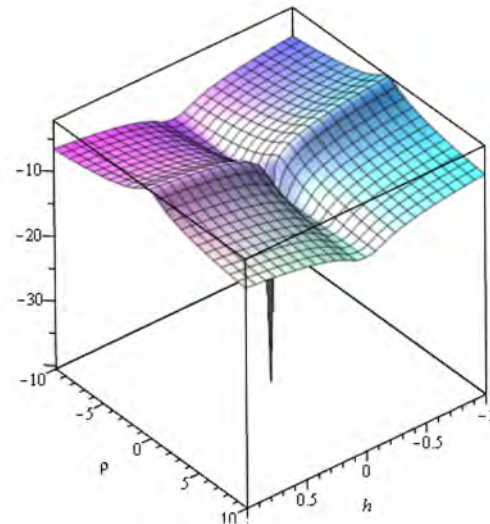
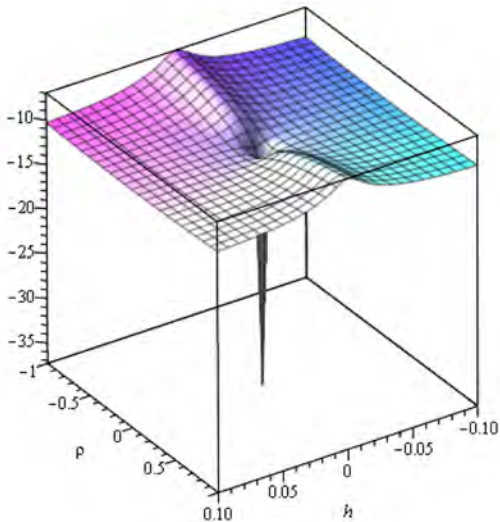
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- Non-minimal couplings stretch scalar potential in Einstein frame; make it convex and asymptotically flat at large field values
- Potential has valleys = attractors for Higgs Inflation (HI), Hidden Scalar Inflation (HSI) or mixed Higgs Hidden Scalar Inflation (HHSI), depending on relative signs of $\kappa_H \equiv \lambda_{H\sigma}\xi_H - \lambda_H\xi_\sigma$, $\kappa_\sigma \equiv \lambda_{H\sigma}\xi_\sigma - \lambda_\sigma\xi_H$



$\text{sign}(\kappa_H)$	$\text{sign}(\kappa_\sigma)$	Inflation
+	-	HI
-	+	HSI
-	-	HHSI

Inflation in SM*A*S*H

Non-minimal chaotic (Higgs) Hidden Scalar inflation

- Power spectra of primordial scalar and tensor perturbations, with (from CMB observations)

$$A_s = (2.20 \pm 0.08) \times 10^{-9},$$

$$n_s = 0.967 \pm 0.004,$$

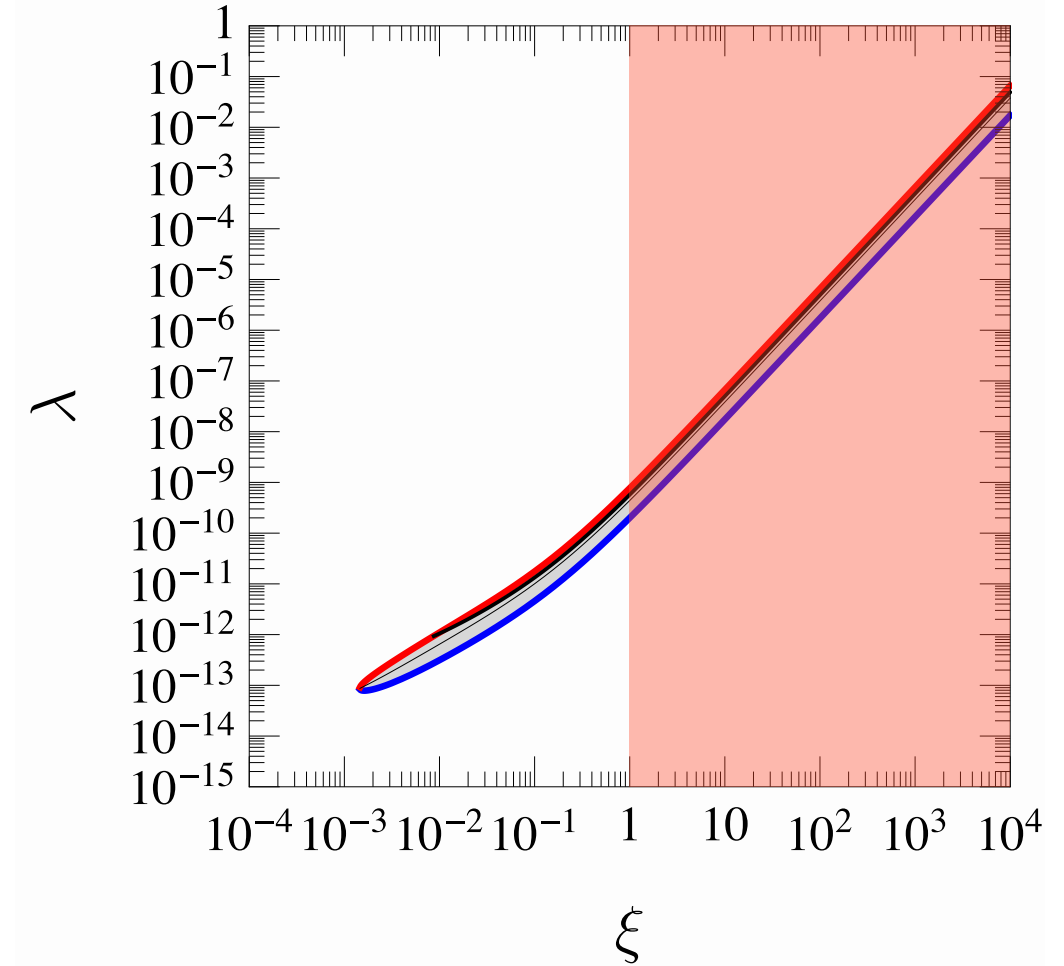
$$r < 0.07$$

obtained for $\xi \simeq 2 \times 10^5 \sqrt{\lambda} \gtrsim 10^{-3}$

where

$$\xi \equiv \begin{cases} \xi_H, & \text{for HI,} \\ \xi_\sigma, & \text{for HSI,} \\ \xi_\sigma, & \text{for HHSI} \end{cases}$$
$$\lambda \equiv \begin{cases} \lambda_H, & \text{for HI,} \\ \lambda_\sigma, & \text{for HSI,} \\ \lambda_\sigma \left(1 - \frac{\lambda_{H\sigma}^2}{\lambda_\sigma \lambda_H}\right), & \text{for HHSI} \end{cases}$$

- HI has unitarity problem
- HSI and HHSI have no unitarity problem if $\lambda_\sigma, \tilde{\lambda}_\sigma \lesssim 10^{-10}$



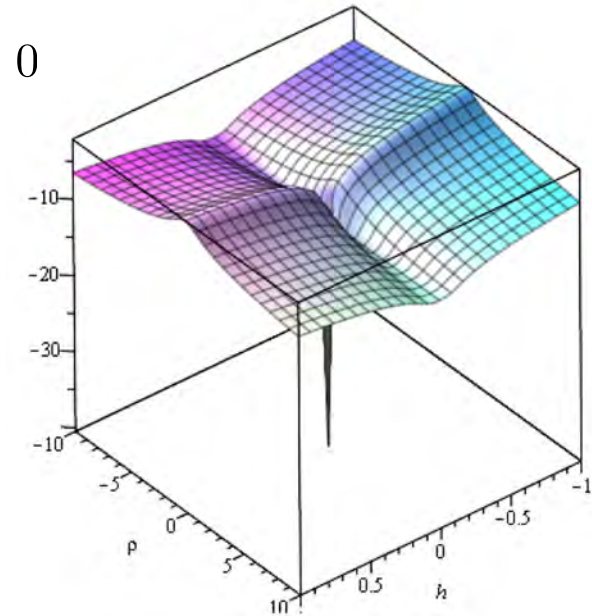
Reheating in SM*A*S*H

PQ symmetry restoration

- Both in HSI and HHSI with $\xi_\sigma \lesssim 1$, slow-roll inflation ends at a value of $\rho \sim \mathcal{O}(M_P)$
- Inflaton starts to undergo Hubble-damped oscillations in a quasi-quartic potential, with Universe expanding as in a radiation-dominated era

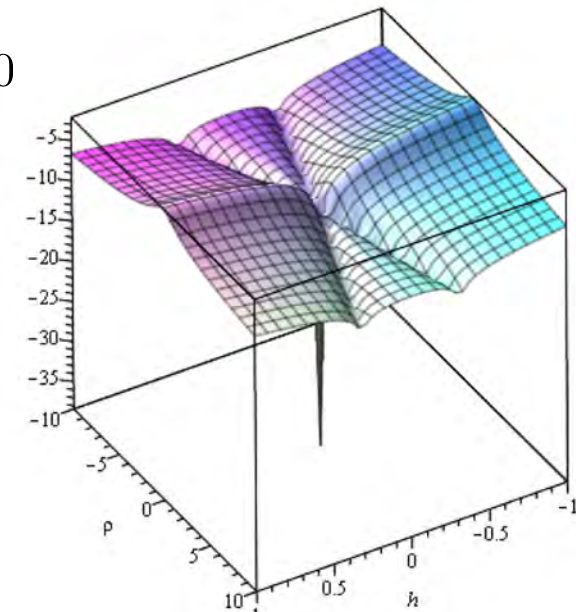
$$\lambda_{H\sigma} > 0$$

HSI



$$\lambda_{H\sigma} < 0$$

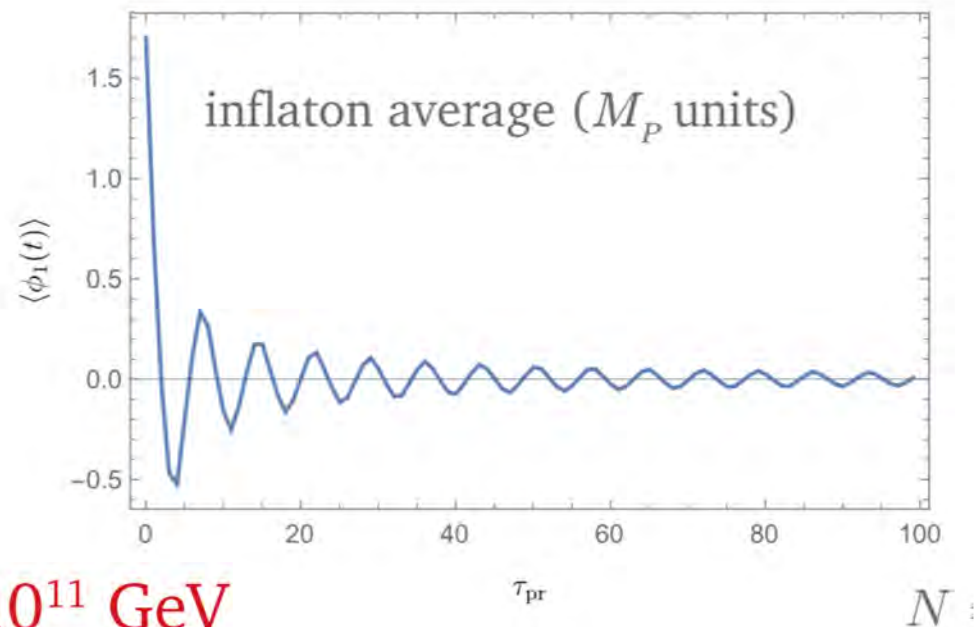
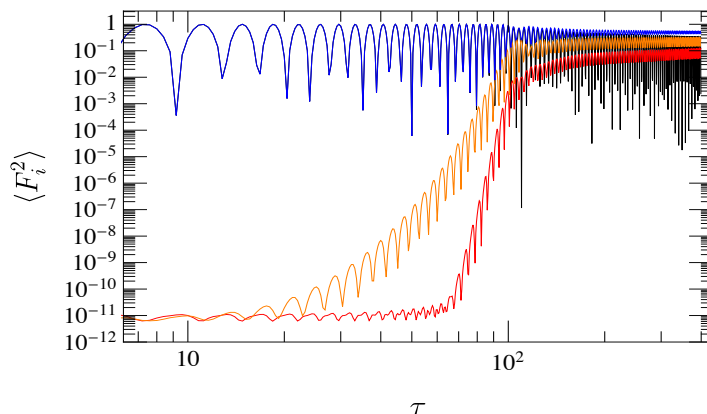
HHSI



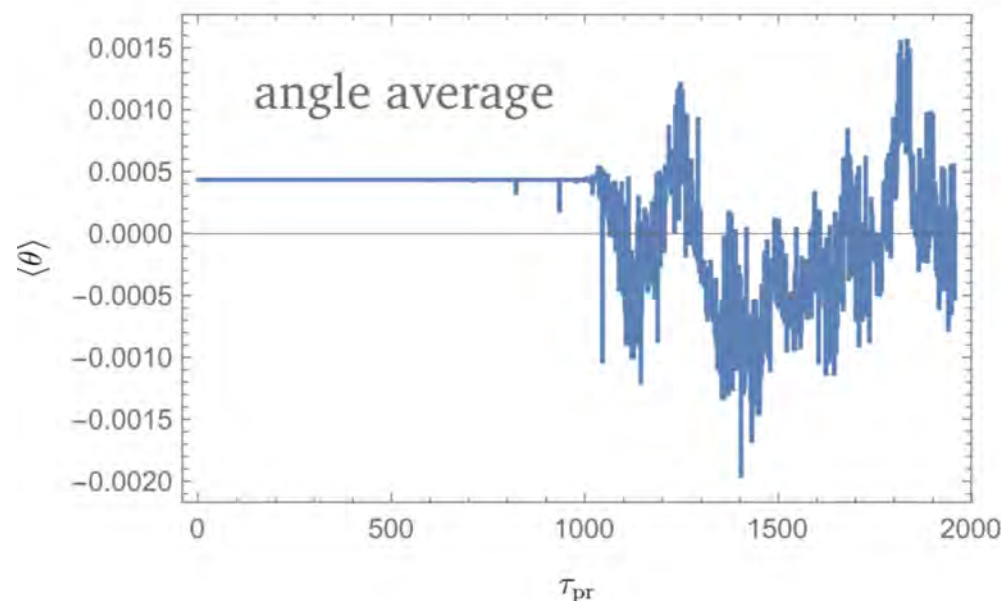
Reheating in SM*A*S*H

PQ symmetry restoration

- Both in HSI and HHSI with $\xi_\sigma \lesssim 1$, slow-roll inflation ends at a value of $\rho \sim \mathcal{O}(M_P)$
- Inflaton starts to undergo Hubble-damped oscillations in a quasi-quartic potential, with Universe expanding as in a radiation-dominated era
- For $f_A \lesssim 10^{17}$ GeV, PQ symmetry restored after inflation



$f = 10^{11}$ GeV

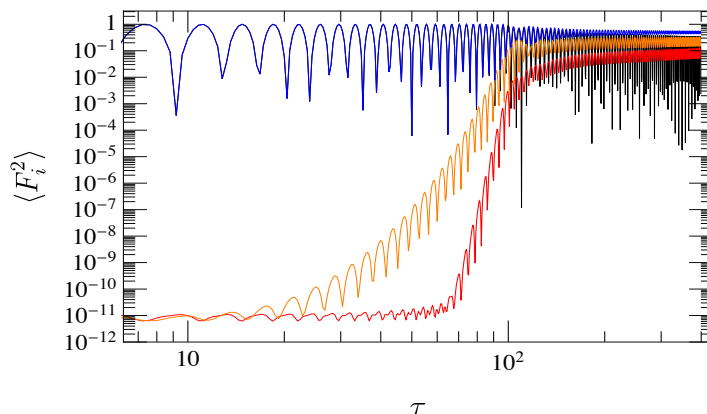


[Ballesteros, AR, Tamarit, Welling in prep.]

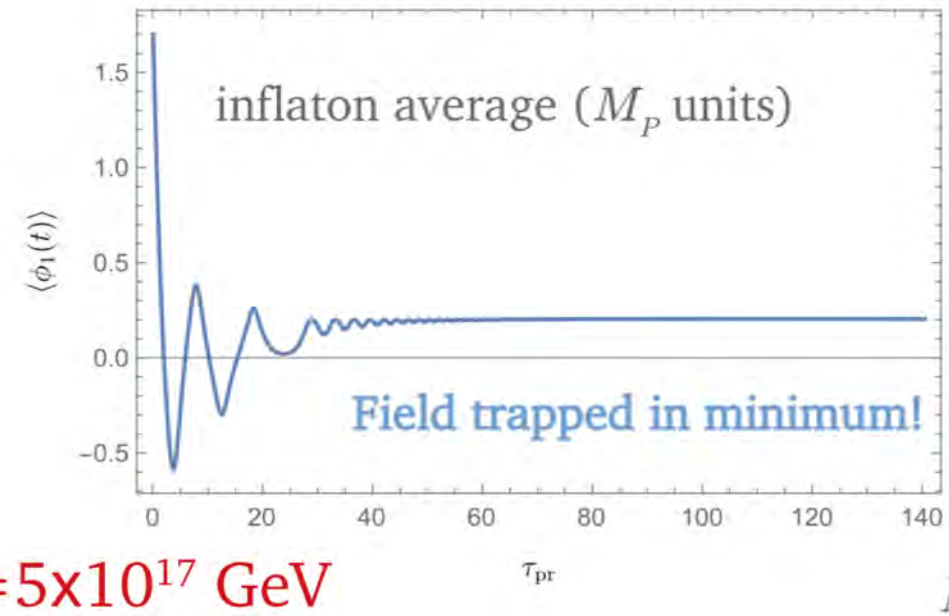
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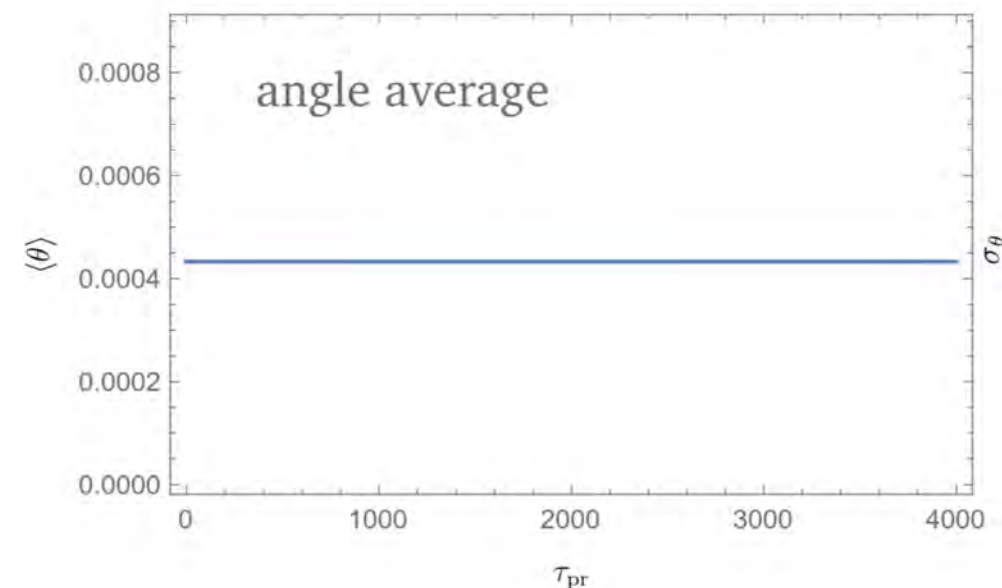
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- $f_A \gtrsim 10^{17}$ GeV excluded by PLANCK upper limits on isocurvature fluctuations [Ballesteros, AR, Tamarit, Welling in prep.]



$f = 5 \times 10^{17}$ GeV



[Ballesteros, AR, Tamarit, Welling in prep.]

Reheating in SM*A*S*H

Reheating temperature and dark radiation

- **HSI:** Large induced particle masses quench inflaton decays or annihilations into SM particles

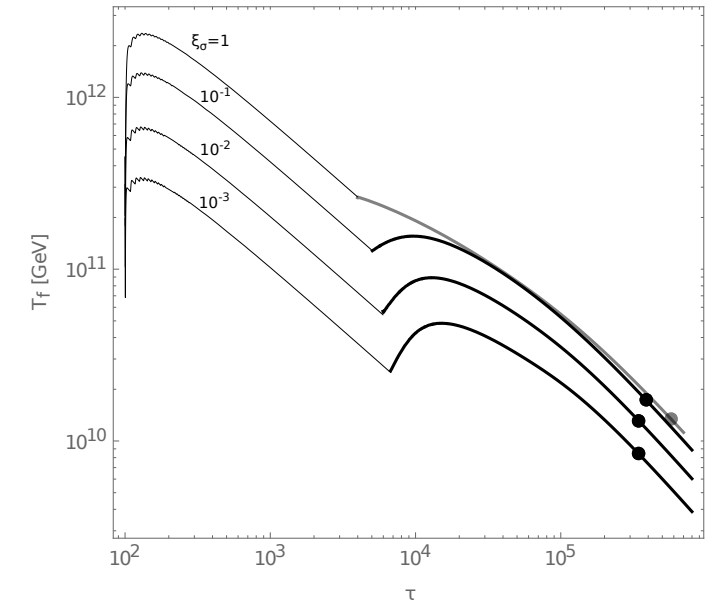
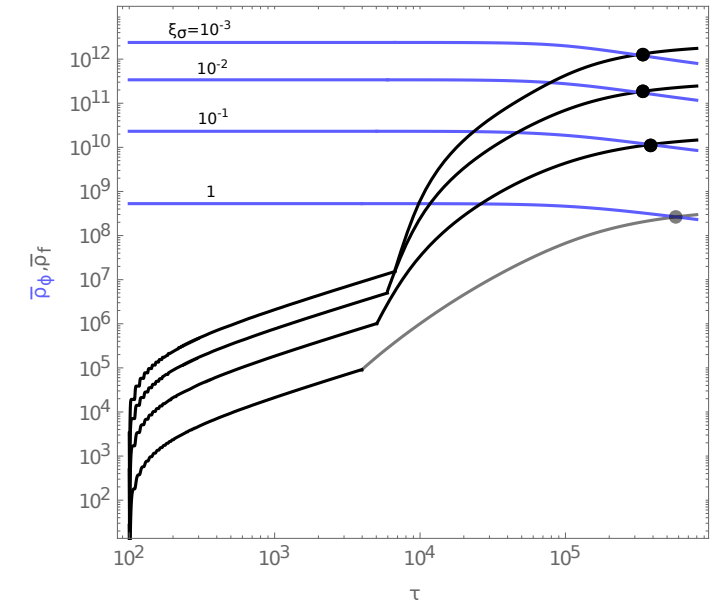
$$T_R \sim 10^7 \text{ GeV } v_{11} \lambda_{10}^{3/8} \delta_3^{-1/8}$$

$$\Delta N_\nu^{\text{eff}} \sim (\delta_3 v_{11} / \lambda_{10})^{-1/6}$$

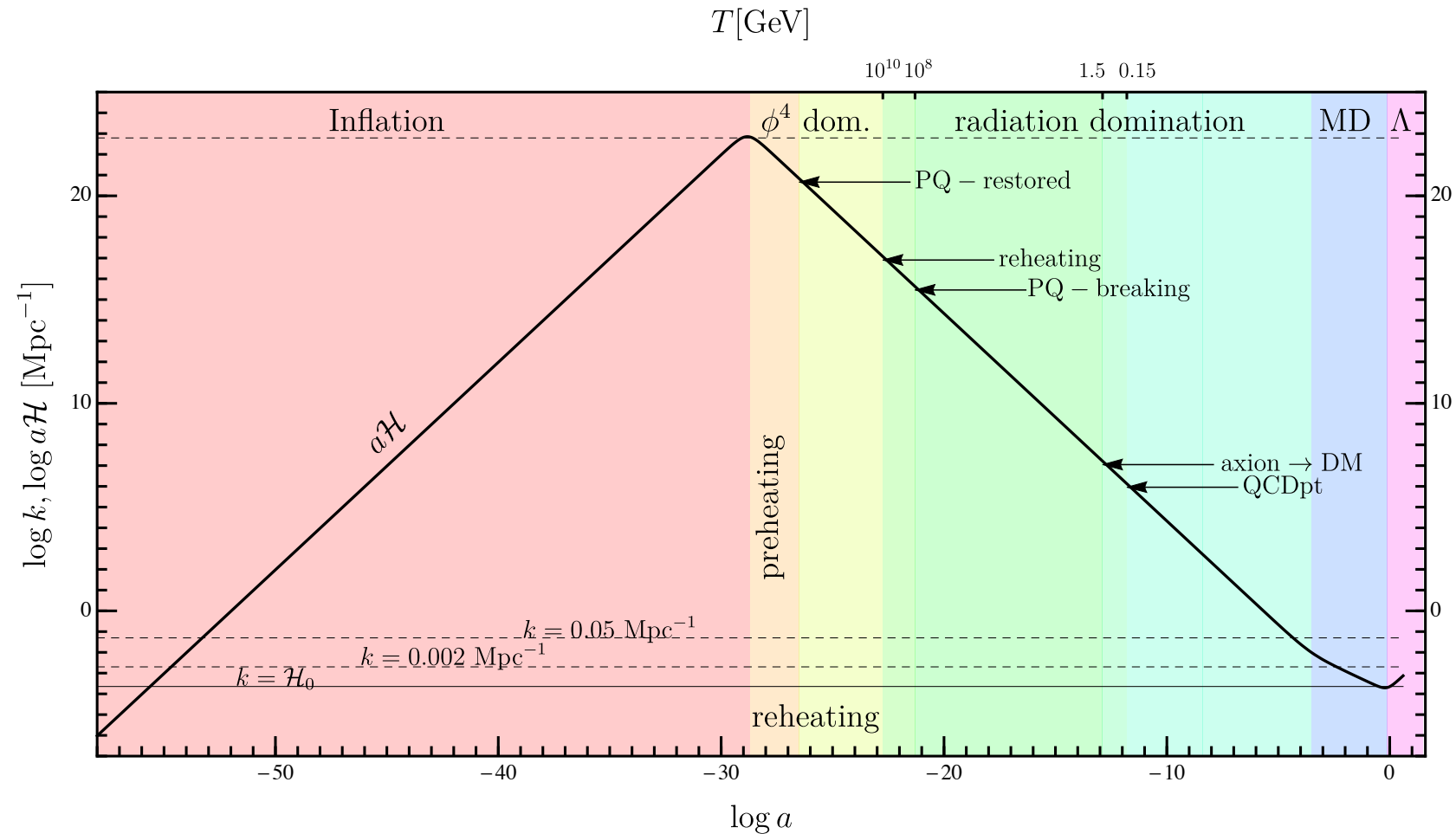
- **HHSI:** Higgs component of inflaton allows for production of SM gauge bosons

$$T_R \sim 10^{10} \text{ GeV}$$

$$\Delta N_\nu^{\text{eff}} \simeq 0.0268 \left(\frac{427/4}{g_{*s}(T_A^{\text{dec}})} \right)^{4/3}$$



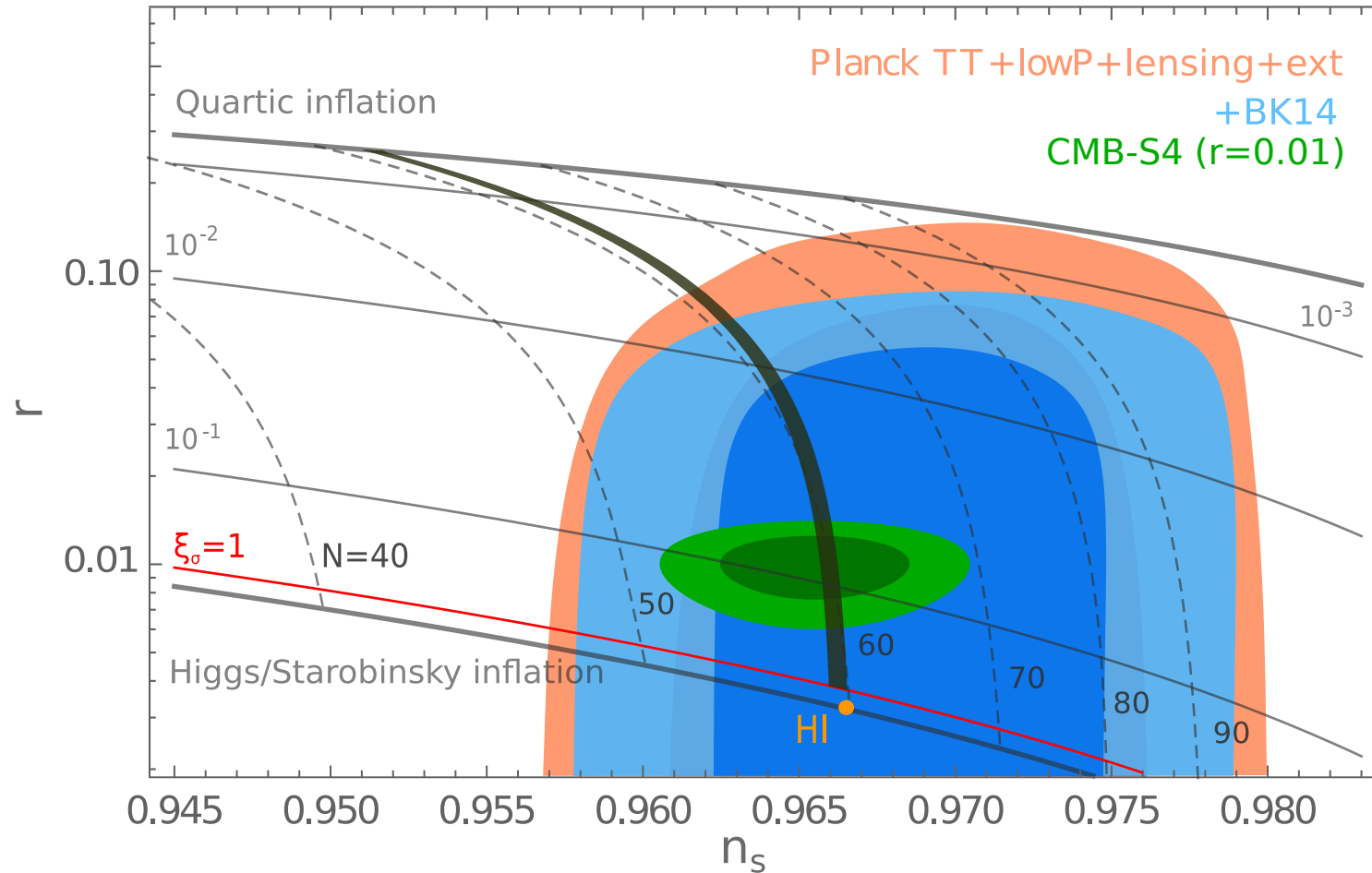
Expansion and Thermal History in SM*A*S*H



- Number of e-folds $N(k)$ from the time a given comoving scale k leaves horizon until end of inflation predicted. Correspondingly sharp prediction of r versus n_s

Primordial Gravitational Waves in SM*A*S*H

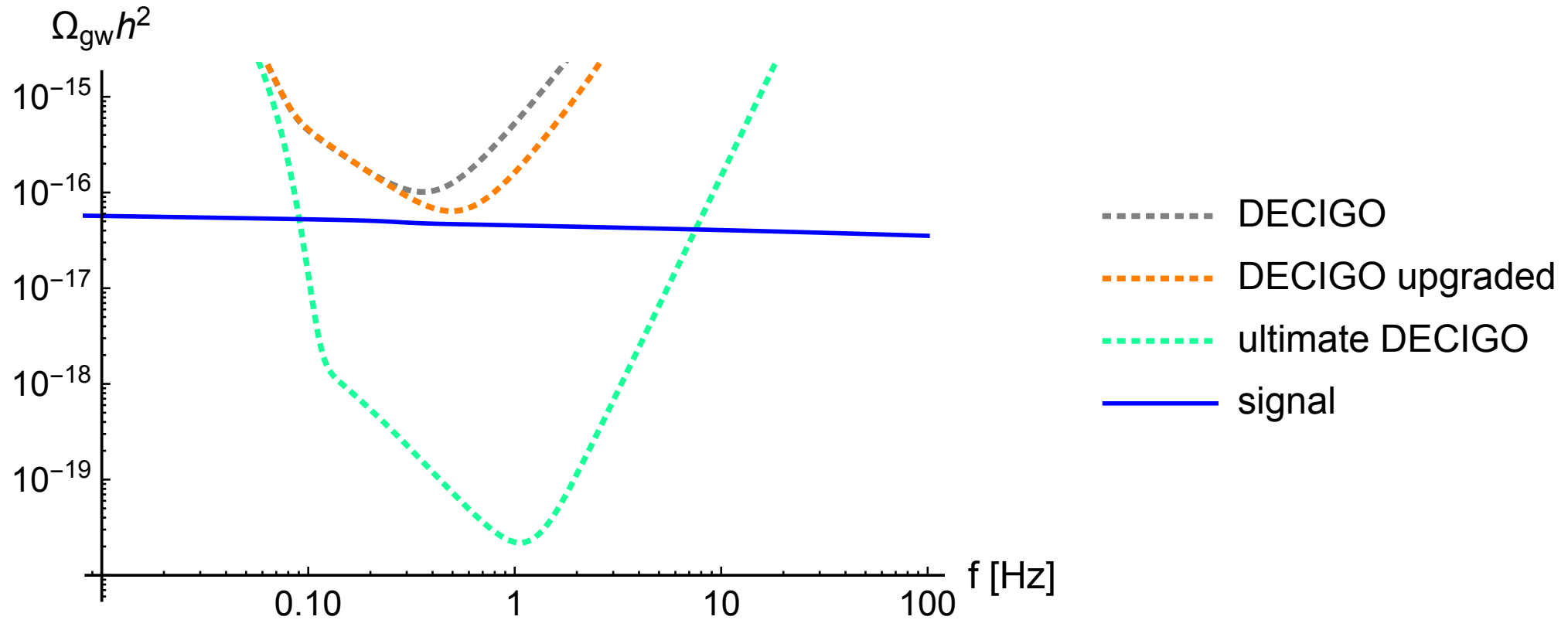
- Can be probed indirectly by upcoming CMB polarization experiments (e.g. CMB-S4):



[Ballesteros, Redondo, AR, Tamarit, 1904.05594]

Primordial Gravitational Waves in SM*A*S*H

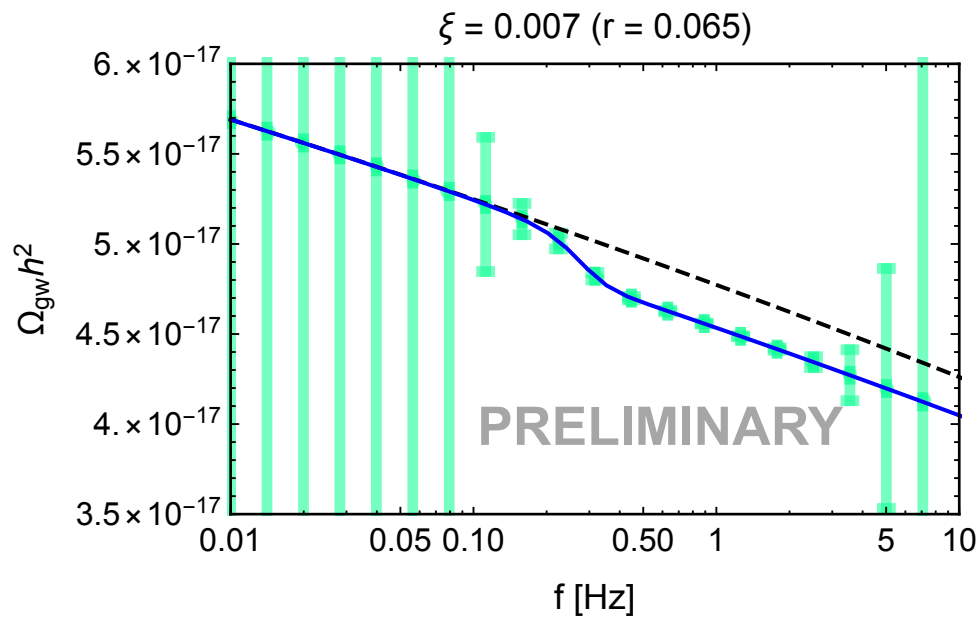
- Can be probed directly by future space-born gravitational wave interferometer (e.g. [DECIGO](#))



[AR, Saikawa, Tamarit, in preparation]

Primordial Gravitational Waves in SM*A*S*H

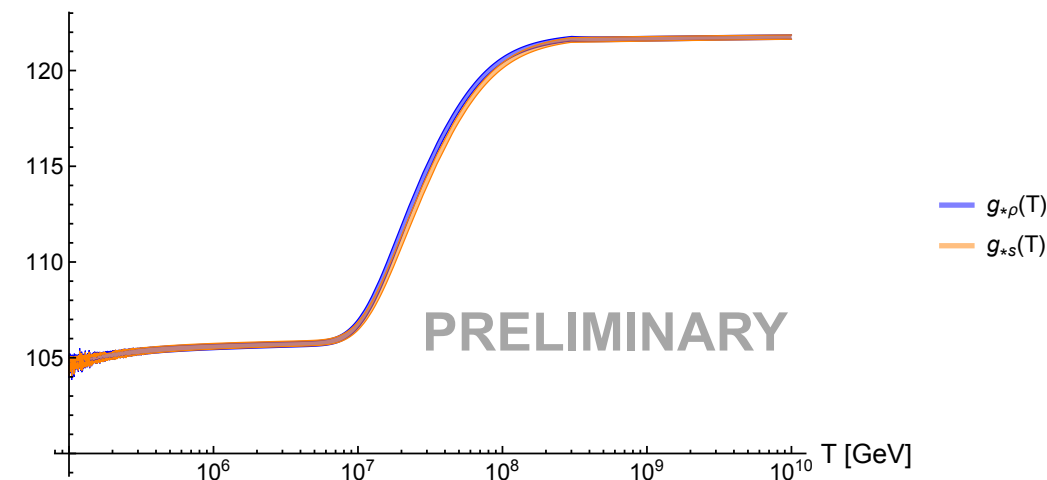
- Future space-born GW interferometer (e.g. [ultimate DECIGO](#)) sensitive to step in primordial GW spectrum due to change of equation of state around the PQ phase transition: [\[AR, Saikawa, Tamarit, in preparation\]](#)



$$\Omega_{\text{gw}}(\tau_0, k) \approx \frac{1}{24} \Omega_\gamma \left(\frac{g_{*\rho, \text{hc}}}{2} \right) \left(\frac{g_{*s, \text{hc}}}{g_{*s, \text{fin}}} \right)^{-\frac{4}{3}} \mathcal{P}_T(k)$$

- $g_* = 106.75 = \text{const.}$
- $g_* = 106.75 \rightarrow 124.5$ at $T_{\text{PQ}} = 10^7$ GeV
- ultimate DECIGO (1 year)

$$f = \frac{k}{2\pi a_0} = \frac{H_{\text{hc}}}{2\pi} \frac{a_{\text{hc}}}{a_0} \approx 2.65 \text{ Hz} \left(\frac{g_{*s, \text{fin}}}{3.931} \right)^{\frac{1}{3}} \left(\frac{g_{*\rho, \text{hc}}}{106.75} \right)^{\frac{1}{2}} \left(\frac{g_{*s, \text{hc}}}{106.75} \right)^{-\frac{1}{3}} \left(\frac{T_{\text{hc}}}{10^8 \text{ GeV}} \right)$$



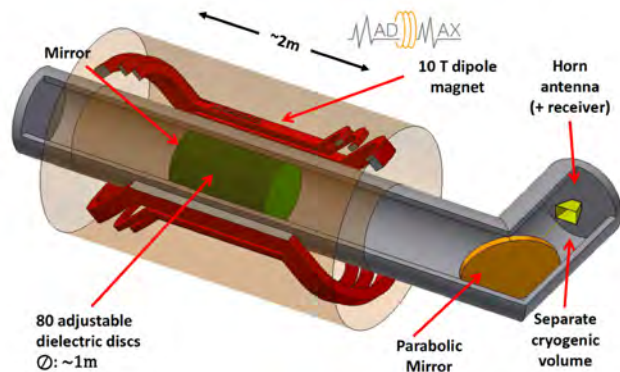
Dark Matter in SM*A*S*H

Axion dark matter mass $m_A \approx 25 \mu\text{eV} - 4.4 \text{ meV}$

- Mass range will be probed in this decade:

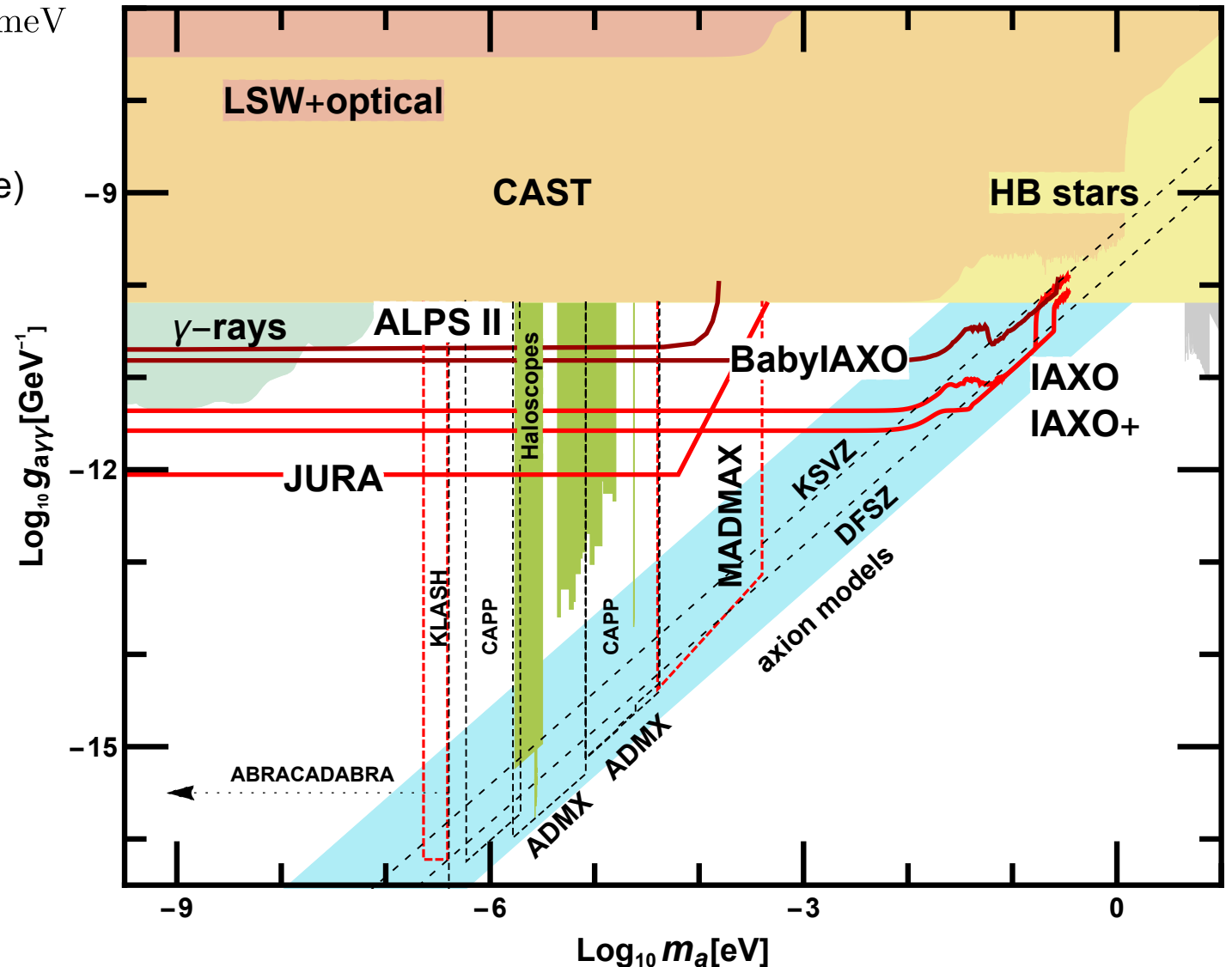
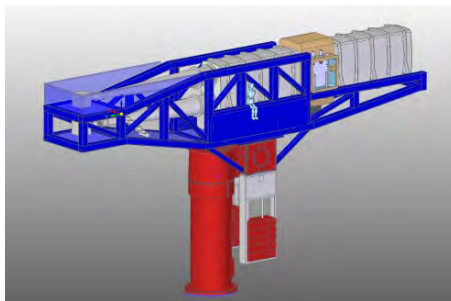
- Axion dark matter direct detection (Haloscope)

- MADMAX @ DESY**



- Solar axion telescope (Helioscope)

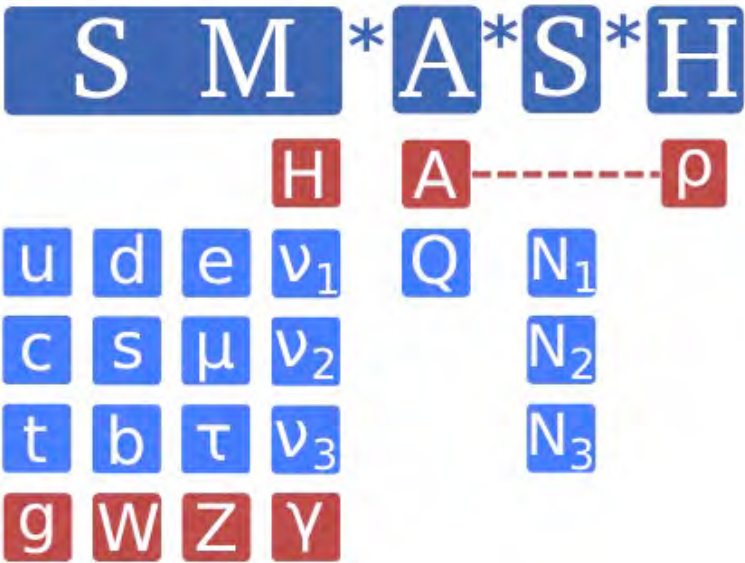
- (Baby)IAXO @ DESY**



[Desch et al., „A European Strategy Towards Finding Axions and Other WISPs”]

Summary

- Remarkably simple extension of SM involving just one new dimension-full scale provides solution of five fundamental problems:
 - Neutrino oscillations
 - Baryon asymmetry
 - Dark matter
 - Inflation
 - Non-observation of strong CP violation



$$\mathcal{L} = \mathcal{L}_{\text{kin}} + \mathcal{L}_{\text{yuk}}^{SM}$$

INFLATION

$$-\left[\frac{M^2}{2} + \xi_H H^\dagger H + \xi_\sigma |\sigma|^2\right] R$$

$$-\lambda_H \left(H^\dagger H - \frac{v^2}{2}\right)^2$$

$$-2\lambda_{H\sigma} \left(H^\dagger H - \frac{v^2}{2}\right) \left(|\sigma|^2 - \frac{v_\sigma^2}{2}\right)$$

STABILITY

$$-\lambda_\sigma \left(|\sigma|^2 - \frac{v_\sigma^2}{2}\right)^2$$

$$- [y\sigma \tilde{Q}Q + y_{Q_d i} \sigma Q d_i + c.c.]$$

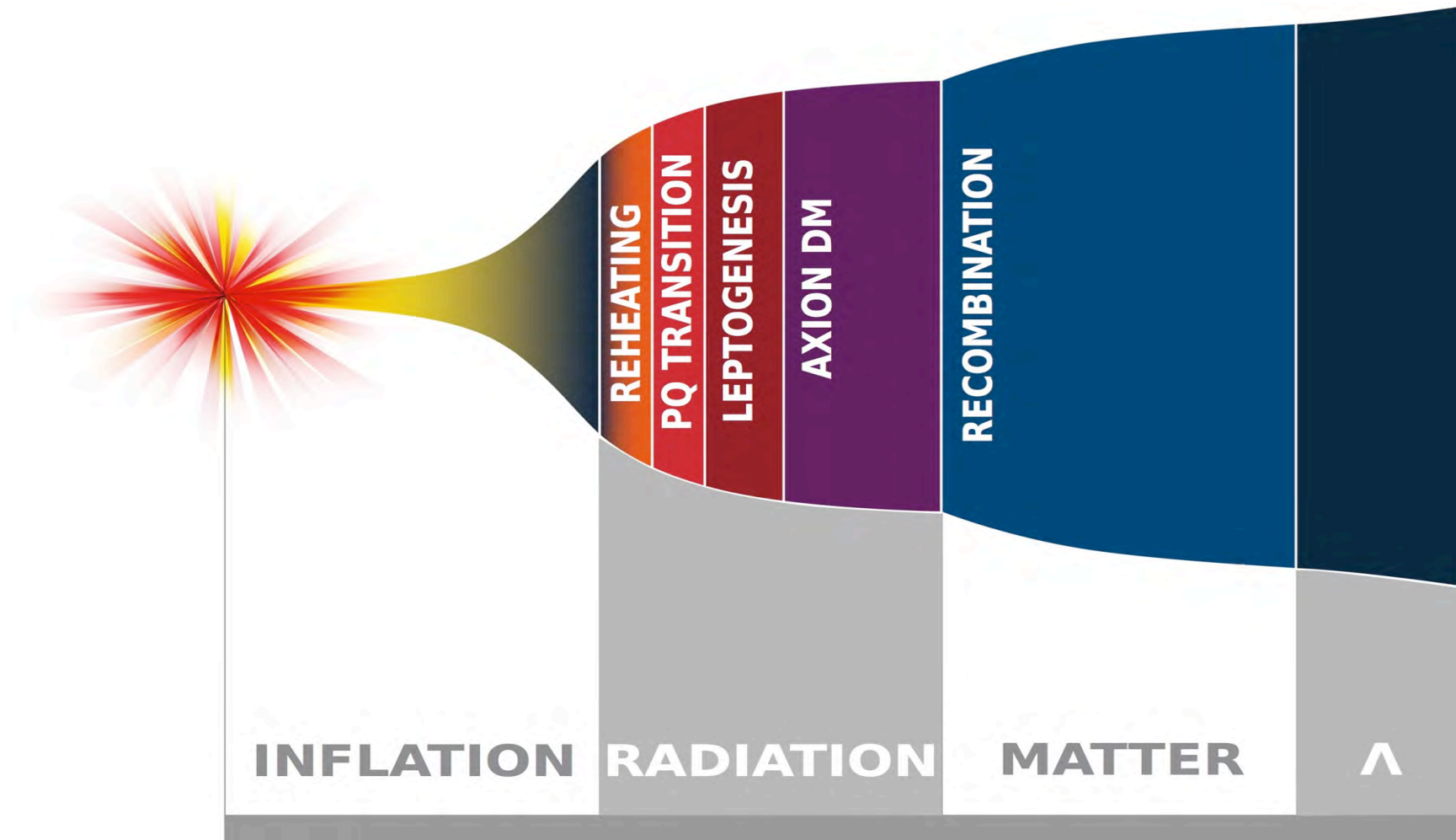
CP PROBLEM

$$- [F_{ij} L_i \epsilon H N_j + \frac{1}{2} Y_{ij} \sigma N_i N_j + c.c.]$$

SEESAW AND LEPTOGENESIS

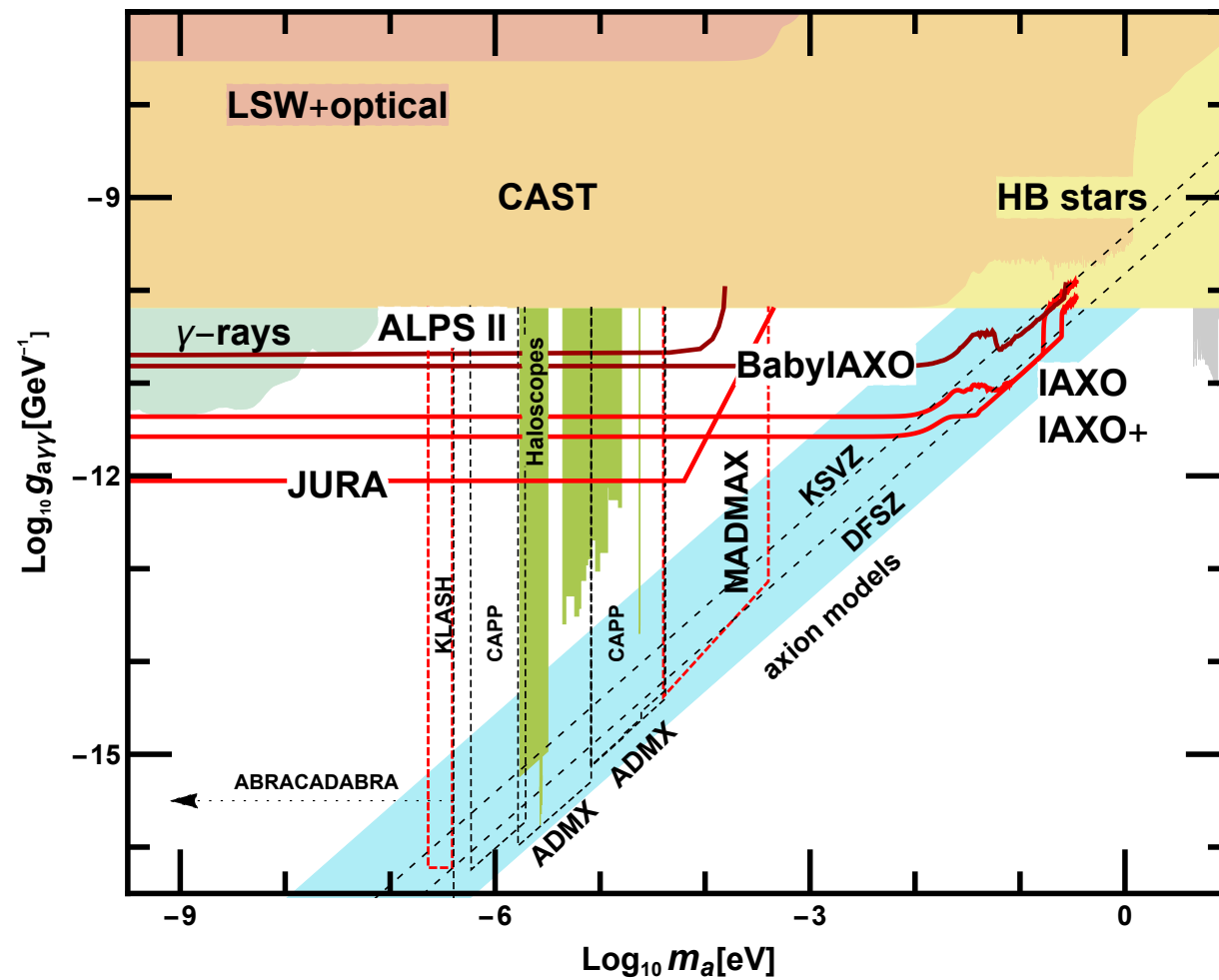
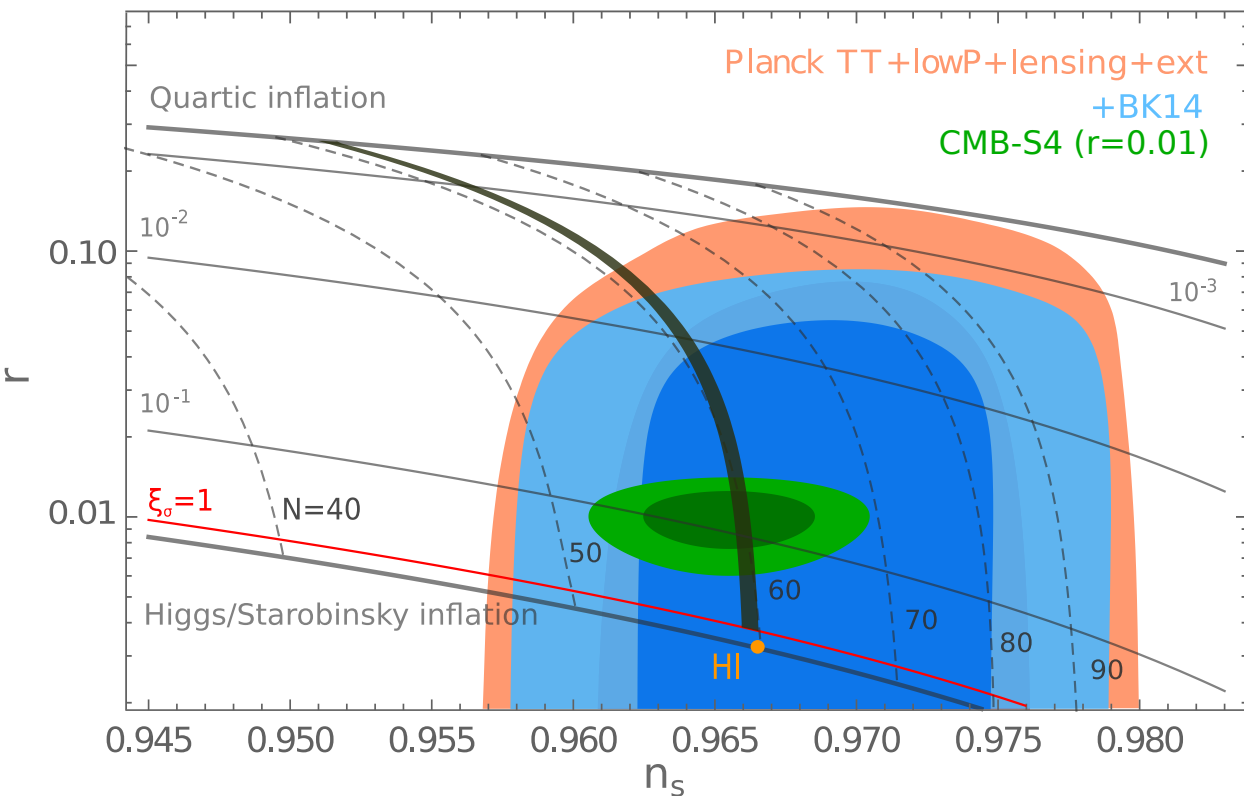
Summary

- SM*A*S*H offers self-contained description of cosmology from inflation until today:



Summary

- Firm predictions ($r \gtrsim 0.004$, $\Delta N_{\text{eff}}^\nu = 0.027$, $m_A \approx 25 \mu\text{eV} - 4.4 \text{ meV}$) in reach of upcoming experiments:

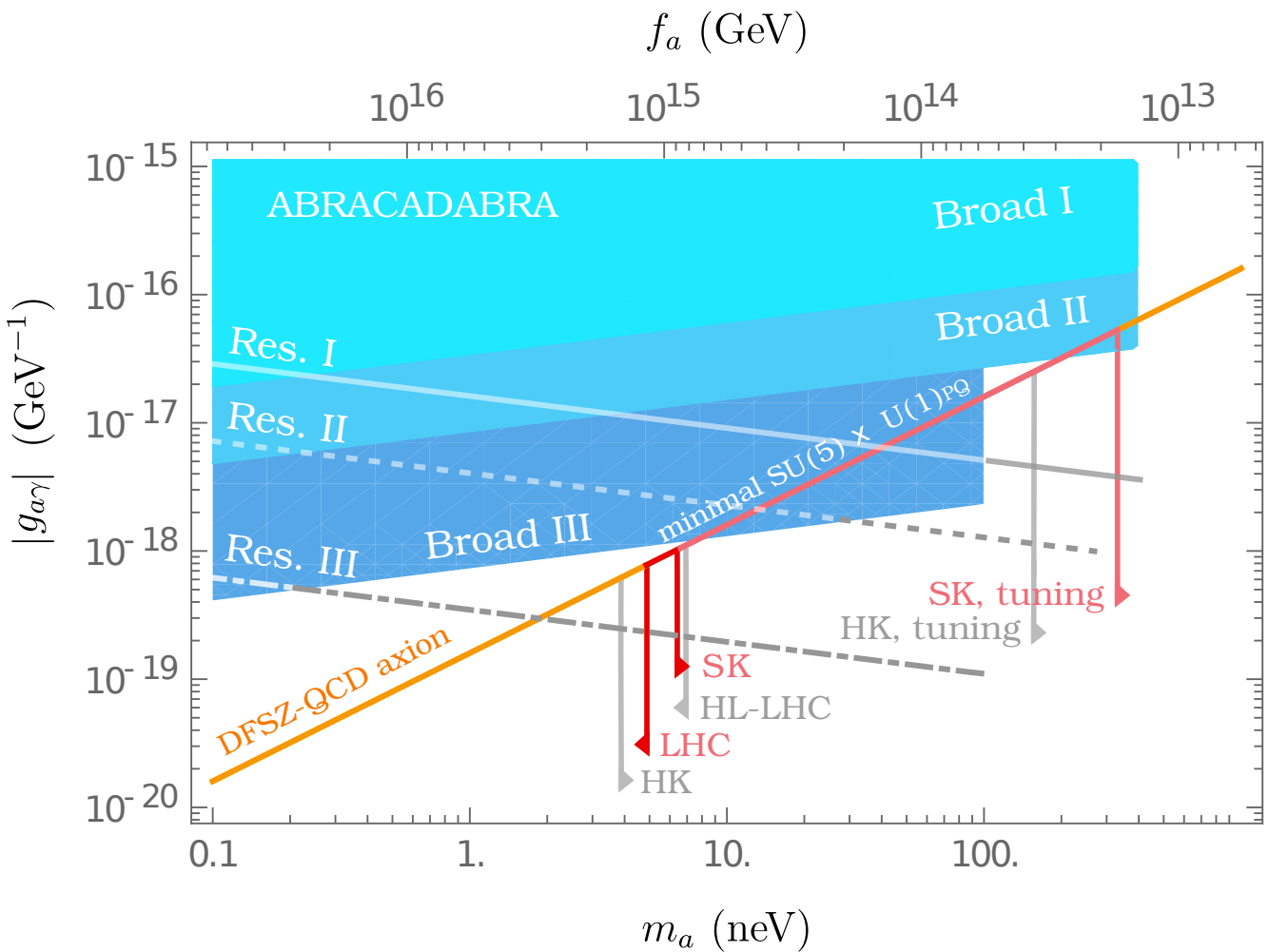
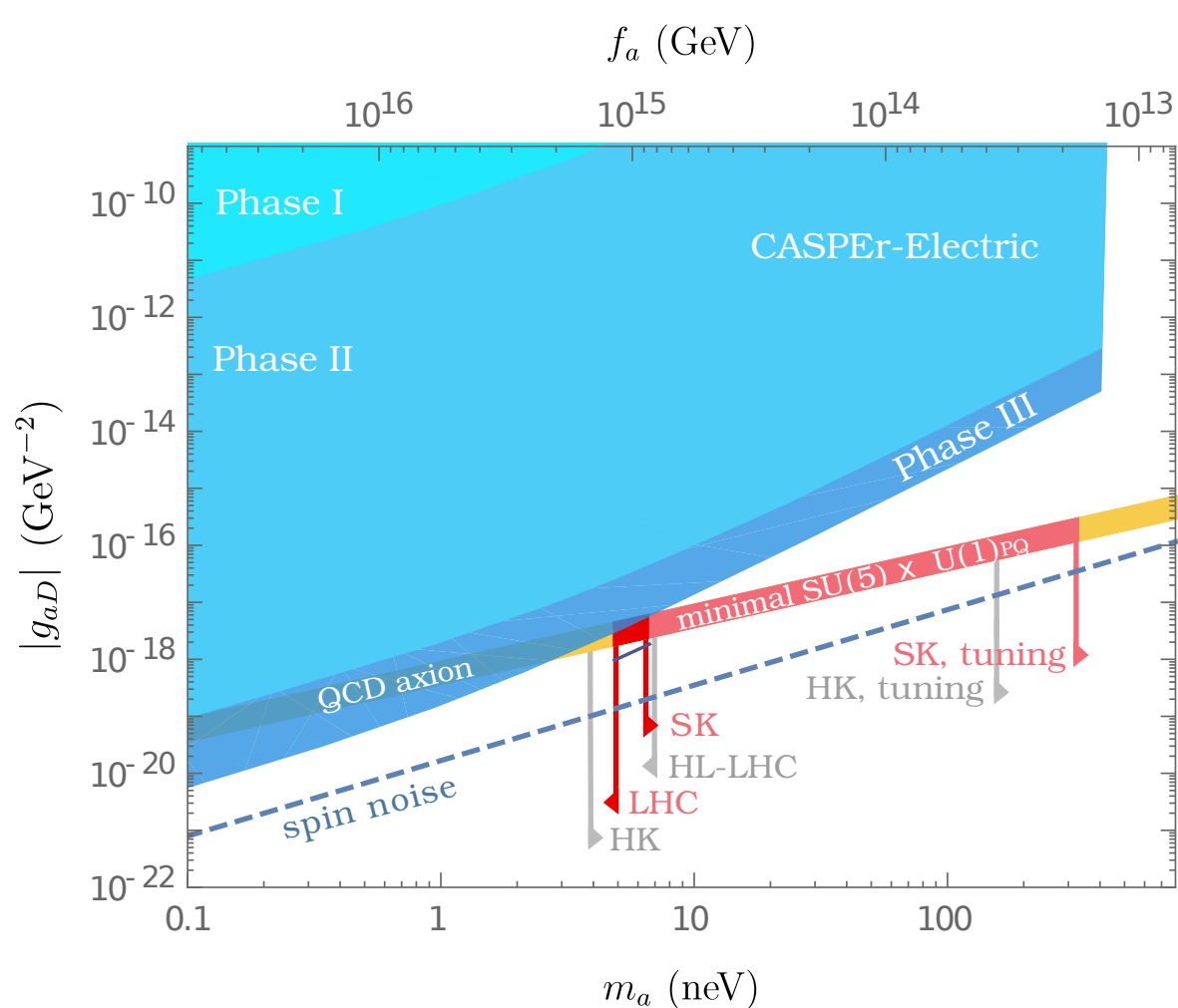


Summary

- Work in progress:
 - Primordial gravitational waves in SMASH [AR, Saikawa, Tamarit, in preparation]
 - Variations of SMASH
 - 2hdSMASH [Dutta, Matlis, Moortgat-Pich, AR, in progress]
 - GUT SMASH [Ballesteros, Di Luzio, AR, Tamarit, Welling, , in progress]

Back Up: Summary

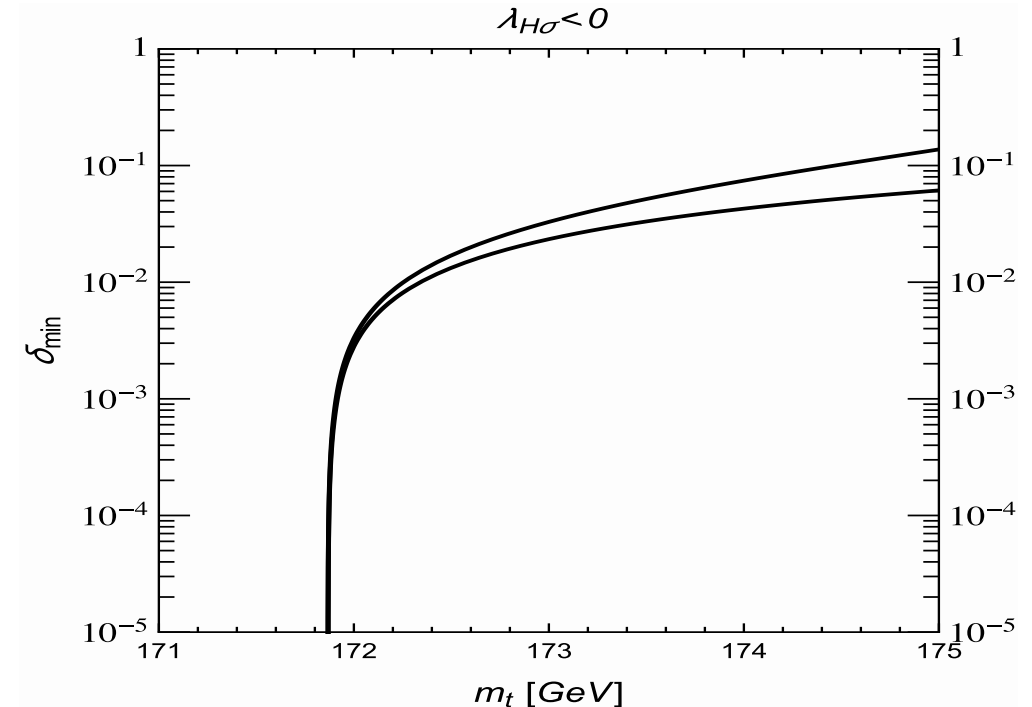
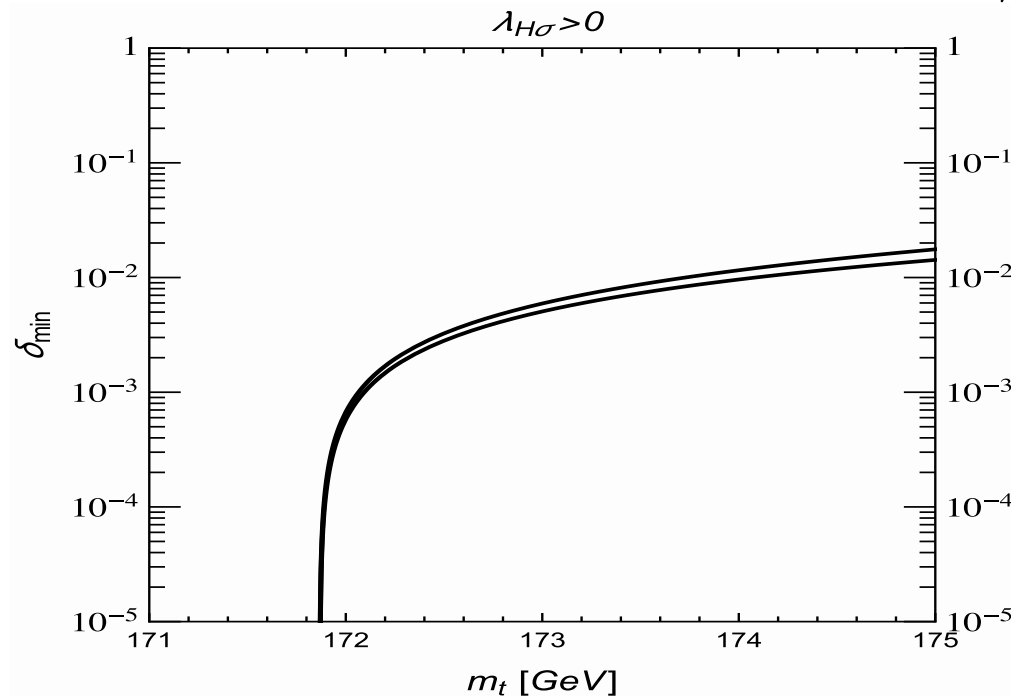
- GUT SMASH opens a further window at neV axion mass which can also be probed in the new decade:



Vacuum Stability in SM*A*S*H

Electroweak vacuum stabilization through Hidden Scalar

- SM-singlet scalar σ helps to stabilize scalar potential in Higgs direction through threshold effect associated with Higgs portal
 - When ρ integrated out, Higgs portal gives negative contribution to Higgs quartic, $\bar{\lambda}_H(m_h) = \lambda_H - \lambda_{H\sigma}^2/\lambda_\sigma|_{\mu=m_h}$
 - At energies above m_ρ , true (and larger!) value of λ_H is revealed by integrating ρ in
- Stability up to Planck scale ensured if $\delta = \lambda_{H\sigma}^2/\lambda_\sigma|_{\mu=m_h}$ exceeds a minimum value dependent on top mass:

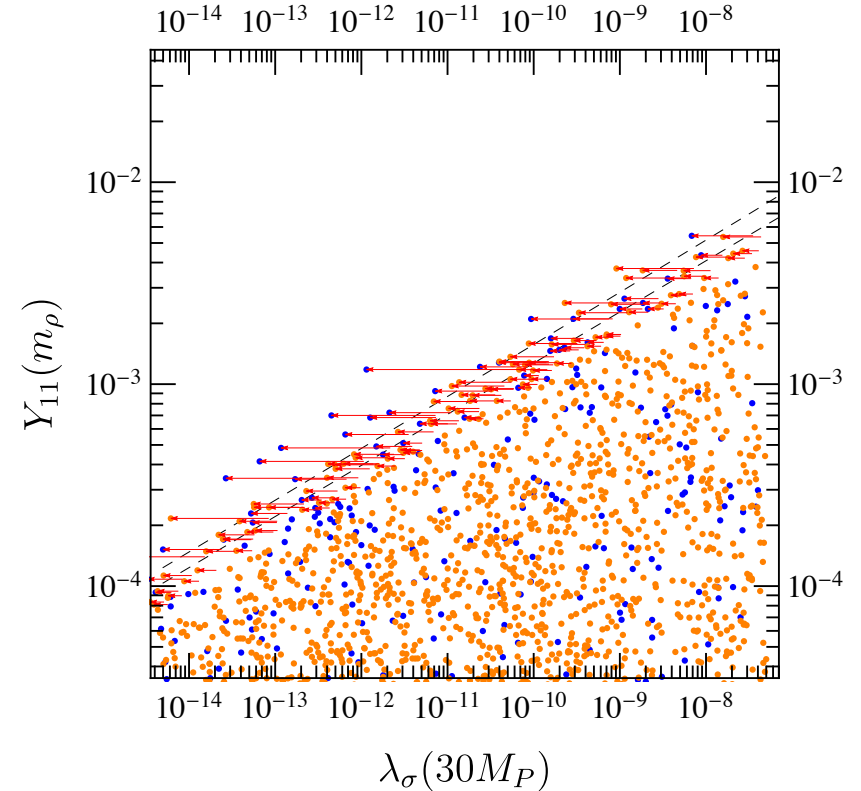
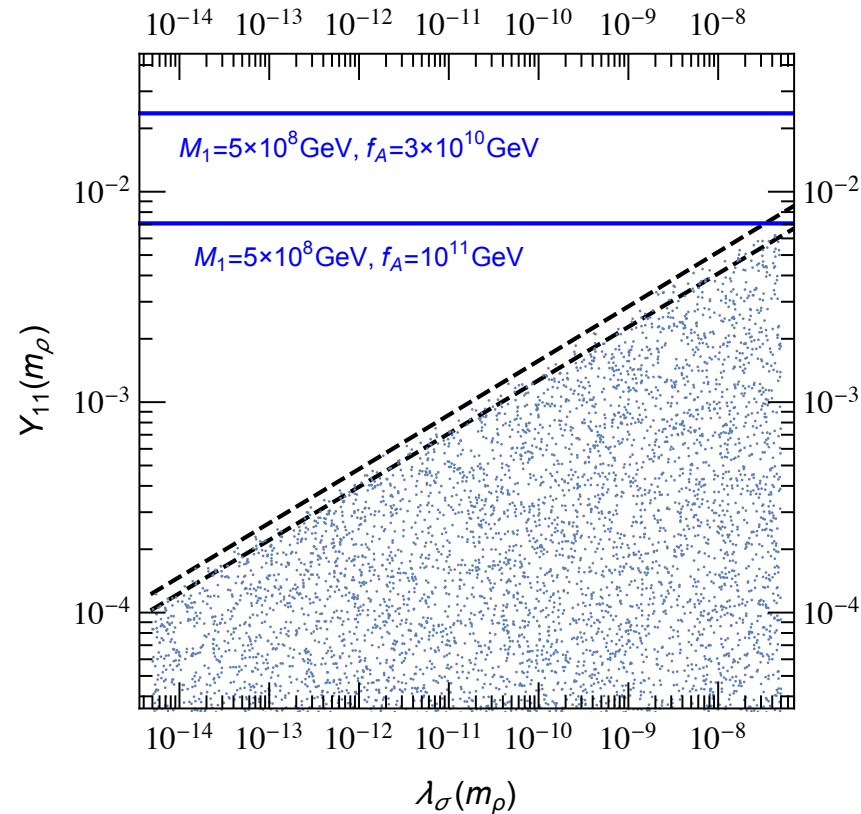


Vacuum Stability in SM*A*S*H

Vacuum stability in PQ scalar direction

- Stability in σ direction threatened by quantum corrections due to right-handed neutrinos and exotic quark, unless

$$\sum Y_{ii}^4 + 6y^4 \lesssim 16\pi^2 \lambda_\sigma / \log \left(30M_P / \sqrt{2\lambda_\sigma} v_\sigma \right)$$



Back Up: Towards a GUT SMASH

Minimal SU(5) GUT

- Original SU(5) model comprised of
 - three copies of 10_F and $\bar{5}_F$ representing chiral SM matter fermions [Georgi, Glashow 74]
 - 24_H and 5_H , representing Higgs bosons

$$10_F = \underbrace{\left(\bar{3}, 1, -\frac{2}{3}\right)_F}_{u^c} \oplus \underbrace{\left(3, 2, +\frac{1}{6}\right)_F}_q \oplus \underbrace{(1, 1, +1)_F}_{e^c},$$

$$\bar{5}_F = \underbrace{\left(\bar{3}, 1, +\frac{1}{3}\right)_F}_{d^c} \oplus \underbrace{\left(1, 2, -\frac{1}{2}\right)_F}_\ell,$$

$$24_H = \underbrace{(1, 1, 0)_H}_{S_H} \oplus \underbrace{(1, 3, 0)_H}_{T_H} \oplus \underbrace{(8, 1, 0)_H}_{O_H} \\ \oplus \underbrace{\left(3, 2, -\frac{5}{6}\right)_H}_{X_H} \oplus \underbrace{\left(\bar{3}, 2, +\frac{5}{6}\right)_H}_{\bar{X}_H},$$

$$5_H = \underbrace{\left(3, 1, -\frac{1}{3}\right)_H}_{\mathcal{T}} \oplus \underbrace{\left(1, 2, +\frac{1}{2}\right)_H}_h,$$

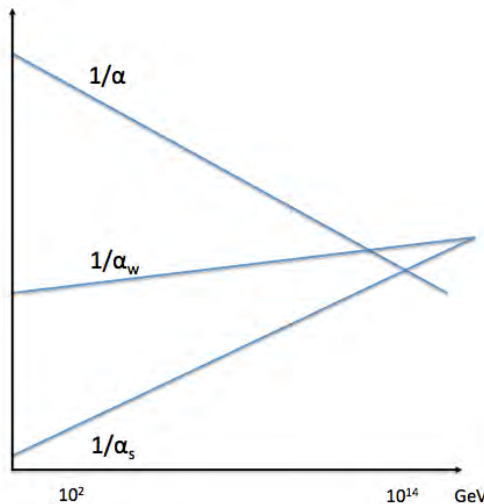
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- Neutrinos massless
- No gauge coupling unification



[StackExchange]

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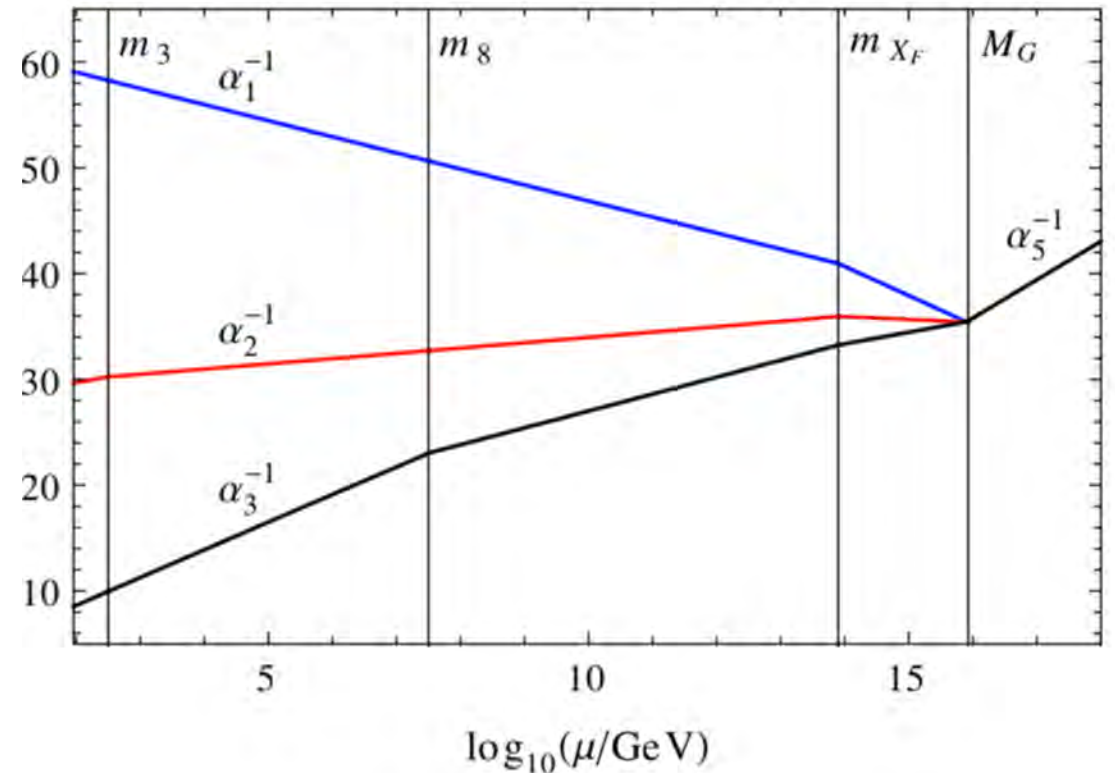
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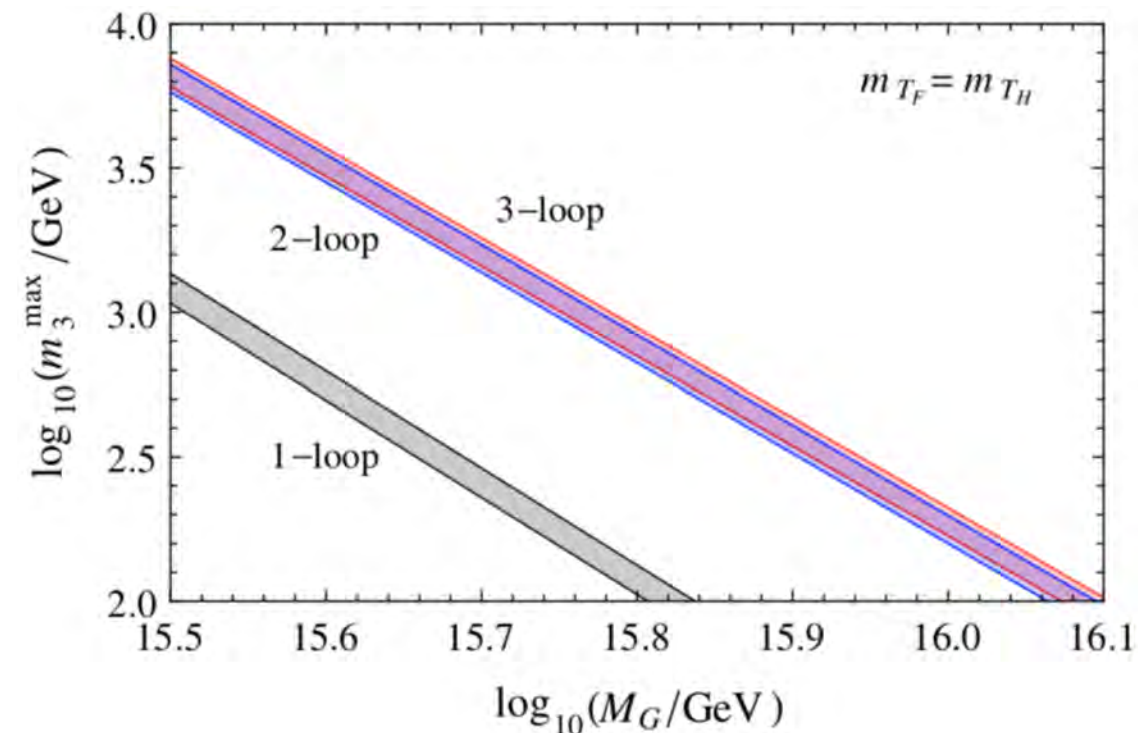


[Di Luzio, Mihaila 13]

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 - Clean correlation between effective electroweak triplet mass m_3 and unification scale M_G



[Di Luzio, Mihaila 13]

$$m_3 = (m_{T_F}^4 m_{T_H})^{1/5}$$

Back Up: Towards a GUT SMASH

Axion in minimal SU(5) GUT

- Require that 24_H complex and add $5'_H$

- Impose PQ symmetry:

$$\bar{5}_F \rightarrow e^{-i\alpha/2} \bar{5}_F,$$

$$10_F \rightarrow e^{-i\alpha/2} 10_F,$$

$$5_H \rightarrow e^{i\alpha} 5_H,$$

$$5'_H \rightarrow e^{-i\alpha} 5'_H,$$

$$24_H \rightarrow e^{-i\alpha} 24_H,$$

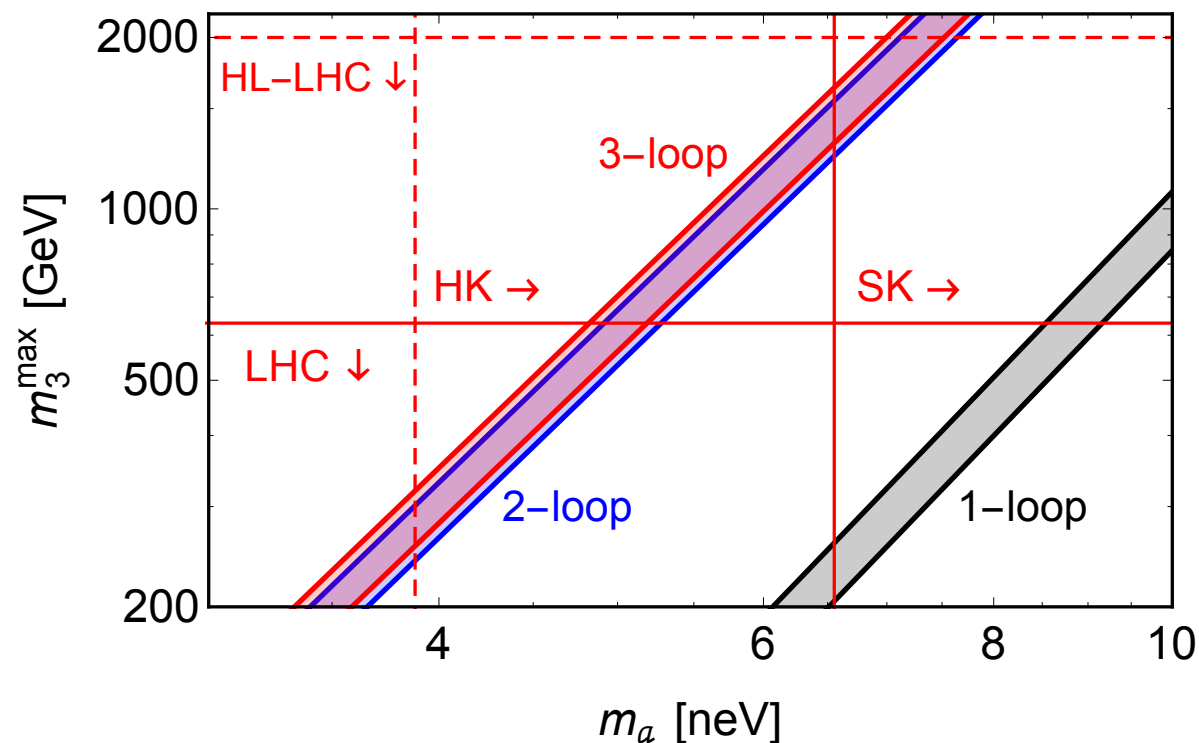
$$24_F \rightarrow e^{-i\alpha/2} 24_F$$

- Axion decay constant:

$$f_A \simeq \frac{1}{11} \sqrt{\frac{6}{5}} \frac{M_G}{g_5}$$

- Gauge coupling unification, taking into account LHC and Superkamiokande constraints:

$$m_A \in [4.8, 6.6] \text{ neV}$$



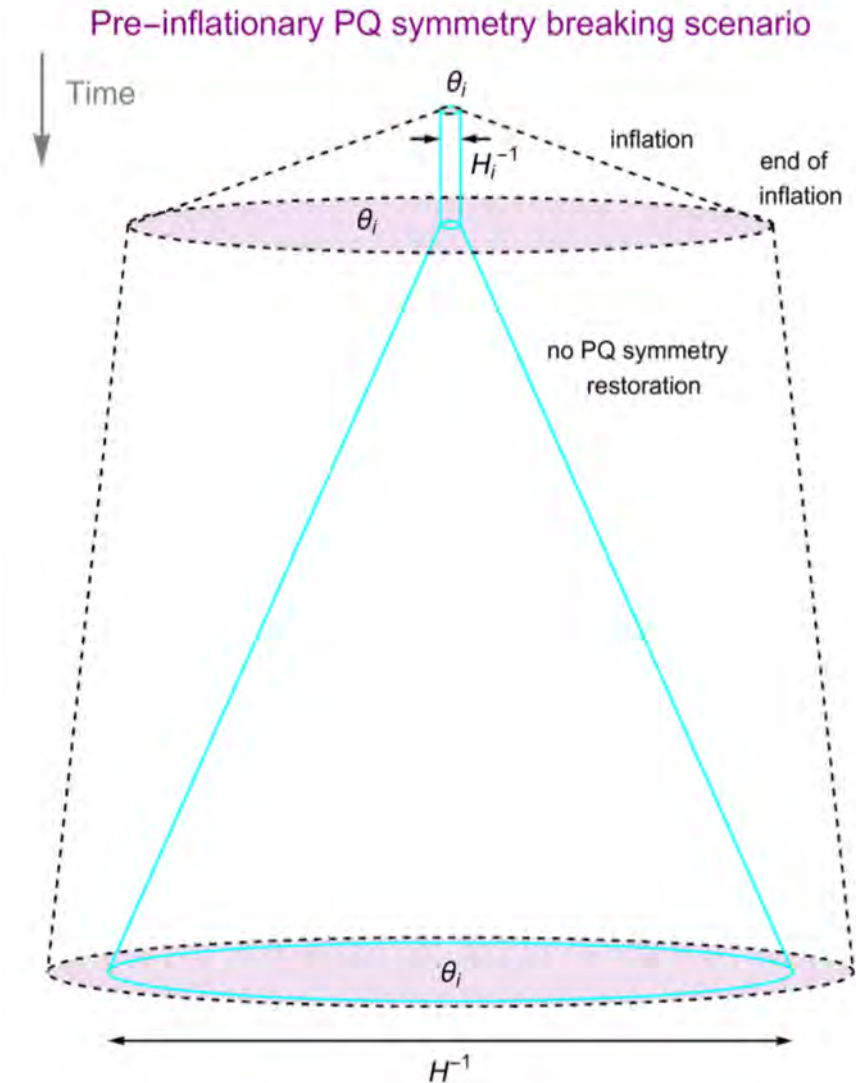
[Di Luzio, AR, Tamarit, arXiv:1807.09769]

Back Up: Towards a GUT SMASH

Axion in minimal SU(5) GUT

- Axion mass in neV range:
 - PQ symmetry has to be broken before inflation and not restored afterwards to avoid DM overabundance
- DM abundance depends not only on mass, but also on the initial value of $\theta_i = A_i/f_A$ inside causally connected region which is inflated to observable universe
- Anthropical selection of initial value to reproduce the observed dark matter abundance needed:

$$\Omega_a h^2 = 0.12 \left(\frac{5.0 \text{ neV}}{m_a} \right)^{1.165} \left(\frac{\theta_i}{1.6 \times 10^{-2}} \right)^2$$



[Saikawa]

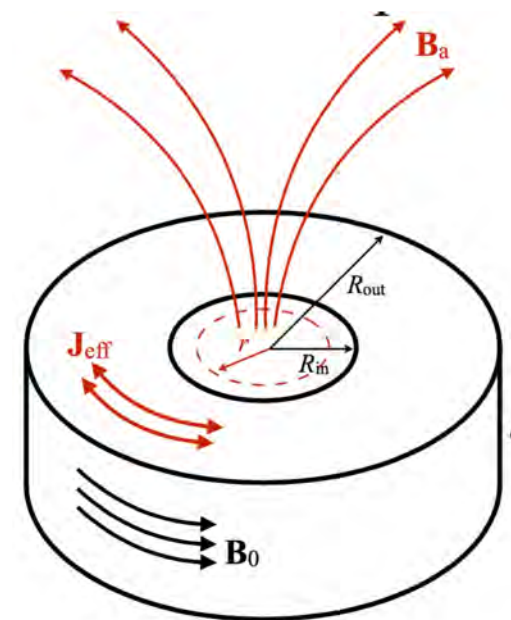
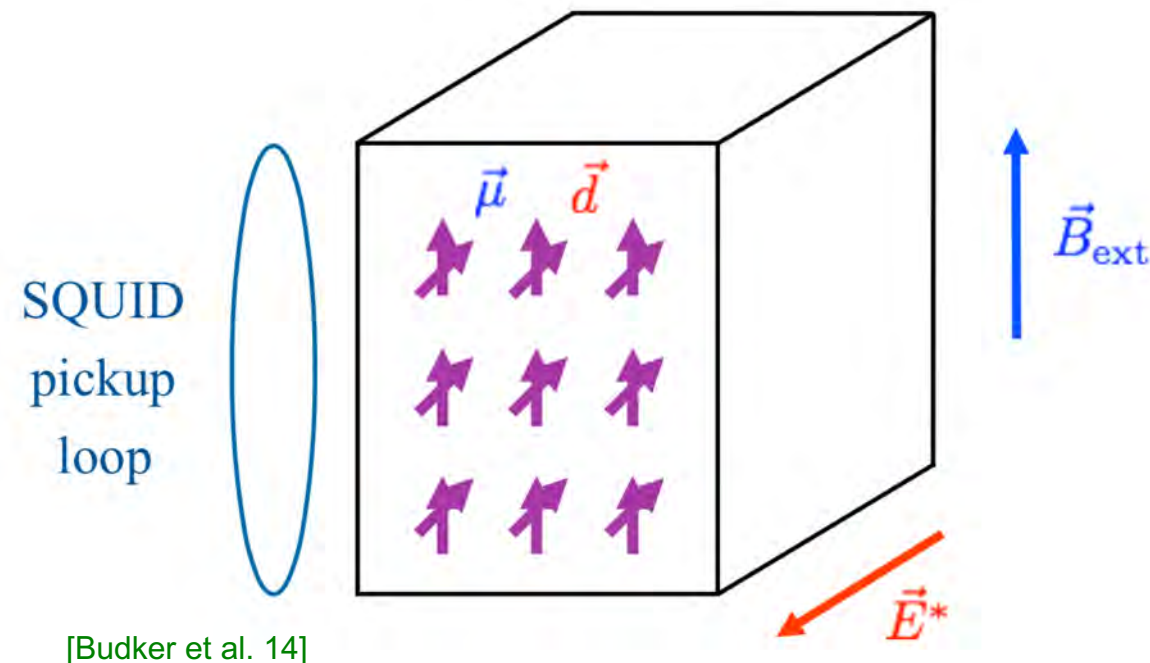
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- CASPER-Electric and ABRACADABRA



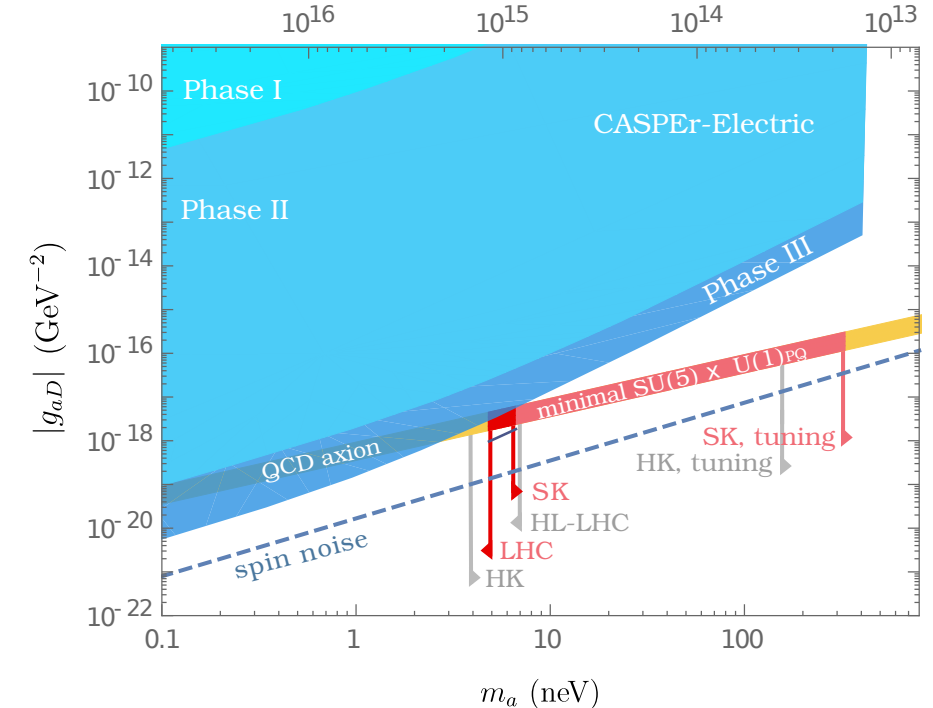
Back Up: Towards a GUT SMASH

Axion in minimal SU(5) GUT

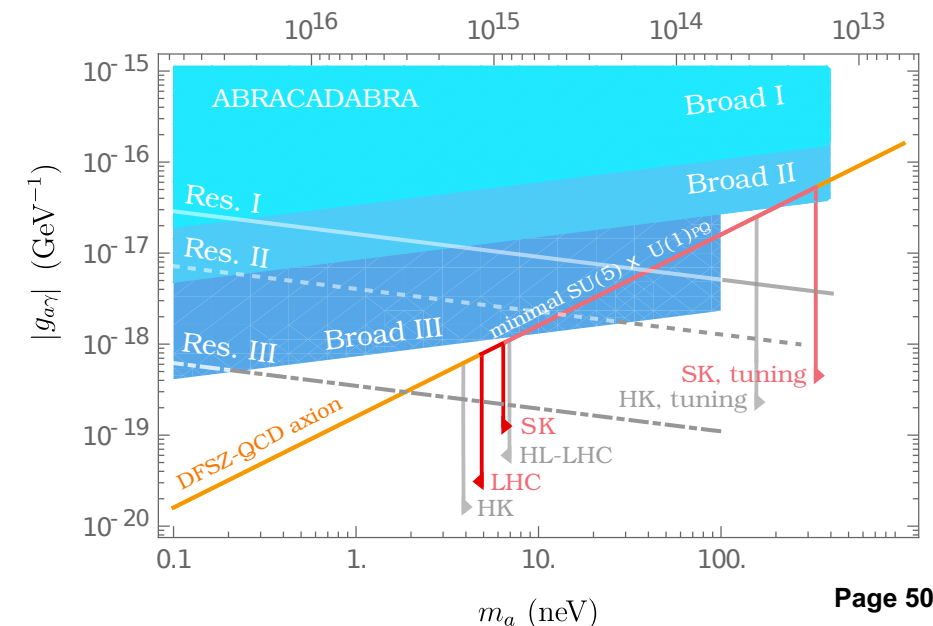
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- **CASPER-Electric** and **ABRACADABRA** will probe the relevant parameter space, complementing prospected constraints from **HyperKamiokande**



[Di Luzio, AR, Tamarit, arXiv:1807.09769]



Back Up: Towards a GUT SMASH

Axion in minimal SU(5) GUT

- Can the modulus of the 24_H in this model be a viable inflaton candidate, taking into account its possible non-minimal coupling to gravity?

[Ballesteros, Di Luzio, AR, Tamarit, Welling, in progress]

$$S \supset - \int d^4x \sqrt{-g} \xi_{24_H} \text{Tr}(24_H^2) R$$

- For $v_{24_H} = M_G/g_5 \gtrsim 10^{17}$ GeV, PQ symmetry not restored after inflation
- Can isocurvature constraints be avoided?
 - In a pre-inflationary PQ symmetry scenario, where PQ field is not part of the inflaton:
 - axion is massless field in de Sitter space, leading to isocurvature fluctuations, whose power spectrum freezes at horizon crossing
 - Planck non-observation of isocurvature fluctuations leads to bound on Hubble scale during inflation:

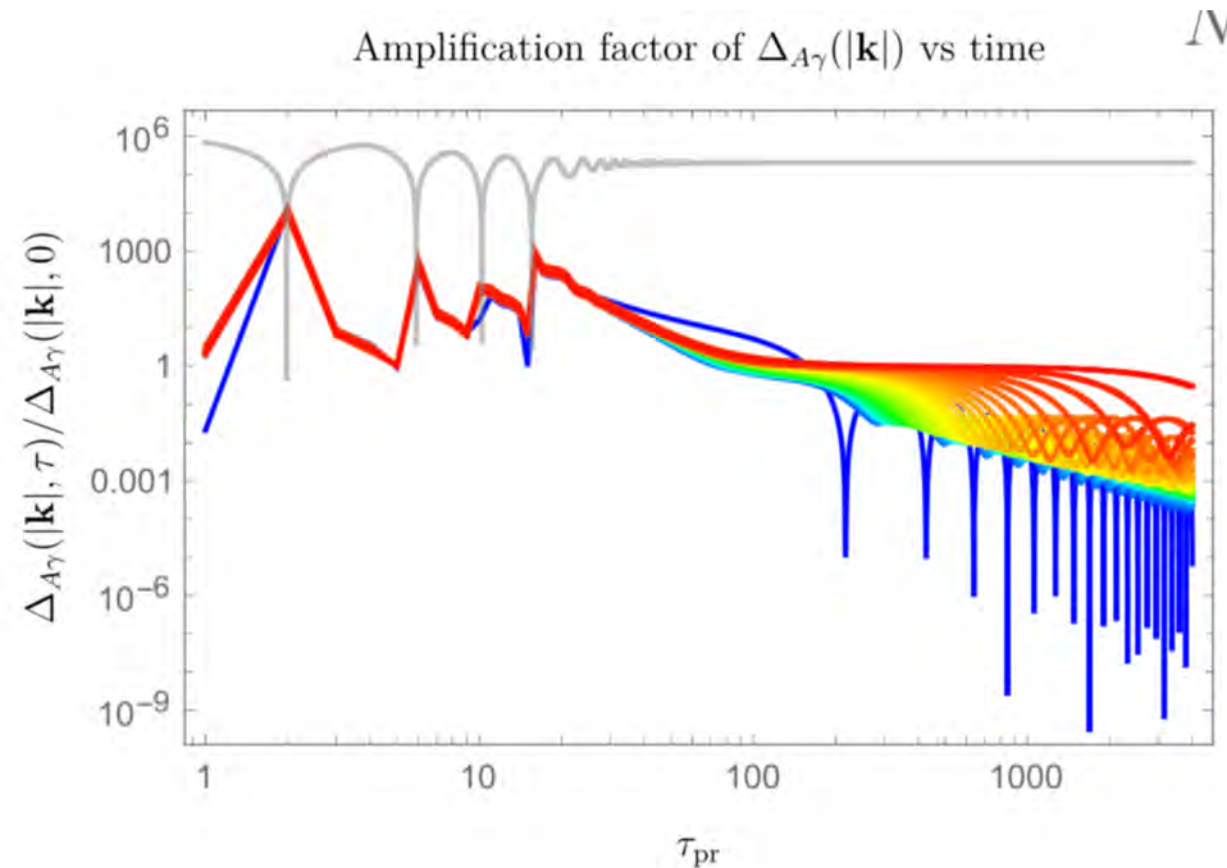
$$H_I < 5.7 \times 10^8 \text{ GeV} \left(\frac{5.0 \text{ neV}}{m_a} \right)^{0.4175}$$

- Bound does not apply in case of non-minimal chaotic 24_H inflation, since axion part of the inflaton and therefore not massless during inflation and reheating

Back Up: Towards a GUT SMASH

Revisiting isocurvature bound

- Work in progress: [Ballesteros, Di Luzio, AR, Tamarit, Welling]
 - Numerical evolution of inflationary perturbations, accounting for nonzero masses; use these as initial conditions for lattice simulations including effects of Higgs decays
 - Derive power spectra for isocurvature perturbation
 - Extrapolate to CMB scales
- Results:
 - Super-horizon isocurvature modes have an initial exponential growth but then decay as $1/a(t)^2$
 - Extrapolation to CMB times: competition between initial amplification and later decay
 - As $\Delta_{A\gamma}(|\mathbf{k}|, \tau) a(\tau)^2 / \Delta_{A\gamma}(|\mathbf{k}|, 0)$ seems to always peak and then oscillate around a constant value, we can establish bound and direct estimate at CMB times

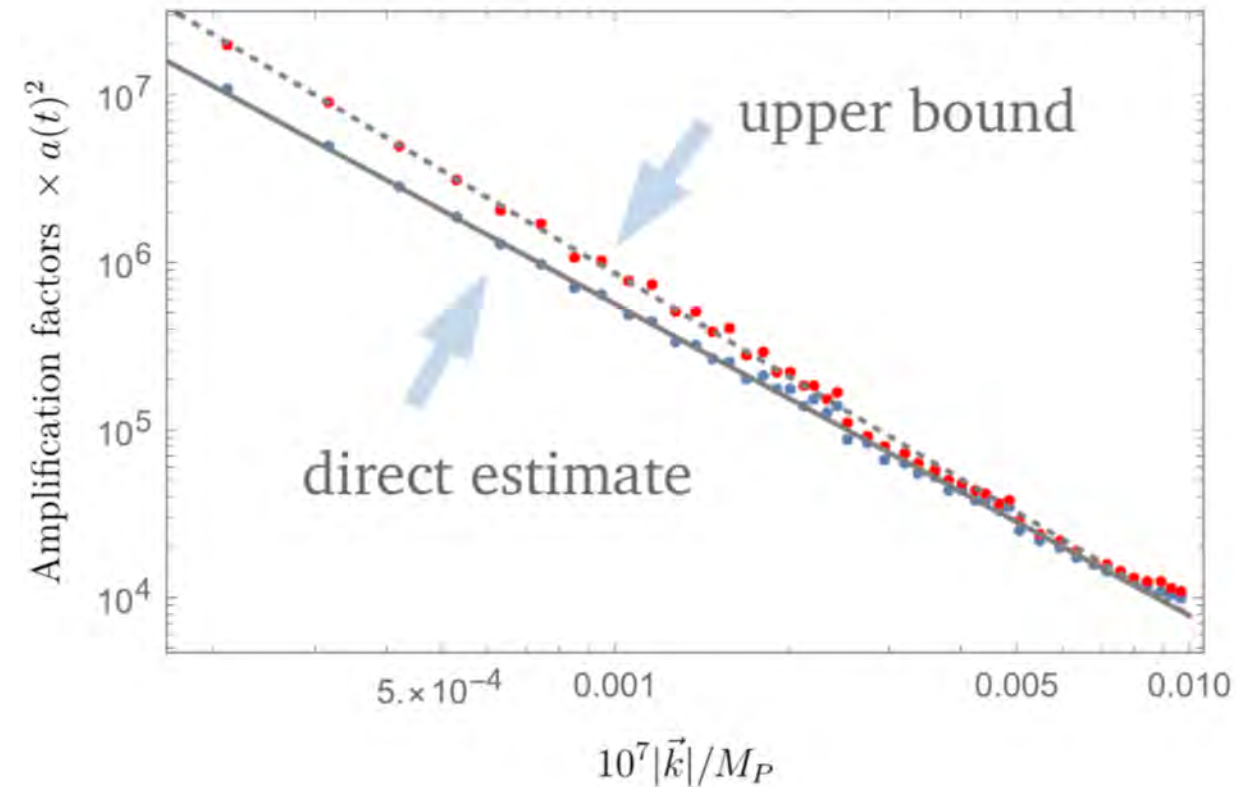


Lower momenta in red, higher momenta in blue
In gray: $10^6 \phi_1(\tau_{pr}) / M_P$

Back Up: Towards a GUT SMASH

Revisiting isocurvature bound

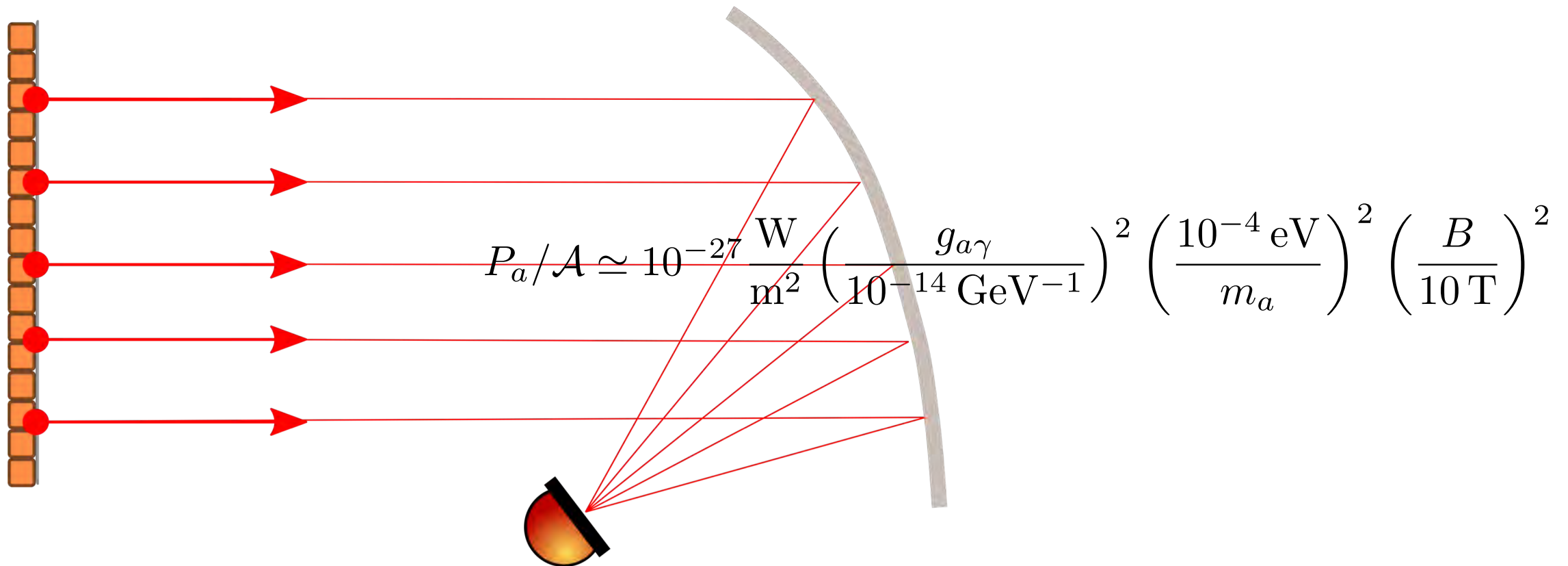
- Work in progress: [Ballesteros, Di Luzio, AR, Tamarit, Welling]
 - Numerical evolution of inflationary perturbations, accounting for nonzero masses; use these as initial conditions for lattice simulations including effects of Higgs decays
 - Derive power spectra for isocurvature perturbation
 - Extrapolate to CMB scales
- Results:
 - Super-horizon isocurvature modes have an initial exponential growth but then decay as $1/a(t)^2$
 - Extrapolation to CMB times: competition between initial amplification and later decay
 - As $\Delta_{A\gamma}(|\mathbf{k}|, \tau)a(\tau)^2/\Delta_{A\gamma}(|\mathbf{k}|, 0)$ seems to always peak and then oscillate around a constant value, we can establish bound and direct estimate at CMB times
 - If inflaton and axion part of multiplet (≥ 6 real scalars), CMB isocurvature bound satisfied (preliminary)



Back Up: Searches for Dark Matter Axions

Dish Antennas

- Oscillating axion/ALP DM in a background magnetic field carries a small electric field component
- A magnetised mirror in axion/ALP DM background radiates photons [\[Horns, Jaeckel, Lindner, Lobanov, Redondo, AR 13\]](#)

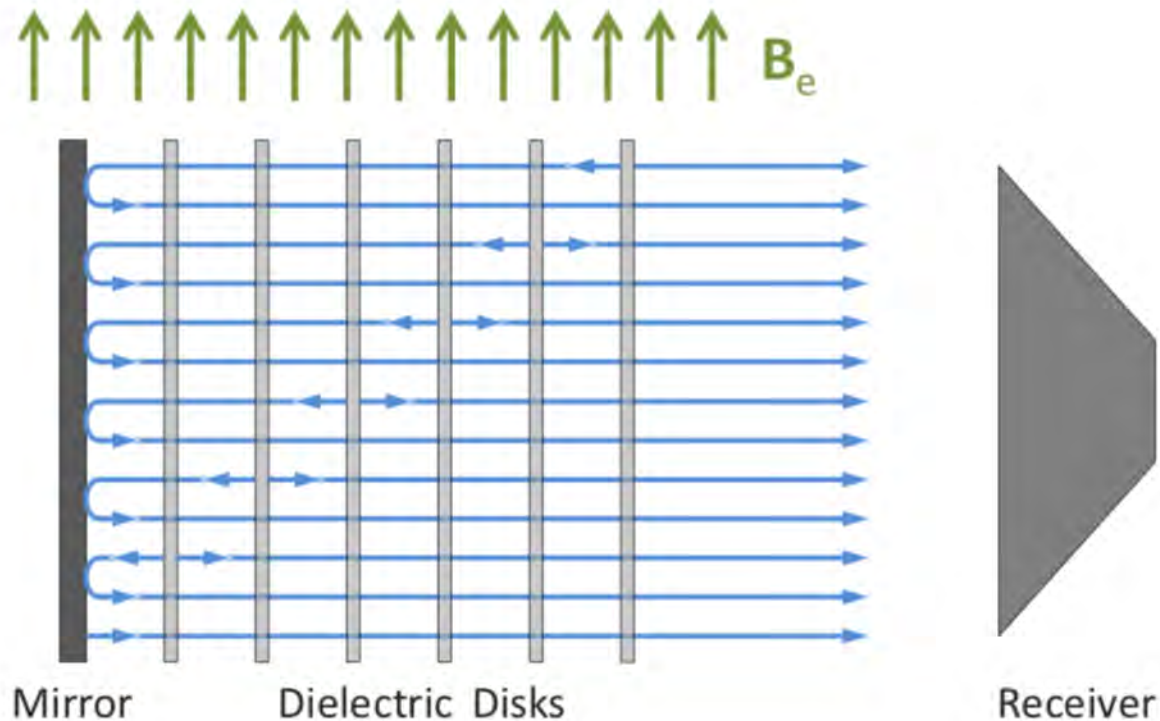


Back Up: Searches for Dark Matter Axions

Dish Antennas

- Boosted dish antenna: Open dielectric resonator
 - Add stack of dielectric disks with $\sim \lambda/2$ spacing in front of mirror (all immersed in magnetic field) [Jaeckel,Redondo 13]
 - Constructive interference of photon part of wave function [Millar,Raffelt,Redondo,Steffen 16]

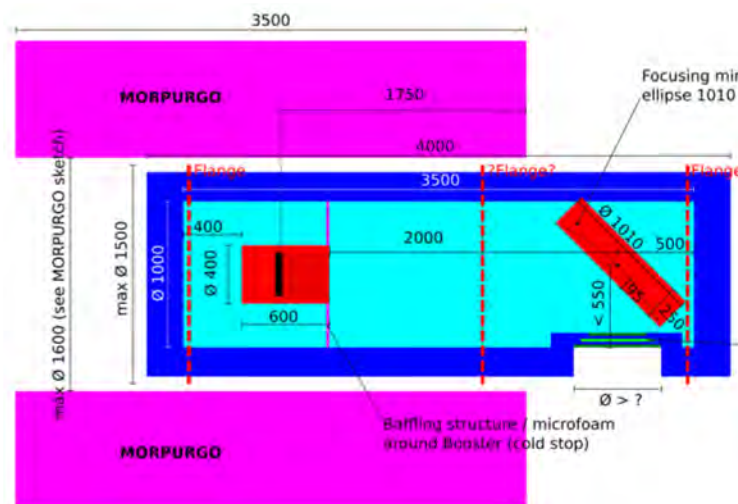
[Baryakhtar,Huang,Lasenby18]



Dish Antennas

- | | | |
|----------------------|-------------------------|--------------------------|
| 2017 -2019
Design | 2020 -2025
Prototype | 2025 -2035
Experiment |
|----------------------|-------------------------|--------------------------|

@DESY



A 3D cutaway schematic of the ARIADNE cryogenic antenna system. The main cylindrical section contains a central green dielectric disc, surrounded by 80 adjustable dielectric discs (indicated by red arrows). This section is enclosed within a 10 T dipole magnet (indicated by red arrows). The length of this section is approximately 2m (indicated by a double-headed arrow). The system is terminated by a parabolic mirror (indicated by a red arrow) and a separate cryogenic volume (indicated by a red arrow) containing a horn antenna (+ receiver) (indicated by a red arrow). A label 'Mirror' points to the end of the main section. A diagram at the top shows the magnetic field configuration with labels 'AD' and 'AX'.

Back Up: Searches for Dark Matter Axions

Magnetic Resonance Searches

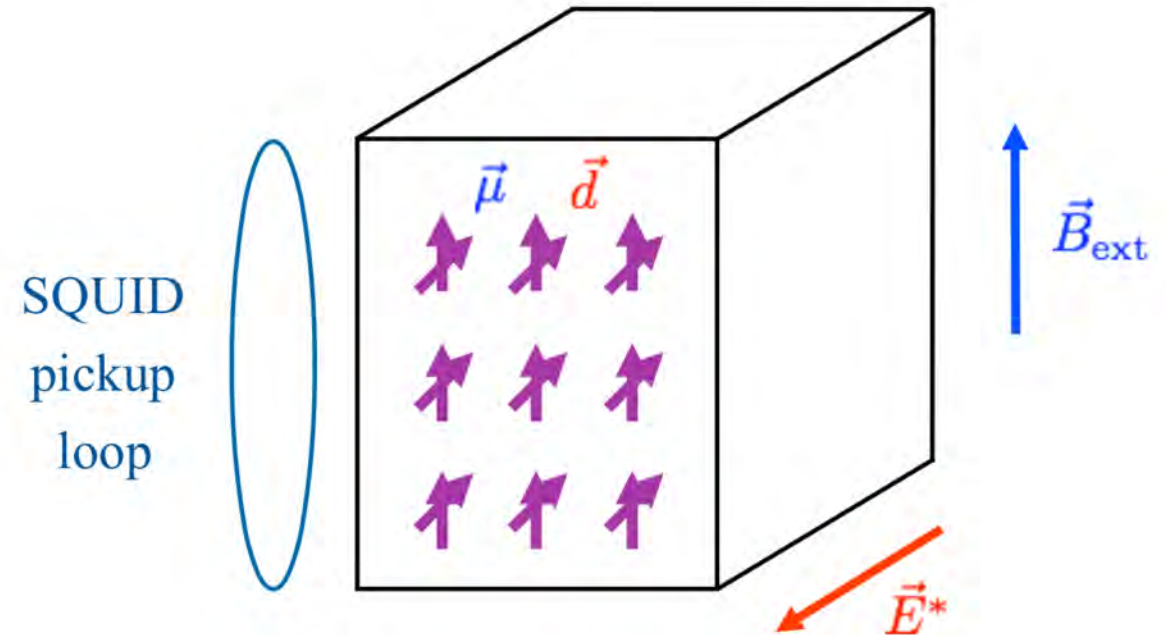
- Axion DM field induces oscillating NEDMs:

$$d_N(t) = g_d \sqrt{2\rho_{\text{DM}}} \cos(m_A t) / m_A$$

- Place a ferroelectric crystal (permanent electric polarisation fields \vec{E}^*) in external $\vec{B}_{\text{ext}} \perp \vec{E}^*$
- Nuclear spins are polarised along \vec{B}_{ext} and precess at Larmor frequency $\omega_L = 2\mu_N B_{\text{ext}}$
- Interaction $\epsilon_S \vec{d}_N(t) \cdot \vec{E}^*$ of DM induced NEDM with the \vec{E}^* -field leads to resonant increase of transverse magnetisation of sample when $\omega_L = m_A$

[Graham, Rajendran 13; Budker et al. 14]

- CASPER-Electric currently being set-up in Boston



[Budker et al. 14]

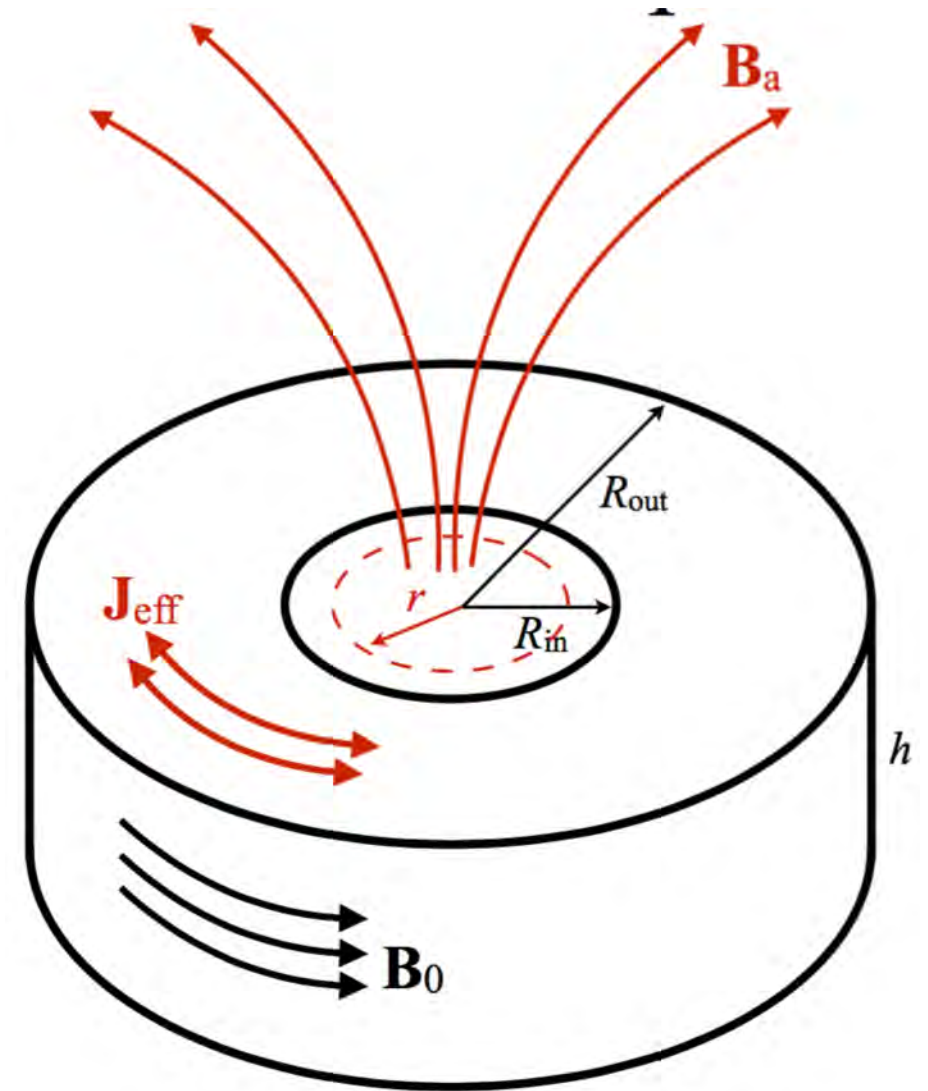
Back Up: Searches for Dark Matter Axions

Searching for Axion-induced Magnetic Fields

[Sikivie, Sullivan, Tanner 14; Kahn, Safdi, Thaler '16]

- **ABRACADABRA** (MIT) currently being set-up
 - Exploit toroidal magnet with fixed magnetic field:
 - Axion DM generates oscillating effective current around ring
 - ... this generates oscillating magnetic field through center
 - ... this can be detected by pickup loop
- **DM-Radio** (Stanford): similar experiment in path-finder status

[Silva-Feaver et al. 16]



[Ouellet '16; adapted from Kahn, Safdi, Thaler '16]

Back Up: Searches for Dark Matter Axions

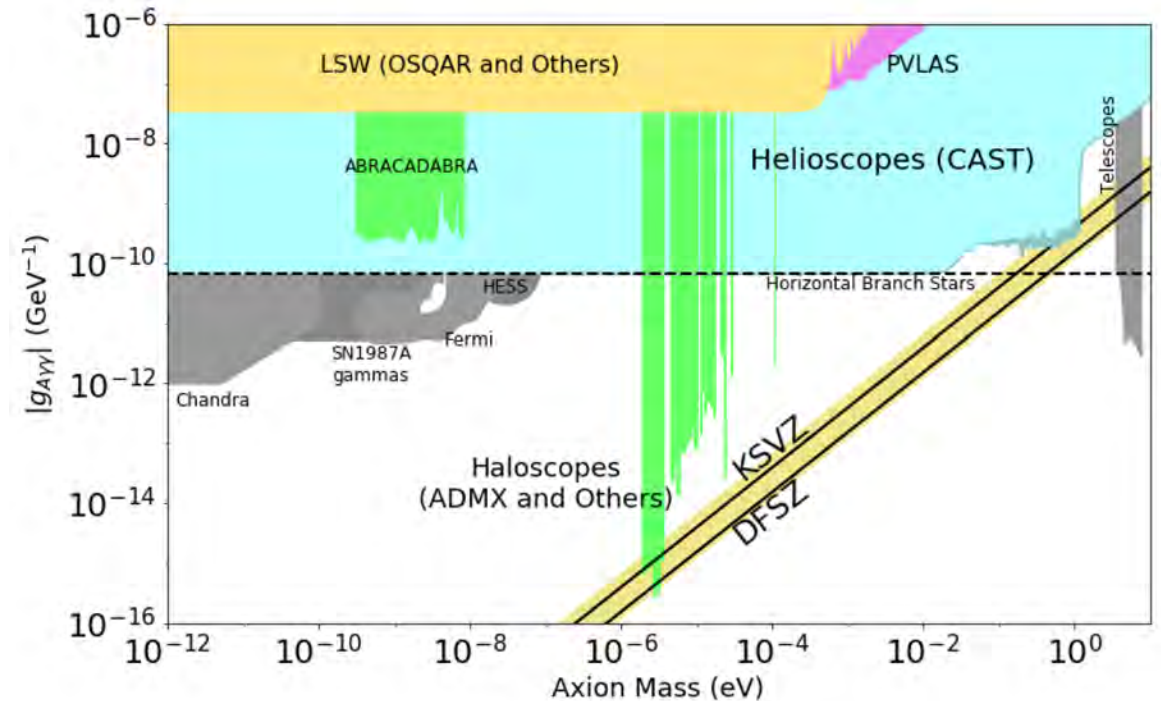
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[Silva-Feaver et al. 16]

ABRACADABRA-10 cm Run 1:

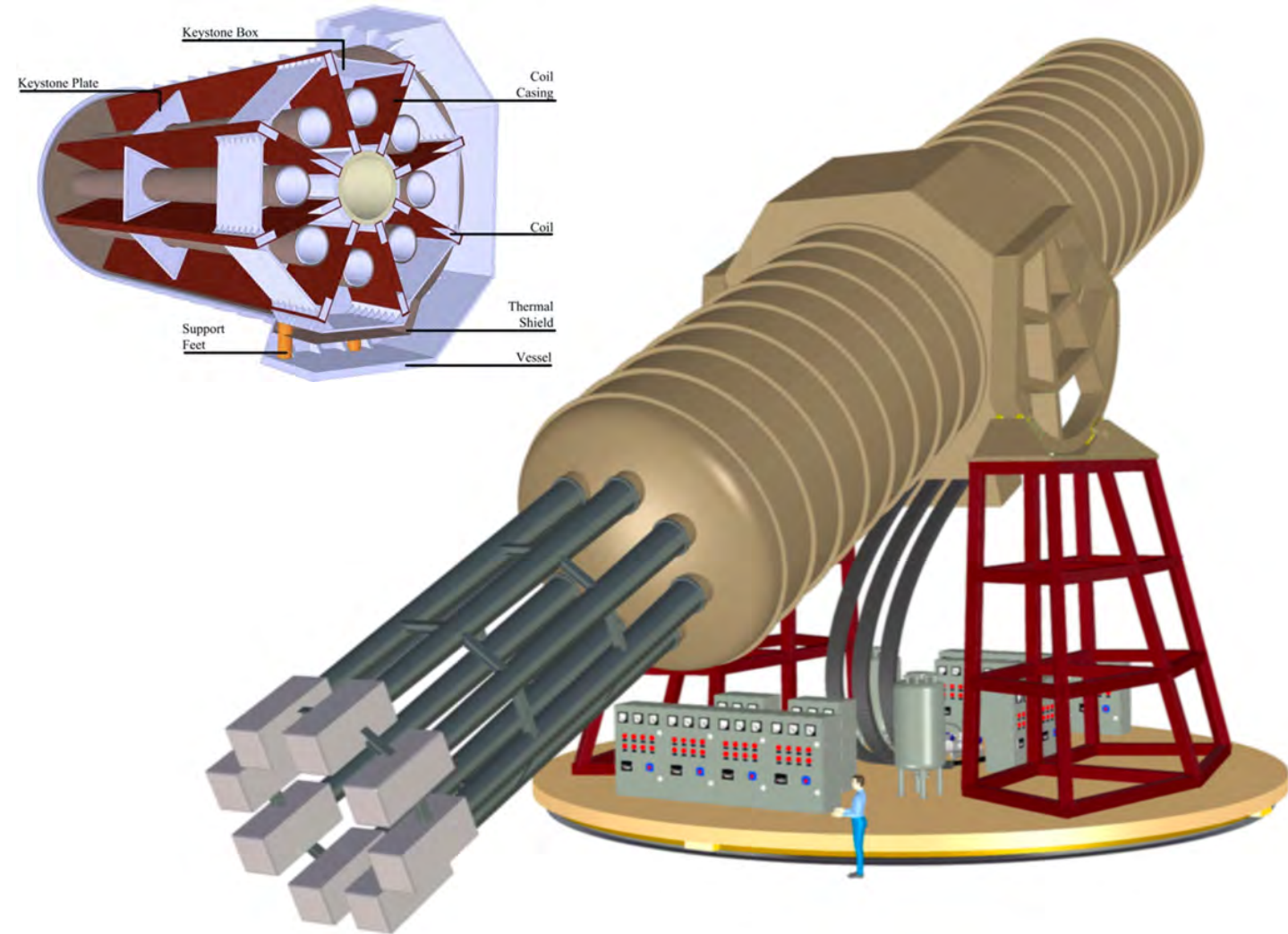
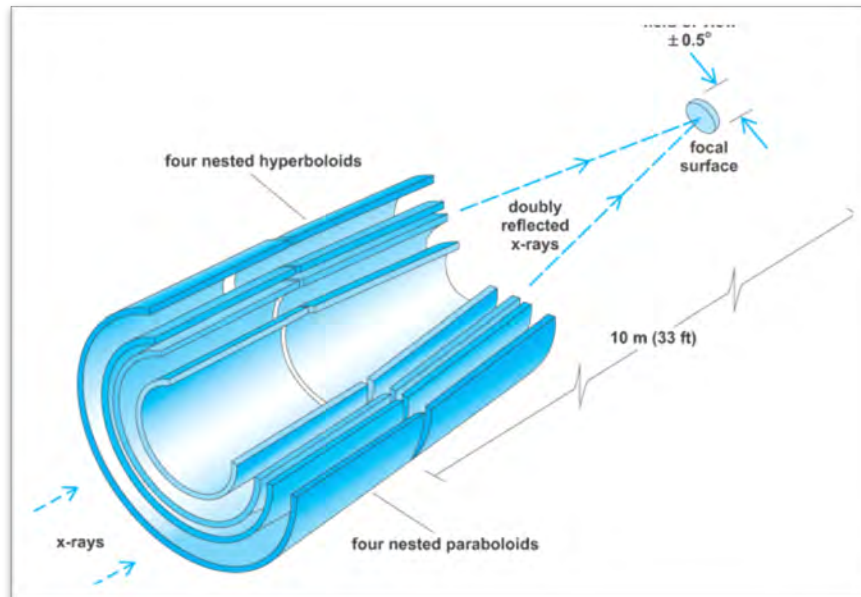


[AR, Rybka, Rosenberg in 2019 update PDG RPP]

Back Up: Searches for Solar Axions

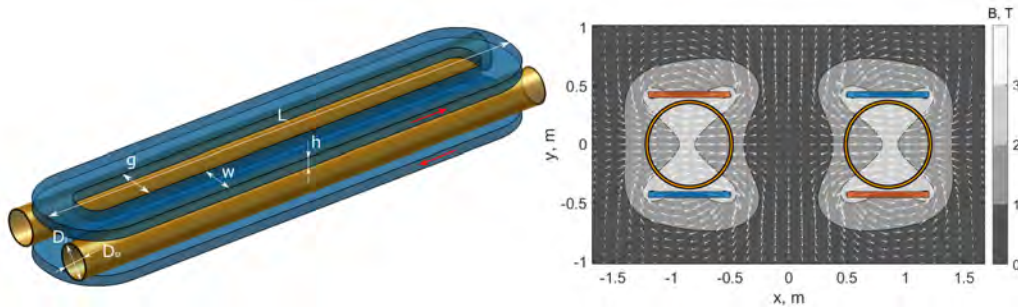
- International Axion Observatory (IAXO)
 - Large toroidal 8-coil magnet $L = \sim 20$ m
 - 8 bores: 600 mm diameter each
 - 8 X-ray telescopes + 8 detection systems
 - Rotating platform with services
- Proposed site: [DESY](#)

[IAXO CDR: JINST 9 (2014) T05002 (arXiv:1401.3233)]

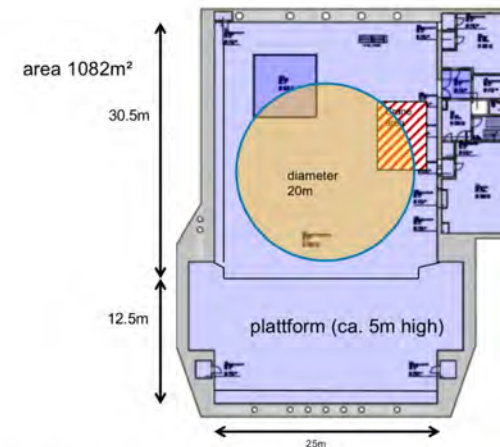
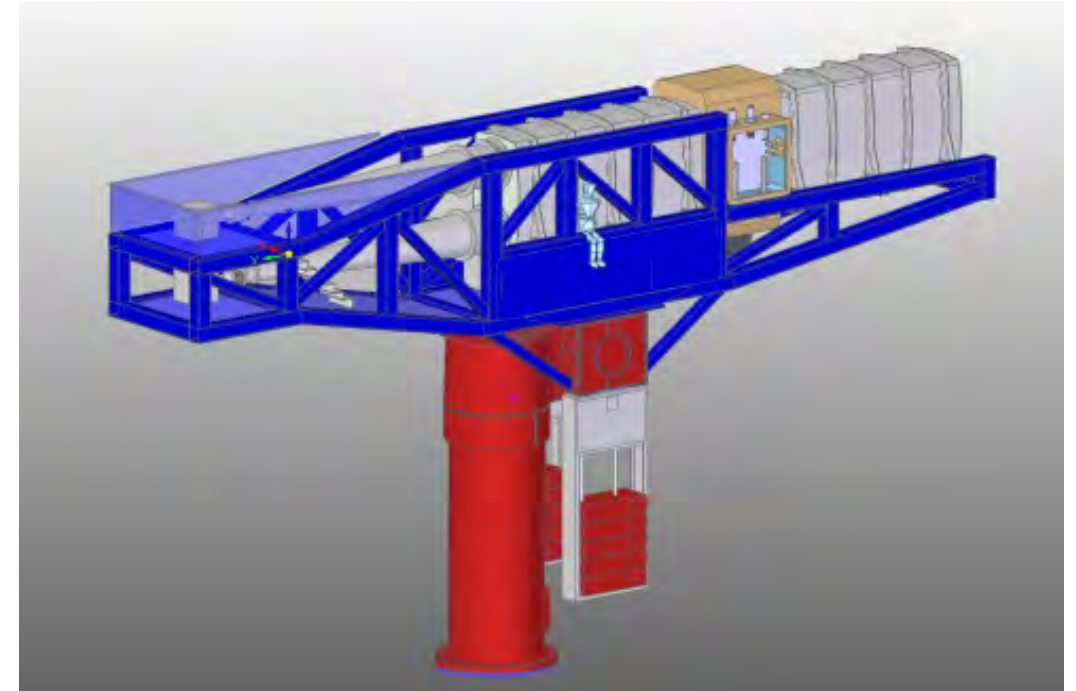


Back Up: Searches for Solar Axions

- Prototype for IAXO: [BabyIAXO](#)
 - Two bores of dimensions similar to final IAXO bores
 - Detection lines representative of final ones
 - Test & improve all systems
- Magnet technical design ongoing at CERN

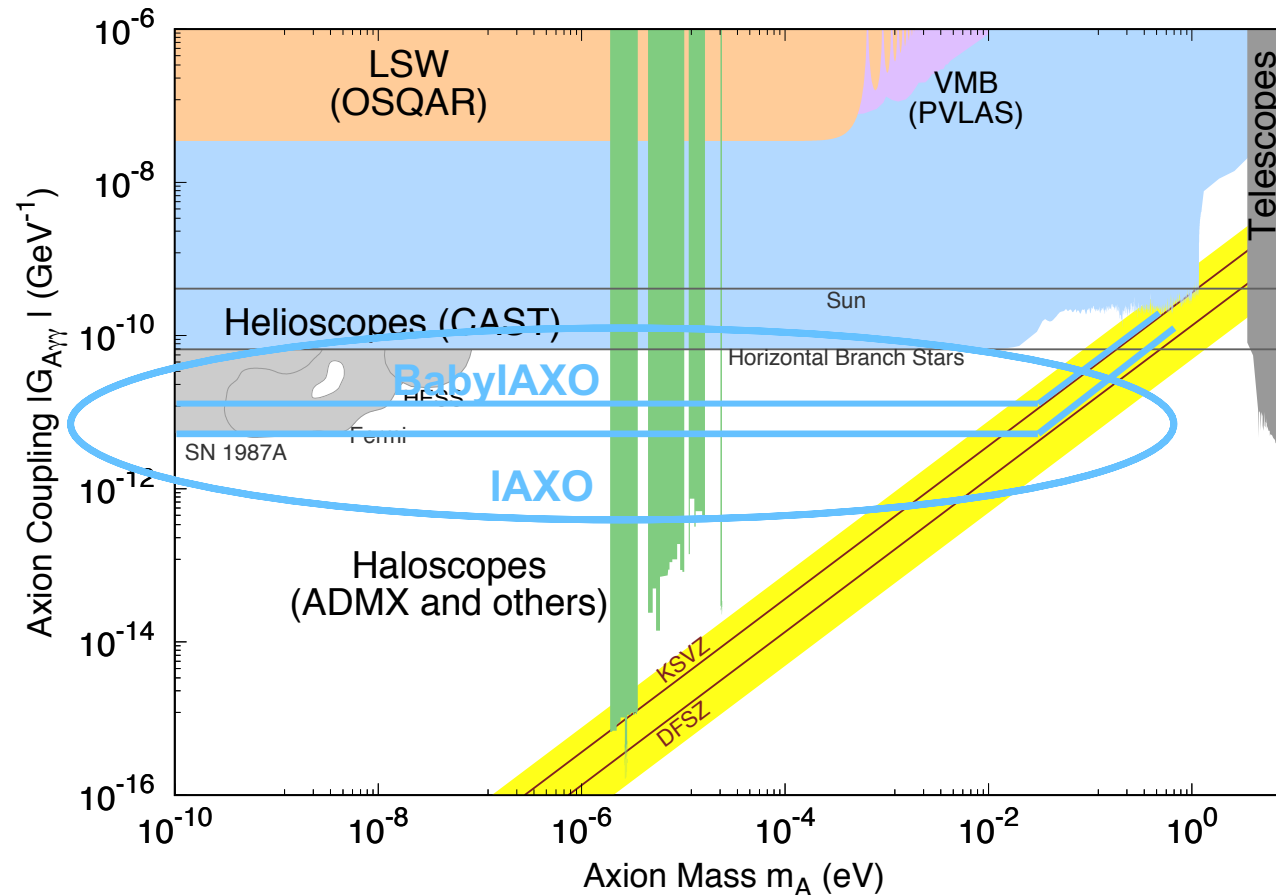


- Funded mainly via [Iraistorza: ERC-AvG 2017 IAXO+](#)
- Site: HERA South Hall at [DESY](#)
- Construction may start in 2020
- Data taking may start in 2024



Back Up: Searches for Solar Axions

- (Baby)IAXO probes meV mass QCD axion and covers most of parameter space relevant for astro hints



Light-Shining-through-a-Wall Searches

[Sikivie 1983, Ansel'm 1985, van Bibber et al. 1987]

- ALPS I @ DESY (in collaboration with AEI Hannover and U Hamburg)

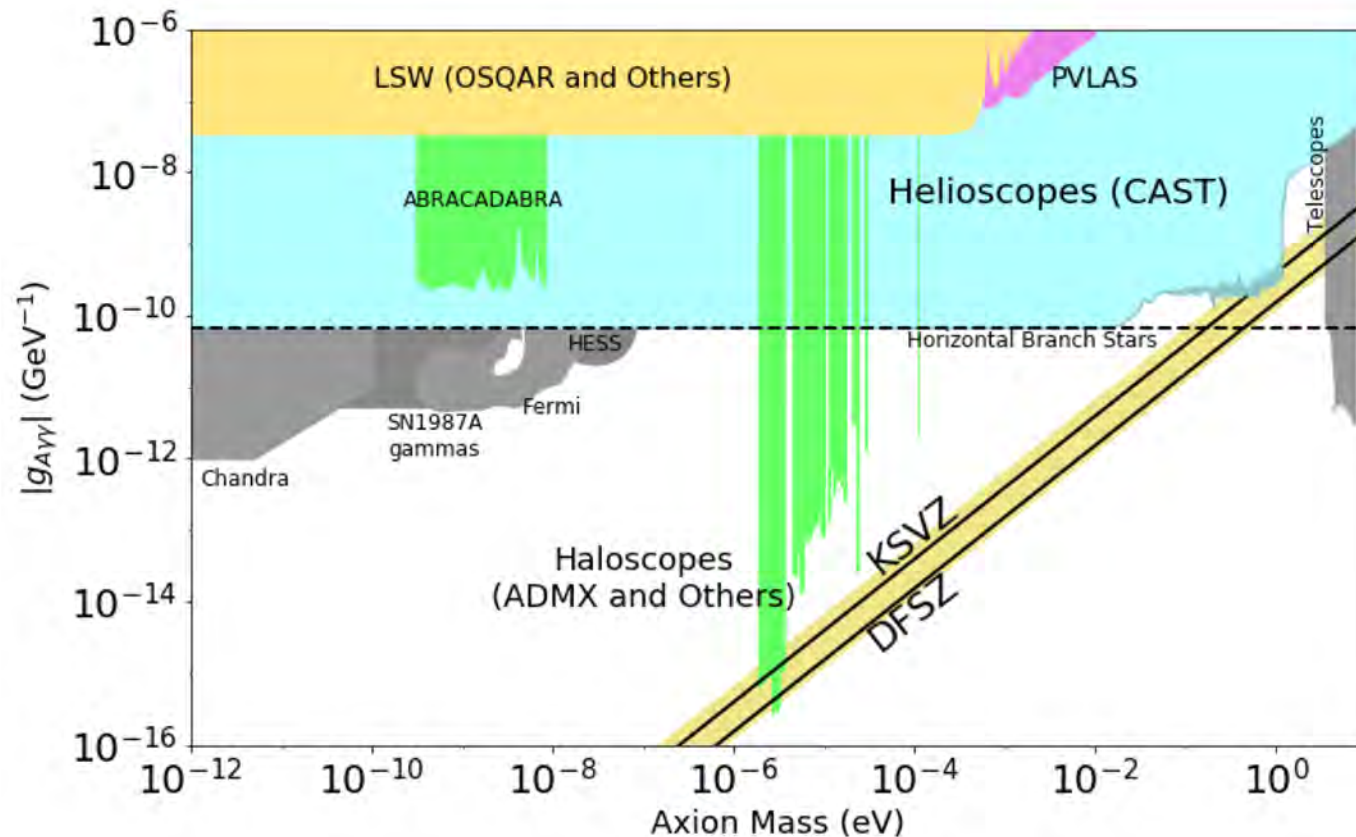
[AR 03;....;Ehret et al. 10]



$$P(a \leftrightarrow \gamma) = 4 \frac{(g_a \gamma \omega B)^2}{m_a^4} \sin^2 \left(\frac{m_a^2}{4\omega} L_B \right)$$

Light-Shining-through-a-Wall Searches

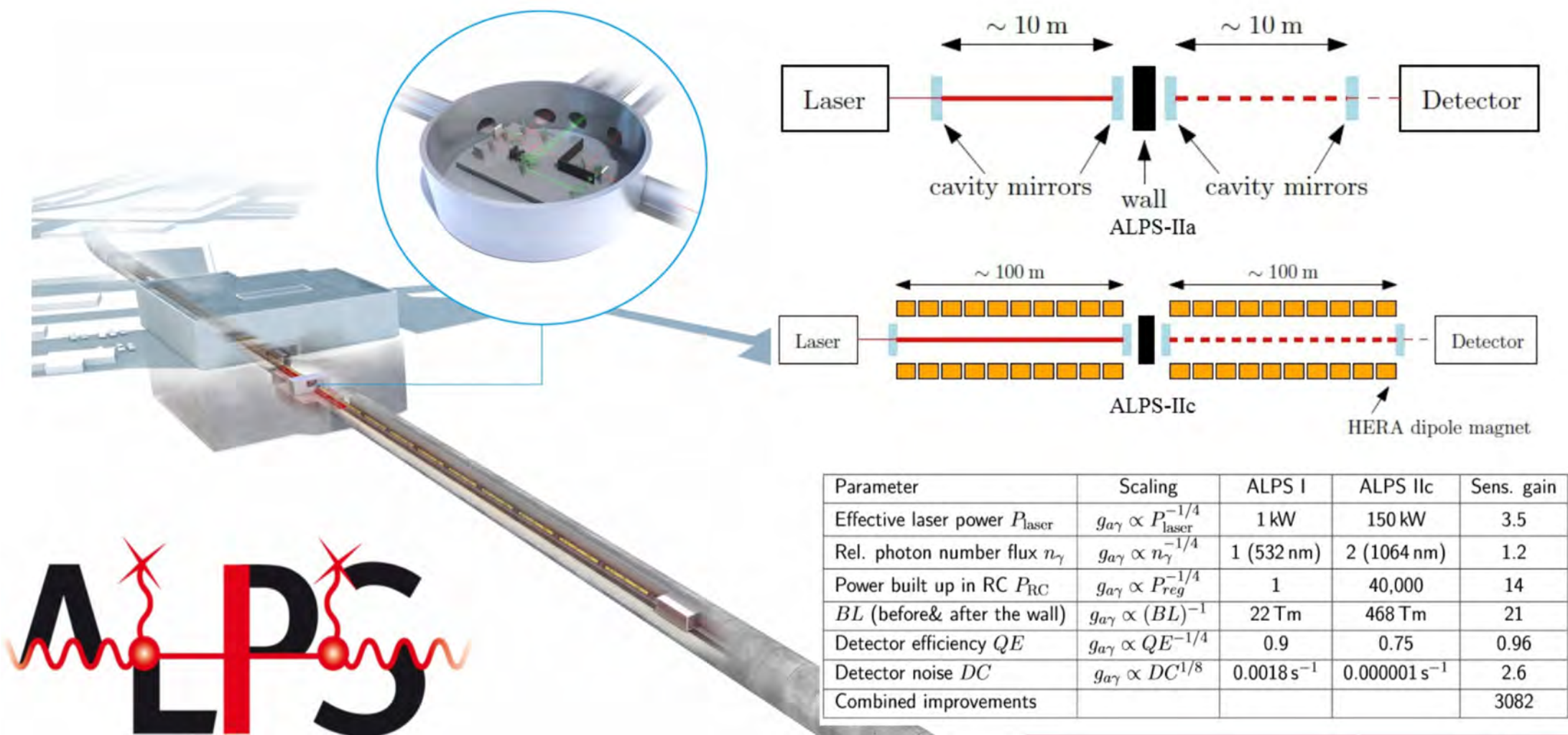
- **ALPS I** @ DESY (in collaboration with AEI Hannover and U Hamburg) [AR 03;...;Ehret et al. 10]
- LSW experiments **ALPS I** and **OSQAR** @ CERN give currently the best purely laboratory limit on low mass axions:



[AR,Rybka,Rosenberg in 2019 update PDG RPP]

Light-Shining-through-a-Wall Searches

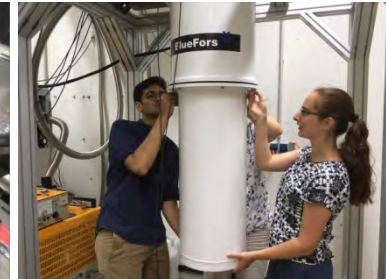
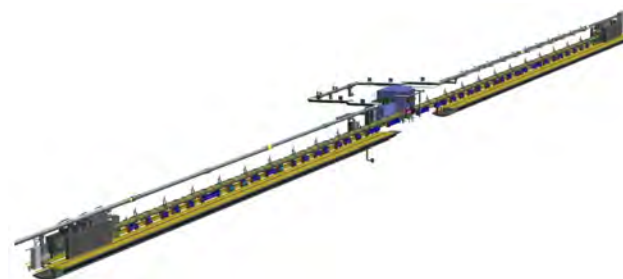
- ALPS II @ DESY (in collaboration with AEI Hannover, U Cardiff, U Florida, U Mainz) [Bähre et al (ALPS II TDR) 13]



Light-Shining-through-a-Wall Searches

ALPS II at DESY

- HERA tunnel on about 300 m cleared
- 24 magnets straightened and tested; first magnets installed
- Construction will be finished end of 2020
- Data taking 2021 and 2022



Albert Einstein Institute
Hannover



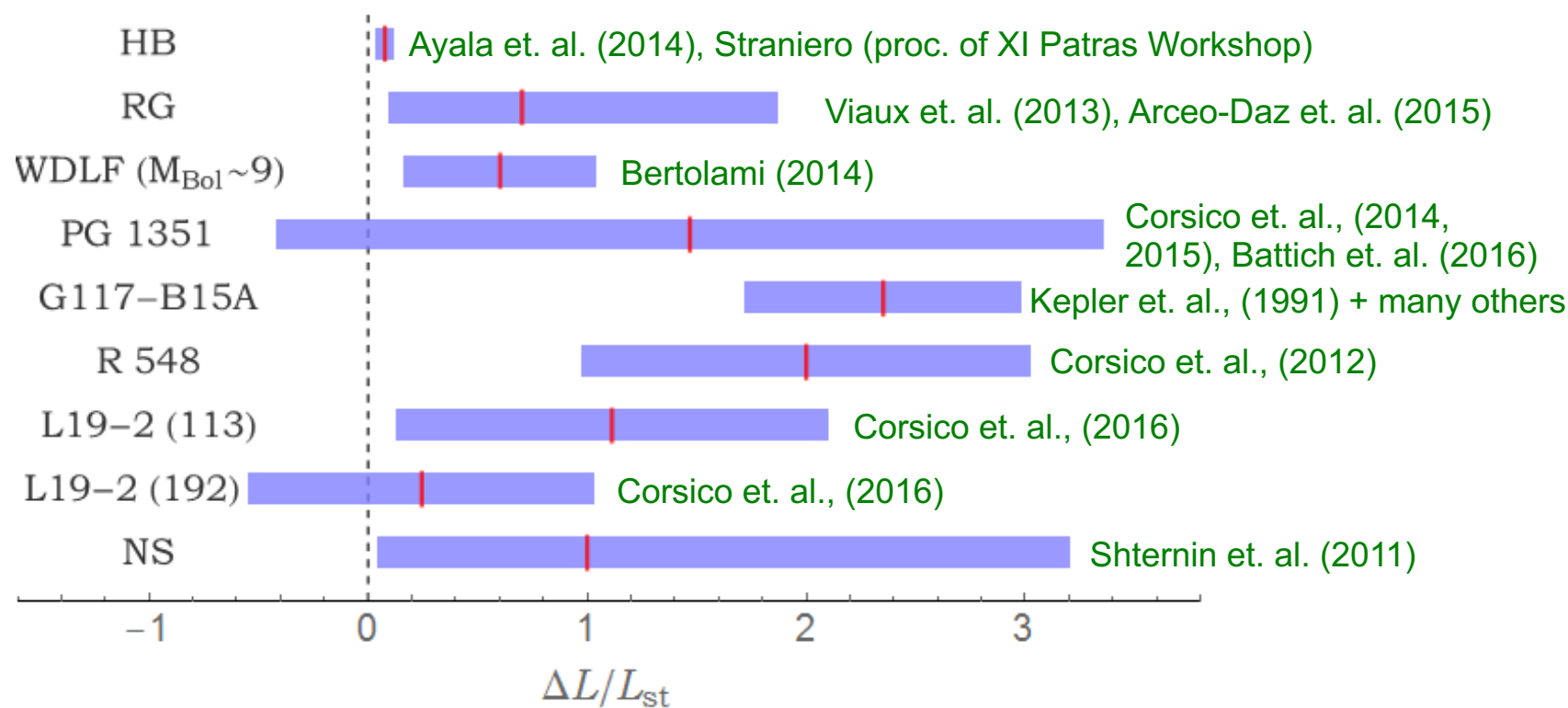
JOHANNES GUTENBERG
UNIVERSITÄT MAINZ



Back Up: Astrophysical Hints for Axions/ALPs

Excessive stellar energy losses

- Practically every stellar systems seems to be cooling faster than predicted by models:

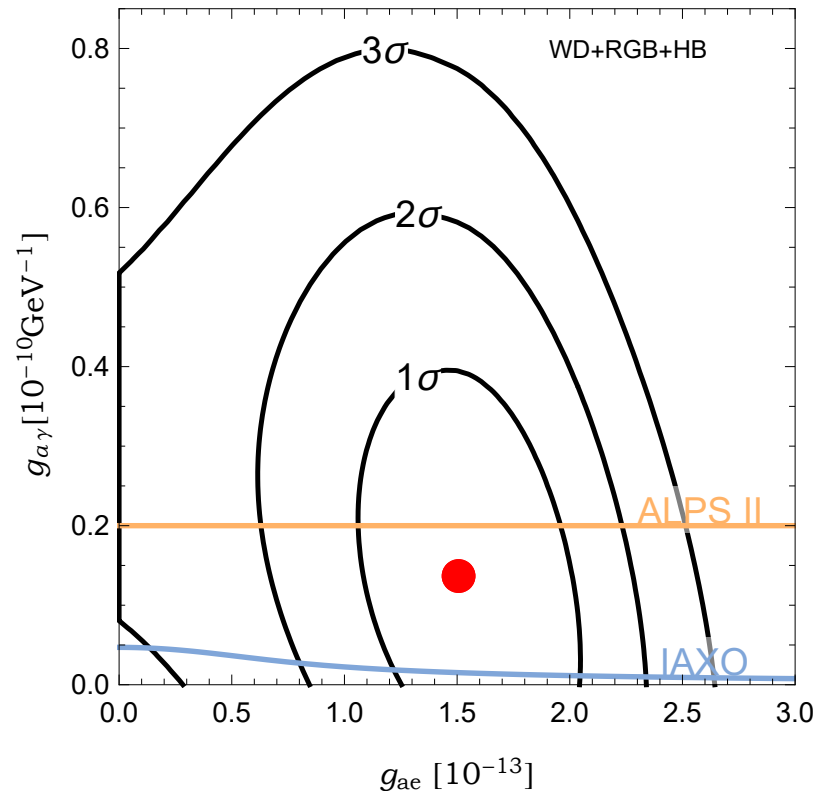


[Giannotti, Irastorza, Redondo, AR '15; Giannotti, Irastorza, Redondo, AR, Saikawa '17]

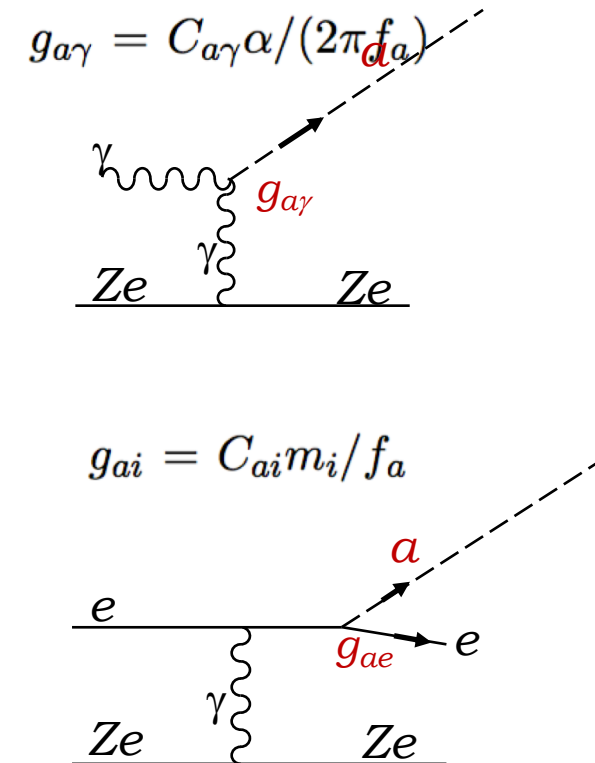
Back Up: Astrophysical Hints for Axions/ALPs

Excessive stellar energy losses

- Excessive energy losses of HBs, RG, WDs can be explained at one stroke by production of axion/ALP with coupling to photons and electrons:



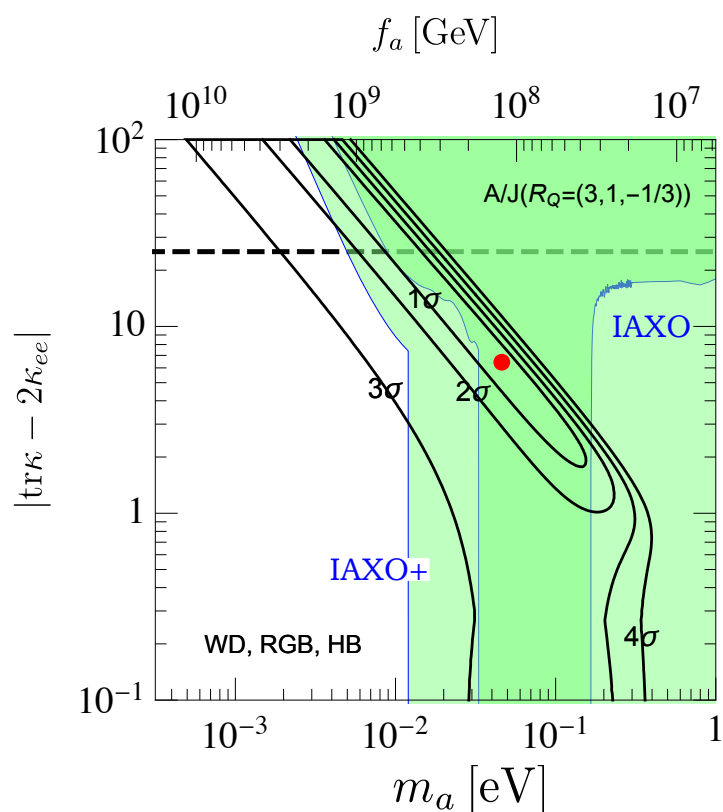
[Giannotti,Irastorza,Redondo,AR,Saikawa 17]



Back Up: Astrophysical Hints for Axions/ALPs

Excessive stellar energy losses

- Excessive energy losses of HBs, RG, WDs can be explained at one stroke by production of axion/ALP with coupling to photons and electrons, e.g. SMASH:



$$C_{a\gamma} = \frac{2}{3} - 1.92(4)$$

$$C_{ae}^{A/J} \simeq -\frac{1}{16\pi^2 N} (\text{tr}\kappa - 2\kappa_{ee})$$

$$\kappa \equiv \frac{m_D m_D^\dagger}{v^2}$$

[Giannotti,Irastorza,Redondo,AR,Saikawa 17]