

T Reconstruction of Tau Leptons and Applications in the ATLAS Experiment

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BMBF-Forschungsschwerpunkt ATLAS-EXPERIMENT

Physik bei höchsten Energien mit dem ATLAS-Experiment am LHC

Overview

- The tau lepton, LHC and ATLAS
- Higgs analysis with taus
- New: Particle Flow
- What do we gain from it?



The Tau Lepton



LHC – Our Source of τ Leptons

- LHC collides protons
- "Run 1" completed in Feb 2013: collision energy of 7 and 8 TeV
- "Run 2" started 2015: 13 TeV collision energy, more integrated luminosity





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ATLAS Detector

- Multi-purpose detector for various physics signatures with leptons, jets, photons
- Momentum range ~1-1000 GeV (typically 10-100 GeV from EW processes)



ATLAS Detector

Detection:

- Charged particles: Tracking detectors, $\sigma/p_T \sim 0.05 \% \cdot p_T$ [GeV] resolution
- e, γ : EM calorimeters: $\sigma/E \sim 10\%/\sqrt{E[GeV]}$
- Hadronic calorimeter: $\sigma/E\sim50\%/\sqrt{E[GeV]}$
- Muon spectrometer: $\sigma/p < 10\%$



Run 1 Higgs Discovery

"Higgs boson" discovery in 2012 from combined analysis of multiple decay channels: $\gamma\gamma$, ZZ, WW



 $H \! \rightarrow \! \tau \tau$: 4.5 cxcess observed

- SM Higgs-to-lepton coupling largest for $\tau \rightarrow$ only accessible fermionic decay channel so far
- Evidence for Yukawa couplings of Higgs
- Strong constraints on VBF production cross section



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Higgs analysis with τ 's – Requirements



Real τ 's major background to $H \rightarrow \tau \tau$ signal: Broad ditau mass peak due to invisible v's, difficult to reconstruct

 \Rightarrow

- Need good kinematic discrimination
- Good 4-momentum resolution of visible τ helps here!

Taus in the ATLAS Calorimeter



Taus in the ATLAS Calorimeter



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Run 1 Energy Measurement

Run 1: visible τ energy measured entirely in the calorimeter:



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However poor calorimeter resolution of π^{\pm} limits energy resolution, e.g. HCAL:

$$\frac{\sigma(E)}{E} \approx \frac{50\%}{\sqrt{E}} \oplus 3\%$$



Run 1 Energy Measurement

entirely in the calorimeter: However poor calorimeter resolution of π^{\pm} limits energy Hcal3 resolution, e.g. HCAL: Hcal₂ Hcal1 $\frac{\sigma(E)}{E} \approx \frac{50\%}{\sqrt{E}} \oplus 3\%$ Ecal3 $= E(\tau)$ Ecal2 Strip-Layerノ $\overleftarrow{\Delta \eta = 0.1}$ 1.1 π^{0} π 35 Energy Resolution [%] Calorimeter 30 Energy resolution of π^{\pm} is superior in the Tracker 25 tracker below $p_{\tau} \sim 120 \text{ GeV}$ 20 15 \rightarrow Can do better than Run 1 method! 10 5 50 300 350 400 450 500

Run 1: visible τ energy measured

Transverse Momentum p _ [GeV]

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New for Run 2 @ ATLAS: Particle Flow

Alternative: "Particle Flow" approach

"Exploit full detector information to resolve, identify and measure each single particle!"

- No strict definition move away from pure calorimetry for 4-momentum measurements
- Measure 4-momentum with tracking detector instead of calorimeter \rightarrow improve resolution!
- For both isolated and non-isolated particles! e.g. inside jet or hadronically decaying tau



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New for Run 2 @ ATLAS: Particle Flow

Alternative: "Particle Flow" approach

"Exploit full detector information to resolve, identify and measure each single particle!"



- Employed in various experiments to different extent e.g. all Tevatron and LEP expmts.
- $\boldsymbol{\cdot} \dots$ and future: ILC detectors designed for Particle flow
- ... and a contemporary: CMS full event reconstruction with Particle Flow







EM Clusters

Tracks

Reconstruction Algorithm

- ~99% of τ decays contain no neutral hadrons!
- Assign all HCAL energy $\rightarrow \ \pi^{\pm}$
- redo clustering in EMCAL only





If no cluster found within 0.04 then assume π^{\pm} did not leave a cluster \rightarrow no subtraction





Estimate π^{\pm} energy to be subtracted



- Overlap of π^0 and π^{\pm} only in ECAL
- Compute π^{\pm} energy in ECAL simply as: E_{exp}(π^{\pm}) = p(track) – E(Hcal)



























Instead of simply "throwing away" π^{\pm} remnant cluster \rightarrow significant performance gain by exploiting

- Cluster properties
- τ kinematics

Also: Important to pick the right π^0 cluster for analysis!

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Suppress π^{\pm} remnants using BDT based on

Hcal3

Hcal2

Hcal1 Ecal3

Ecal2

Strip-Layer

- Cluster moments
- Number of photons



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Suppress π^{\pm} remnants using BDT based on

- Cluster moments
- Number of photons

→ This in combination with a cut on E_{τ} (neutral) > ~2 GeV gives the gain in particle flow τ resolution! PW, Grad.kolleg Freiburg



Hcal3

Hcal2

Hcal1 Ecal3

Ecal2

 $\overleftarrow{\Delta \eta = 0.1}$

Strip-Layer



- π^0 ID information
- Number of photons







Decay mode 1 efficiency

Classify decay mode using

- Decay kinematics
- π^0 ID information
- Number of photons

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Particle flow performance – What do we gain?
Better 4-momentum resolution than calorimeter-only in Run 1:

• Angular resolution: >5 times improved

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Better 4-momentum resolution than calorimeter-only in Run 1:

- Angular resolution: >5 times improved
- Energy resolution: ~2 times improved at low E_{T}



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Features of resolution distribution:

- Best resolution for modes without $\pi^{\scriptscriptstyle\pm}$ and correctly reconstructed decays
- Misestimation of $\pi^0 \rightarrow$ bias of ~25%
- Large low-energy bias from decays with neutral Kaons (not fully reconstructed)

Better 4-momentum resolution than calorimeter-only in Run 1:

- Angular resolution: >5 times improved
- Energy resolution: ~2 times improved at low E_{T}
- Performance quite insensitive to busy-ness of collision environment



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("Offset" correction of ~100-400 MeV applied to Run 1 here)

Better 4-momentum resolution than calorimeter-only in Run 1:

- Angular resolution: >5 times improved
- Energy resolution: ~2 times improved at low E_{T}
- Performance quite insensitive to busy-ness of collision environment
- Performance also well modeled in data!



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Particle Flow – What does it give us beyond a better 4-momentum measurement?

 $Z \rightarrow \tau \tau$ major background in $H \rightarrow \tau \tau$ analysis



Yet unexploited: Discriminate Z (vector) from H (scalar) using longitudinal τ polarization





Prospects for Higgs CP Measurement

 $H \rightarrow \tau \tau$ important:

• Pseudoscalar Higgs in major models does not couple at tree level to WW and ZZ \rightarrow need fermionic final states!

• Tau is only lepton that gives access to polarization through kinematics of its decay products → need Particle Flow!



 \rightarrow Higgs CP measurement in its decay by correlating **transverse** τ polarizations

Prospects for Higgs CP Measurement

Higgs CP measurement by correlating **transverse** τ polarizations:



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Prospects for Higgs CP Measurement

Higgs CP measurement by correlating **transverse** τ polarizations:



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Particle Flow Performance for CP Meas.

Five-way decay mode classification: 74.7% efficiency

Can resolve single π^0 : Energy core resolution 16%



→ This makes CP state measurement in $H \rightarrow \tau \tau$ possible!

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Conclusions



- Particle flow reconstruction for tau leptons is being prepared for ATLAS analysis
- Tau reconstruction benefits significantly from Particle flow
- 5-way decay-mode classification \rightarrow Higgs CP
- ${\sf E}_{_{\rm T}}$ core resolution of $\tau_{_{\! vis}}$ improved by factor ~2 at low ${\sf E}_{_{\rm T}}$
- Angular resolution improved by factor \sim 5

Backup



Important Physics Results with τ 's



Jets fake τ 's

- \rightarrow Fake τ 's are major background!
- 1) Huge QCD production cross section



Jets fake τ 's

- → Fake τ's are major background!
 1) Huge QCD production cross section
 2) QCD jets look similar
 - · Jets hadronize mostly into
 - π^{\pm} (~60% of jet energy)
 - γ (~30%, from π⁰)
 - Neutral hadrons (~10%)





- Hadronically decaying taus (τ) decay almost exclusively into
 - π^{\pm} (~100% of decays)
 - π^0 (~2/3 of decays)

Higgs analysis with τ 's – Requirements



Fake τ 's are major background:

- Large QCD cross section
- Jets "look similar" \rightarrow hadronize into majorly pions
- \Rightarrow Need good discrimination against jets

Jet rejection factor ~100 achieved with excellent Run 1 tau identification:



Tau Reco and ID efficiencies

Decay mode	$\mathcal{B}\left[\% ight]$	$\mathcal{A} \cdot \varepsilon_{\mathrm{reco}} [\%]$	ε _{ID} [%]
h^{\pm}	11.5	32	75
$h^{\pm} \pi^0$	30.0	33	55
$h^{\pm} \ge 2\pi^0$	10.6	43	40
$3h^{\pm}$	9.5	38	70
$3h^{\pm} \ge 1\pi^0$	5.1	38	46

Table 2: Five dominant $\tau_{had-vis}$ decay modes [59]. Tau neutrinos are omitted from the table. The symbol h^{\pm} stands for π^{\pm} or K^{\pm} . Decays involving K^{\pm} contribute ~3% to the total hadronic branching fraction. Decays involving neutral kaons are excluded. The branching fraction (\mathcal{B}), the fraction of generated $\tau_{had-vis}$'s in simulated $Z \rightarrow \tau \tau$ events that are reconstructed and pass the $\tau_{had-vis}$ selection described in Section 2.2 without the jet and electron discrimination ($\mathcal{A} \cdot \varepsilon_{reco}$) and the fraction of those $\tau_{had-vis}$ candidates that also pass the jet and electron discrimination (ε_{ID}) for each decay mode are given.

Tau Identification

- Reconstructed from energy deposits in calorimeter initial steps identical to jet reconstruction
- Associate tracks reconstructed in the tracking detectors
- Identification using calorimeter cell and track variables: exploit that taus are on average more narrow than jets – excellent rejection of jets reaching factors of ~100!





→ Tells us if a cluster contains more than 1 π^0 Counts energy deposits with $E_{\tau} > 300-430$ MeV associated to cluster, count twice if $E_{\tau} > 10$ GeV – improves

- Purity of decays with 1 $\pi^{\scriptscriptstyle 0}$
- Efficiency of decays with 2 $\pi^{\scriptscriptstyle 0}$



 \rightarrow Tells us if a cluster contains more than 1 π^0 Counts energy deposits with $E_{\tau} > 300-430$ MeV associated to cluster, count twice if $E_{\tau} > 10 \text{ GeV} - \text{improves}$

Probability

- Purity of decays with 1 π^0
- Efficiency of decays with 2 π^0

τ Performance: π^0 4-vector resolution

Angular core resolution: (0.0056, 0.012) in (η,ϕ)

Relative energy core resolution: 16%



Pileup has very little impact without pileup correction!

- E_{τ} increases by ~15 MeV per vertex
- E_{τ} resolution degrades by 0.5% per vertex

τ Performance: Decay mode classification

Overall classification efficiency: 74.4%

- High efficiencies in important modes 1p0n, 1p1n and 3p0n
- High purity these modes (cf. Run 1 track-based classification: 27%, 52%, 69%)



Efficiency:

Purity:

Little impact from pileup: efficiency degrades by ~0.04% per vertex

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τ Performance: $\tau_{_{vis}}$ 4-vector resolution

$\mathsf{E}_{_{\!\mathsf{T}}}$ response:

- Calculate resolution-weighted sum of "constituent-based" and calorimeter-only (= Run 1) $E_{\tau} \rightarrow \text{smooth transition at high } E_{\tau}$ where calorimeter measurement gets better
- To suppress E_{τ} resolution tails \rightarrow use Run 1 E_{τ} if the two E_{τ} disagree by >5 σ
- → Rel. E_{τ} core resolution ~8% at 20 GeV (Factor ~2 better than Run 1)



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τ Performance: $\tau_{_{vis}}$ 4-vector resolution

E_{τ} response:

- Calculate resolution-weighted sum of "constituent-based" and calorimeter-only (= Run 1) $E_{\tau} \rightarrow \text{smooth transition at high } E_{\tau}$ where calorimeter measurement gets better
- To suppress E_{τ} resolution tails \rightarrow use Run 1 E_{τ} if the two E_{τ} disagree by >5 σ
- → Rel. E_{τ} core resolution ~8% at 20 GeV (Factor ~2 better than Run 1)

Little impact from pileup without pileup correction:

- E_{τ} increases by ~4 MeV per vertex
- Resolution degrades by ~0.5%



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τ Performance: π^0 ID

Cluster pseudorapidity, |n^{clus}| Magnitude of the energy-weighted η position of the cluster Cluster width, $\langle r^2 \rangle^{clus}$ Second moment in distance to the shower axis Cluster η width in EM1, $\langle \eta^2_{\text{EM1}} \rangle^{\text{clus}}$ Second moment in η in EM1 Cluster η width in EM2, $\langle \eta^2_{\rm EM2} \rangle^{\rm clus}$ Second moment in η in EM2 Cluster depth, *λ*^{clus}_{centre} Distance of the shower centre from the calorimeter front face measured along the shower axis Cluster PS energy fraction, f_{PS}^{clus} Fraction of energy in the PS Cluster core energy fraction, $f_{\rm core}^{\rm clus}$ Sum of the highest cell energy in PS, EM1 and EM2 divided by the total energy Cluster logarithm of energy variance, $\log(\rho^2)^{clus}$ Logarithm of the second moment in energy density Cluster EM1 core energy fraction, f^{clus}_{core,EM1} Energy in the three innermost EM1 cells divided by the total energy in EM1 Cluster asymmetry with respect to track, $\mathcal{A}_{track}^{clus}$ Asymmetry in η - ϕ space of the energy distribution in EM1 with respect to the extrapolated track position Cluster EM1 cells, N^{clus} EM1 Number of cells in EM1 with positive energy

Cluster EM2 cells, N^{clus}_{EM2} Number of cells in EM2 with positive energy

τ Performance: $π^0$ ID



Figure 1: (a) Distribution of the logarithm of the second moment in energy density of π_{cand}^0 clusters that do (signal) or do not (background) originate from π^0 's, as used in the π^0 identification. (b) 1 – efficiency for background π_{cand}^0 's vs. the efficiency for signal π_{cand}^0 's to pass thresholds on the π^0 identification score. The π_{cand}^0 's in both figures are associated with $\tau_{had-vis}$'s selected from simulated $Z \rightarrow \tau\tau$ events.

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τ Performance: ECAL1 deposits



Figure 4: Efficiency for a photon to create a maximum in the first layer of the EM calorimeter in simulated $\pi^0 \rightarrow \gamma \gamma$ events and the corresponding probability to create a maximum that is shared with the other photon. The photons are required to not interact with the material in the tracking system.

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τ Performance: Kinematic decay mode

classification

 π^0 identification score of the first π^0_{cand} , S^{BDT}_1

 π^0 identification score of the π^0_{cand} with the highest π^0 identification score

 $E_{\rm T}$ fraction of the first $\pi_{\rm cand}^0, f_{\pi^0,1}$

 $E_{\rm T}$ of the $\pi_{\rm cand}^0$ with the highest π^0 identification score, divided by the $E_{\rm T}$ -sum of all $\pi_{\rm cand}^0$'s and h^{\pm} 's

Hadron separation, $\Delta R(h^{\pm}, \pi^{0})$ ΔR between the h^{\pm} and the π^{0}_{cand} with the highest π^{0} identification score

 h^{\pm} distance, $D_{h^{\pm}}$

 $E_{\rm T}$ -weighted ΔR between the h^{\pm} and the $\tau_{\rm had-vis}$ axis, which is calculated by summing the four-vectors of all h^{\pm} 's and $\pi_{\rm cand}^0$'s

Number of photons, N_{γ}

Total number of photons in the $\tau_{had-vis}$, as reconstructed in Section 3.3

 π^{0} identification score of second π^{0}_{cand} , S^{BDT}_{2} π^{0} identification score of the π^{0}_{cand} with the second-highest π^{0} identification score $\pi^{0}_{cand} E_{T}$ fraction, $f_{\pi^{0}}$ E_{T} -sum of π^{0}_{cand} 's, divided by the E_{T} -sum of π^{0}_{cand} 's and h^{\pm} 's π^{0}_{cand} mass, $m_{\pi^{0}}$ Invariant mass calculated from the sum of π^{0}_{cand} four-vectors Number of π^{0}_{cand} , $N_{\pi^{0}}$ Standard deviation of the $h^{\pm} p_{T}$, $\sigma_{E_{T},h^{\pm}}$ Standard deviation, calculated from the p_{T} values of the h^{\pm} 's for $\tau_{had-vis}$ with three associated tracks h^{\pm} mass, $m_{h^{\pm}}$

Invariant mass calculated from the sum of h^{\pm} four-vectors

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τ Performance: Kinematic decay mode classification

Decay mode test	$N(\pi_{\text{cand}}^0)$	$N(\pi_{\rm ID}^0)$	Variables
$h^{\pm}\{0,1\}\pi^0$	≥ 1 1	0 1	$S_1^{\text{BDT}}, f_{\pi^0,1}, \Delta R(h^{\pm}, \pi^0), D_{h^{\pm}}, N_{\gamma}$
$h^{\pm}\{1,\geq 2\}\pi^0$	≥ 2 ≥ 2	1 ≥ 2	$S_2^{\text{BDT}}, f_{\pi^0}, m_{\pi^0}, N_{\pi^0}, N_{\gamma}$
$3h^{\pm}\left\{0,\geq1\right\}\pi^{0}$	≥ 1 ≥ 1	0 ≥ 1	$S_1^{\text{BDT}}, f_{\pi^0}, \sigma_{E_{\text{T}},h^{\pm}}, m_{h^{\pm}}, N_{\gamma}$

Table 5: Details regarding the decay mode classification of the Tau Particle Flow. BDTs are trained to distinguish decay modes in three decay mode tests. The $\tau_{had-vis}$'s entering each test are further categorised based on the number of reconstructed, $N(\pi_{cand}^0)$, and identified, $N(\pi_{ID}^0)$ neutral pions. The variables used in the BDTs for each test are listed.

τ Performance: Kinematic decay mode classification



Figure 5: Decay mode classification efficiency for the $h^{\pm} \{0, 1\}\pi^0$, $h^{\pm} \{1, \ge 2\}\pi^0$, and $3h^{\pm} \{0, \ge 1\}\pi^0$ tests. For each test, "decay mode 1" corresponds to the mode with fewer π^0 's. Working points corresponding to the optimal thresholds on the BDT score for each test are marked.

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Longitudinal τ polarization

Discrimination through correlation of π – π ⁰ energy asymmetry

Prerequisites:

- Need to reconstruct π^0 energy
- High purity decay mode classification



→ Now available with new reconstruction

ATLAS Run 1 Tau Reconstruction

- τ_{h} appears as narrow, isolated jet from neutral (e.g. π^{0}) and charged particles (e.g. π^{\pm})
- Calorimeter seed: anti-kT jet with R=0.4, $p_{_{\rm T}}$ > 10 GeV, $|\eta|{<}2.5$
- Classify in number of tracks ("prongs") in $\Delta R=0.2$ of jet seed

PW,

• τ_h energy = energy of topological clusters within ΔR =0.2



ECAL: $X_0 \sim 2.1$ cm, Molière radius ~ 4.4 cm HCAL: 0.1x0.1 in η/ϕ , 7.4 λ long, 3 layers




Figure 13: Distribution of the reconstructed mass of the $\tau_{had-vis}$ when using the Tau Particle Flow $\tau_{had-vis}$ fourmomentum reconstruction in the $Z \rightarrow \tau \tau$ tag-and-probe analysis. The estimated background contribution, dominated by multijet and $W(\rightarrow \mu\nu)$ +jets production, has been subtracted from the data. The simulated $Z \rightarrow \tau \tau$ sample is normalised to the background subtracted data. The contributions from $\tau_{had-vis}$ with generated h^{\pm} , $h^{\pm} \pi^{0}$ and $3h^{\pm}$ modes are overlaid. The hatched band represents the statistical uncertainty on the prediction.

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0.2

0.4

0.6

Cluster asymmetry w.r.t. track

0.8

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100

150

Cluster EM1 cells

200

20

40

60

80

Cluster EM2 cells

100

50



Figure 11: Tau m_{vis} distribution of the Tau Particle Flow reconstruction of fake tau candidates from jets in a $Z(\rightarrow \mu\mu)$ +jets event selection, for data and simulation. The simulated $Z(\rightarrow \mu\mu)$ +jets events are reweighted so that the Z boson p_T distribution and the overall normalisation match that in the data. The hatched band represents the statistical uncertainty on the prediction.

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